

# Quantum-Elastic Geometry: a Nonlinear Substrate Extension for Massive Regimes

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**Abstract.** We present a minimal nonlinear extension of Quantum-Elastic Geometry (QEG), in which a single symmetric deformation tensor  $G_{\mu\nu}$  and its modal projections underpin the effective long-range sectors of gravity, electromagnetism, and thermo-entropic dynamics. The extension accounts for two additional empirical structures—finite-range interactions and hadronic-scale confinement—without introducing new fundamental fields beyond  $G_{\mu\nu}$ . Finite range emerges when selected projected modes acquire geometric masses set by the local curvature of the substrate self-interaction potential,  $m_X^2 \equiv V_X''(0)$ , yielding Yukawa/Proca-type propagation. In the genuinely nonlinear regime, quartic (and higher) terms in  $V(G)$  can energetically favor filamentary minima; under suitable variational constraints, this leads to flux-tube configurations with approximately constant tension and an effective linear energy-separation scaling (confinement-like behavior).

Crucially, the framework yields an endogenous classification of particle-like excitations: particles are finite-energy, localized eigenmodes or topologically stabilized defects of the elastic vacuum  $G_{\mu\nu}$ , carrying quantized action. Under finite-action boundary conditions and a compact order-parameter sector, the Standard Model taxonomy is reorganized as sectors of the physical configuration space: fermions correspond to nontrivial spinorial or holonomy sectors, bosons to topologically trivial transport modes, leptons to elementary globally extendable defects, quarks to fractional defect configurations obstructed from isolated finite-action completion, and hadrons to closed composites in which obstruction classes cancel. The same construction yields a natural interpretation of generations as discrete radial excitation levels ( $k = 0, 1, 2, \dots$ ) around a fixed defect topology—e.g.,  $k = 0 \rightarrow e$ ,  $k = 1 \rightarrow \mu$ ,  $k = 2 \rightarrow \tau$ —thereby relating mass hierarchies to the spectral structure of a single underlying defect rather than to distinct fundamental species.

*"Entia non sunt multiplicanda praeter necessitatem"*  
— Ockham's Razor

*"Padre, Señor del cielo y de la tierra, te doy gracias porque has ocultado todo esto a los sabios y entendidos y se lo has revelado a los que son como niños."*  
— Matthew 11:25

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## I. INTRODUCTION

### A. Motivation: from long-range unification to short-range emergence

Quantum–Elastic Geometry (QEG) formulates gravity, electromagnetism, and thermodynamic expansion as modal responses of an underlying elastic spacetime substrate described by a symmetric deformation tensor  $\mathcal{G}_{\mu\nu}$  [1–3]. In the weak-field regime, QEG reproduces long-range interactions as linearized responses of  $\mathcal{G}_{\mu\nu}$ : static solutions are governed by Laplacian-type operators and therefore yield  $1/r$  potentials, as expected for massless mediators and Poisson-type limits [4–6].<sup>1</sup>

This paper addresses the next natural step within the same ontology: the emergence of *finite-range* interactions and hadronic-scale structure, together with the associated *particle spectrum* as endogenous excitations of the same geometric degrees of freedom, without postulating new fundamental fields beyond  $\mathcal{G}_{\mu\nu}$ . In QEG, sources are not taken as external inputs: mass-energy and charge are interpreted as *endogenous* localized configurations of the deformation

<sup>1</sup> In the QEG long-range framework, locality and parsimony single out the Laplacian as the unique minimal elastic operator in the static limit, making the universal  $1/r$  Green-function behavior mathematically inevitable; short-range sectors then correspond to massive and/or nonlinear modes for which the static operator becomes Yukawa/Proca-type.

field itself, so that “matter” and “geometry” are different sectors of the same substrate dynamics.

Two empirical facts motivate the present extension. First, the weak interaction is short-ranged and, at low energies, is accurately captured by massive spin-1 exchange (Yukawa/Proca behavior), as realized in the electroweak theory [7–13]. Second, the strong interaction exhibits confinement and hadronization, phenomena that are naturally associated with nonlinear dynamics and flux-tube energetics in effective descriptions and in lattice formulations [14–17].

If QEG is to serve as a complete classical substrate theory, these sectors—and the particle-like excitations they support—should arise as *massive and/or nonlinear regimes* of the same geometric substrate.

## B. Minimal extension principle

The extension proposed here follows a single guiding principle:

*Short-range forces, confinement phenomena, and particle-like excitations emerge when certain projected modes of  $\mathcal{G}_{\mu\nu}$  acquire an effective mass and/or enter a nonlinear regime determined by the substrate potential  $V(\mathcal{G})$ .*

Technically, the QEG action already contains a self-interaction potential  $V(\mathcal{G})$  whose detailed form is not fixed by weak-field phenomenology. Expanding  $V(\mathcal{G})$  around the vacuum defines, for each projected mode  $X$ , a local effective potential

$$V_X(G^{(X)}) = V_{0X} + \frac{1}{2}m_X^2 (G^{(X)})^2 + \frac{g_X}{3} (G^{(X)})^3 + \frac{\lambda_X}{4} (G^{(X)})^4 + \dots, \quad (\text{I.1})$$

where

$$m_X^2 \equiv V_X''(0) \quad (\text{I.2})$$

is the *geometric mass* of the mode. This identification is standard in effective-field and condensed-matter language: the local curvature of the potential about a vacuum controls the linearized mass scale of fluctuations [6, 18, 19]. The short-range/long-range dichotomy becomes a geometric statement: flat directions of  $V$  yield long-range propagation ( $m_X \approx 0$ ), whereas steep directions yield finite-range propagation ( $m_X \neq 0$ ). Importantly, this mechanism is *reactive* (set by  $V''$ ) and should be distinguished from dissipative effects, which control widths and relaxation rather than range [20, 21]. Concretely, Rayleigh-type damping produces finite lifetimes (imaginary response) but does not generate Yukawa range in the static limit; the range scale is controlled by  $V''(0)$ , while dissipation controls relaxation/widths.

**Remark I.1.** *Here  $V_X$  is not an independent potential choice: it is the restriction of a single substrate self-interaction  $V(\mathcal{G})$  along the projected modal direction through the vacuum configuration.*

## C. From mass to Yukawa/Proca propagation

At the linear level, Eq. (I.2) implies that static fields obey Yukawa-type equations for scalar-like modes,

$$(\nabla^2 - m_S^2) G^{(S)}(\mathbf{x}) = -J_S(\mathbf{x}), \quad (\text{I.3})$$

and Proca-type equations for vector-like modes,

$$\partial_\nu F^{\nu\mu} + m_V^2 A^\mu = J_V^\mu, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu, \quad (\text{I.4})$$

where  $A_\mu$  denotes a torsional/rotational projection of  $\mathcal{G}_{\mu\nu}$ . These are precisely the canonical low-energy structures for

finite-range interactions mediated by massive spin-0 and spin-1 degrees of freedom [6–8, 18]. In the Standard Model, massive electroweak gauge bosons provide the observed short-range weak force; the present approach seeks an analogous finite-range sector emerging from the same geometric substrate, rather than from introducing an independent Higgs field [9–13].

## D. Nonlinearity and confinement as variational outcomes

The strong sector suggests that at high deformation the nonlinearities in  $V(\mathcal{G})$  cannot be neglected. In particular, quartic terms in Eq. (I.1) induce regimes where energy minimization favors nontrivial spatial organization. A central claim of this paper is that confinement-like behavior emerges as a generic variational outcome in a nonlinear medium: the substrate functional may favor *flux-tube* configurations connecting effective sources, leading at the effective level to an energy scaling  $E \sim \sigma r$  over a range of separations. This mirrors the qualitative logic behind the area law of Wilson loops and the interpretation of confinement in lattice gauge theory [14–16], as well as the emergence of string-like tubes in Abelian-Higgs/Nielsen–Olesen vortices, often used as effective analogues of QCD flux tubes [17, 22].

**Remark I.2.** *We emphasize that the goal here is confinement-like energetics from nonlinear substrate minimization (string tension and flux localization), not a claim of deriving the full microscopic QCD gauge structure. Importantly, all tube-adapted constructions used in the nonlinear regime admit a fully covariant formulation based on emergent order parameters of the substrate, without introducing preferred frames (Appendix A).*

## E. Particles as solitons and spectral sectors of the substrate

Beyond forces, a complete substrate theory must account for the *existence, classification, and replication* of particle-like excitations. In the present framework, particles are not introduced as external point sources, but arise as localized, finite-energy nonlinear solutions of the projected QEG equations. Their stability is controlled jointly by energetics and topology, following the standard theory of topological solitons and homotopy classification [23–25]. This provides a route to discreteness and longevity without inserting delta-function sources by hand.

This viewpoint enables a geometric reorganization of the Standard Model taxonomy. Bosonic states correspond to topologically trivial transport modes of the substrate, whereas fermionic states are associated with nontrivial holonomy or spinorial sectors of configuration space. Leptons arise as elementary, globally extendable defects, whereas quarks correspond to fractional defect configurations obstructed from isolated finite-action completion; hadrons then emerge as closed composite states in which such obstruction classes cancel, consistent with confinement.

Importantly, the framework also provides a natural interpretation of *generations*: families are understood as discrete radial excitation levels of a fixed defect topology. Higher generations correspond to excited spectral modes of the same underlying configuration rather than to independent fundamental species, offering a parsimonious explanation for replication and mass hierarchies without enlarging the field content.

## F. Two structural challenges and our resolutions

*a. (I) Origin of an internal multiplet index without breaking isotropy.* A viable strong-sector analogue requires an internal multiplicity (a “color”-like index) that is *not* identified with spatial directions; otherwise one risks

violating isotropy already at the level of the effective vacuum. We therefore avoid any assignment such as “red  $\leftrightarrow$   $x$ ” and instead develop a tensorial mechanism based on the traceless shear sector of  $\mathcal{G}_{ij}$ . Symmetric traceless tensors define invariant eigenvalues and principal directions, while the orientation sector can be treated as a redundancy at the level of the effective functional, in close analogy with internal degeneracies familiar from gauge-theoretic descriptions [6, 18, 25]. In Section VII we provide an explicit construction and state the conditions under which an effective triplet description can be consistently defined without tying indices to physical space.

*b. (II) Parity violation and chirality in the weak sector.* Finite-range vector propagation alone does not explain the chiral nature of weak interactions. The empirical discovery of parity violation and the resulting  $V - A$  structure motivate including a parity-odd completion when building an effective short-range sector [13, 26–29]. We therefore introduce a minimal parity-odd invariant compatible with the geometric substrate: a pseudoscalar term built from  $\epsilon^{\mu\nu\rho\sigma}$  and QEG field-strength-like composites. Such  $\epsilon$ -tensor completions are standard devices for encoding parity-odd responses (e.g. Chern–Simons and axion electrodynamics analogues) [30, 31]. In our case, the term induces chiral splitting of torsional modes while maintaining Lorentz covariance at the effective level. Section XVI develops the mechanism and clarifies its relation to dissipation and modal decomposition.

## G. Outline

Section II formulates the projected-mode effective action and introduces the geometric mass–as–curvature mechanism governing finite-range propagation.

Section III establishes particles as endogenous, finite-energy solitonic configurations of the elastic substrate and develops their topological classification within QEG. Building on this ontological framework, Section IV reorganizes the Standard Model spectrum as sectors of the substrate configuration space, deriving fermionic and bosonic classes from the **minimal** topological structure of a projective target space  $\mathbb{R}\mathbb{P}^2$ .

Section VI analyzes the genuinely nonlinear regime of the substrate and derives flux-tube energetics, establishing the conditions under which confinement-like scaling arises as a variational outcome. Section VII provides the tensorial origin of an internal multiplet from the shear sector and discusses the emergence of an effective triplet description without breaking isotropy.

Section IX applies this defect framework to derive a discrete generational structure from radial excitations. Subsequently, Sections XII and XIII presents the explicit geometric derivation of the electron and neutron rest masses from flux-closure and cavity-confinement principles.

Section XV develops the topological origin of spin and derives the associated gyromagnetic structure within the projected-mode framework. Section XVI introduces the parity-odd completion and analyzes chiral mode selection in the torsional/vector sector.

Section XVIII discusses how these ingredients combine toward an effective non-Abelian-like short-range sector, clarifies scope and limitations, and summarizes the role of topological sectors, scalar response, and far-field matching. We conclude in Section XIX with implications and next steps toward quantization and phenomenological matching.

## II. EFFECTIVE PROJECTED-MODE ACTION AND THE GEOMETRIC ORIGIN OF MASS

### A. Projection principle and minimal degrees of freedom

The fundamental dynamical variable of QEG is a symmetric rank-2 tensor  $\mathcal{G}_{\mu\nu}$ , interpreted as the deformation field of the spacetime substrate [1]. In the

weak-field regime, the original QEG manuscript decomposes the substrate response into physically interpretable modal sectors (e.g. longitudinal/volumetric vs. torsional/rotational components), and relates each sector to an effective long-range field (gravitational, electromagnetic, thermodynamic-expansive). The present extension keeps the same ontology and formal structure, and introduces no additional fundamental fields.

To write an effective description that is simultaneously local, covariant, and transparently separates long- and short-range regimes, we proceed as follows. Linearizing the QEG action about a stationary vacuum configuration  $\mathcal{G}_\star$  induces a quadratic form on symmetric perturbations,

$$\delta^2 S_{\text{QEG}}[\mathcal{G}_\star] = \frac{1}{2} \int d^4x \langle \delta\mathcal{G}, \mathcal{K} \delta\mathcal{G} \rangle, \quad (\text{II.1})$$

where  $\mathcal{K}$  is the self-adjoint linear response operator determined by the QEG constitutive structure at leading derivative order. Here  $\langle \cdot, \cdot \rangle$  denotes the canonical local bilinear pairing on symmetric tensors (including index contractions with the background metric at  $\mathcal{G}_\star$ ).

In any weak-field regime where the spectrum of  $\mathcal{K}$  exhibits approximately decoupled subspaces, it is natural—and, at leading derivative order, in fact forced by locality and self-adjointness—to introduce (approximate) spectral projectors  $\mathcal{P}^{(X)}$  onto those subspaces. We therefore define the projected coordinates

$$G^{(X)} \equiv \mathcal{P}^{(X)}[\mathcal{G}], \quad \sum_X \mathcal{P}^{(X)} \approx \mathbb{I} \quad (\text{II.2})$$

up to gauge-like redundancies and/or constraints. The index  $X$  labels a finite set of effective modes sufficient to capture the phenomenology of interest. For concreteness, we will refer to:

- a scalar-like (volumetric) mode  $S$ ,
- a vector-like (torsional) mode  $V$  with an effective potential  $A_\mu$ ,
- a shear-related sector (to be treated in Section VII).

No commitment to a unique microscopic projection scheme is required at this stage; however, the admissible class of  $\mathcal{P}^{(X)}$  is not arbitrary. In the weak regime it must be compatible with the quadratic form (II.1) (so that modes are approximately orthogonal/decoupled), and it must preserve locality and the spacetime symmetries of the vacuum.

### B. Minimal effective action: kinetic rigidity and substrate potential

The core structural assumption of this paper is that, at energy scales where a given projected sector dominates, the dynamics of that sector is well approximated by a local effective action of the form

$$S_{\text{eff}} = \int d^4x \left[ \sum_X \left( \frac{1}{2} Z_X (\partial G^{(X)})^2 - V_X(G^{(X)}) + J_X G^{(X)} \right) + \mathcal{L}_{\text{mix}} \right] \quad (\text{II.3})$$

Here  $Z_X > 0$  plays the role of a modal rigidity (kinetic normalization inherited from the elastic constants of the substrate); its positivity ensures hyperbolic evolution and positive quadratic energy in the linearized regime. The term  $V_X$  denotes the effective self-interaction potential induced by the full substrate potential  $V(\mathcal{G})$  upon projection. The source  $J_X$  is used here purely as a bookkeeping placeholder for boundary data or sector selection, and will be eliminated in favor of endogenous Noether or topological charges in Section III. The term  $\mathcal{L}_{\text{mix}}$  encodes couplings

among modes; at leading derivative order such mixing is either forbidden by symmetry or perturbatively suppressed, and will be neglected to isolate the universal mechanisms.

More precisely, for each mode we view  $V_X$  as the restriction (pullback) of a single substrate potential  $V(\mathcal{G})$  along the corresponding modal direction through  $\mathcal{G}_*$ ,

$$V_X(\phi) \equiv V(\mathcal{G}_* + \phi e^{(X)}) - V(\mathcal{G}_*), \quad e^{(X)} \in \text{Im } \mathcal{P}^{(X)}, \quad (\text{II.4})$$

so that the various  $V_X$  are not independent model choices but projections of the same underlying nonlinear elastic response. The direction  $e^{(X)}$  may be chosen orthonormal with respect to the quadratic form (II.1); different normalizations merely reshuffle numerical factors between  $Z_X$  and the Taylor coefficients of  $V_X$  without affecting physical observables such as the range scale.

We expand about a stationary vacuum  $\mathcal{G}_*$  and shift variables so that  $G^{(X)} = 0$  denotes fluctuations along the  $X$ -direction through  $\mathcal{G}_*$ . In the weak-field limit, the projected potential admits the expansion

$$V_X(G^{(X)}) = V_{0X} + \frac{1}{2} m_X^2 (G^{(X)})^2 + \frac{g_X}{3} (G^{(X)})^3 + \frac{\lambda_X}{4} (G^{(X)})^4 + \dots \quad (\text{II.5})$$

The central identification is the geometric origin of the modal mass,

$$m_X^2 \equiv V_X''(0), \quad (\text{II.6})$$

i.e. the mass of an effective excitation is the local curvature of the substrate potential along that projected direction in configuration space. This identification also aligns with the algebraic foundations: if nonlinearity is required to enforce saturation of extension and prevent unbounded dispersal, then the variational completion generically entails stable stationary vacuum and hence nonzero curvature along modal directions. In this sense, the appearance of a nonzero  $m_X^2$  is not a model choice but a generic consequence of stabilizing a nonlinear elastic medium; and  $m_X^2$  is not an externally imposed parameter but the local variational imprint of substrate saturation. This provides an intrinsically geometric mechanism for interaction range:

$$\begin{aligned} m_X \approx 0 &\Rightarrow \text{long-range (typically Coulomb/Newton-like),} \\ m_X \neq 0 &\Rightarrow \text{finite-range (Yukawa/Proca-like).} \end{aligned} \quad (\text{II.7})$$

### C. Scalar sector: Yukawa propagation from potential curvature

Consider a scalar-like mode  $G^{(S)}$ . Neglecting cubic and higher terms for small amplitudes, the Euler-Lagrange equation derived from (II.3) and (II.5) yields

$$Z_S \square G^{(S)} + m_S^2 G^{(S)} = J_S, \quad (\text{II.8})$$

where  $\square = \partial_\mu \partial^\mu$  is the d'Alembertian. In the static limit ( $\partial_t G^{(S)} = 0$ ), one obtains

$$(\nabla^2 - \mu_S^2) G^{(S)}(\mathbf{x}) = -\frac{1}{Z_S} J_S(\mathbf{x}), \quad \mu_S^2 \equiv \frac{m_S^2}{Z_S}. \quad (\text{II.9})$$

For a point-like source  $J_S(\mathbf{x}) = q_S \delta^{(3)}(\mathbf{x})$ , the Green-function solution gives the familiar Yukawa profile

$$G^{(S)}(r) = \frac{q_S}{4\pi Z_S} \frac{e^{-\mu_S r}}{r}. \quad (\text{II.10})$$

Thus, finite-range propagation emerges in the most economical way: it is a direct consequence of  $V_S''(0) \neq 0$ , i.e. of the substrate's local stiffness in the corresponding modal direction.

### D. Vector sector: Proca dynamics as the natural massive torsional mode

Phenomenologically, finite-range interactions at low energies are often captured by massive vector exchange. In the present framework, the minimal way to realize a finite-range torsional/rotational response is to construct a *vector-like effective projection* of the symmetric substrate field. Concretely, we treat  $A_\mu$  as an effective one-form built from  $\mathcal{G}_{\mu\nu}$  (and, generically, its first derivatives) through a linear local projector in the weak regime,

$$A_\mu \equiv \mathcal{P}_\mu^{(V)\alpha\beta} \mathcal{G}_{\alpha\beta} \text{ or more generally } A_\mu \equiv \mathcal{P}_\mu^{(V)\alpha\beta\gamma} \partial_\alpha \mathcal{G}_{\beta\gamma}, \quad (\text{II.11})$$

where the explicit form of  $\mathcal{P}^{(V)}$  depends on the microscopic QEG constitutive relations. The antisymmetric composite

$$F_{\mu\nu} \equiv \partial_\mu A_\nu - \partial_\nu A_\mu \quad (\text{II.12})$$

is then a field-strength-like object encoding the torsional response of the substrate at the effective level. At leading derivative order and restricting to parity-even local invariants, the most economical finite-range completion is the Proca effective action

$$\begin{aligned} S_V &= \int d^4x \left[ -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} m_V^2 A_\mu A^\mu - J_V^\mu A_\mu \right], \\ F_{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu. \end{aligned} \quad (\text{II.13})$$

The equation of motion is the Proca system

$$\partial_\nu F^{\nu\mu} + m_V^2 A^\mu = J_V^\mu. \quad (\text{II.14})$$

Taking the divergence and using  $\partial_\mu \partial_\nu F^{\nu\mu} = 0$  yields the constraint

$$m_V^2 \partial_\mu A^\mu = \partial_\mu J_V^\mu, \quad (\text{II.15})$$

which reduces to  $\partial_\mu A^\mu = 0$  when the effective source is conserved. In the static regime and for a purely timelike source, the Proca potential likewise acquires Yukawa decay with characteristic range  $\ell_V \sim 1/m_V$  (in natural units) or  $\ell_V = \hbar/(m_V c)$ .

*a. Geometric interpretation.* Within QEG,  $m_V^2$  is not introduced as an external parameter, but is interpreted as the curvature of the projected potential  $V_V$  along the torsional direction. In other words, the finite range of the torsional sector is controlled by how sharply the substrate penalizes torsional excitations of  $\mathcal{G}_{\mu\nu}$  at small amplitude.

### E. Mass vs. dissipation: reactive range and dissipative widths

A recurring conceptual pitfall in substrate theories is the conflation of *mass* (a reactive, conservative contribution) with *dissipation* (a non-conservative, entropy-producing contribution). QEG includes Rayleigh-type dissipation for certain modes in its thermodynamic sector; it is therefore essential to separate the roles of these two mechanisms.

Formally, dissipative effects arise from a Rayleigh dissipation functional  $\mathcal{R} = \frac{1}{2} \gamma_S (\partial_t G^{(S)})^2$  added to the conservative variational dynamics (Lagrange-Rayleigh framework). Thus, for a scalar-like mode  $G^{(S)}$ , a minimal dissipative completion is

$$Z_S \square G^{(S)} + \gamma_S \partial_t G^{(S)} + m_S^2 G^{(S)} + \lambda_S (G^{(S)})^3 = J_S, \quad (\text{II.16})$$

where  $\gamma_S > 0$  is a Rayleigh friction coefficient. The mass term  $m_S^2 G^{(S)}$  controls the range of static propagation (Yukawa decay), while  $\gamma_S$  controls relaxation times, damping rates, and effective widths of excitations. In frequency space, dissipation induces an imaginary part in the

response, whereas  $m_S$  shifts the dispersion relation in a purely reactive manner.

Analogous statements hold for vector-like modes. A dissipative term linear in  $\partial_t A_\mu$  yields finite lifetimes (attenuation) without being responsible for finite range in the static limit. Hence, in the present extension, the range of finite-range interactions is attributed to  $V''(0)$  (Proca mass), while dissipation, when present, controls decay widths and irreversibility rather than the existence of short-range forces.

## F. Nonlinear onset: why quartic terms matter

The cubic and quartic terms in (II.5) are negligible at sufficiently small amplitude. However, as the deformation increases, self-interactions dominate and linear superposition breaks down. This is the regime relevant to confinement-like behavior and composite structure, which will be developed in Section VI. The key technical point is that quartic terms introduce an energetic penalty for extended high-amplitude configurations and can thereby favor localized or filamentary minima of the energy functional. In this sense, confinement is framed here as a generic variational consequence of a nonlinear substrate, not as an imposed phenomenological law, once finite-energy boundary conditions are imposed.

## G. Summary of Section II

We have established the universal mechanism underlying finite-range interactions in the QEG extension: projected modes of  $\mathcal{G}_{\mu\nu}$  acquire geometric masses determined by the local curvature of the substrate potential,  $m_X^2 = V_X''(0)$ . This yields Yukawa equations for scalar-like modes and Proca dynamics for vector-like modes, ensuring compatibility with known low-energy finite-range phenomenology without introducing additional fundamental fields. We also clarified the separation between reactive mass (interaction range) and Rayleigh-type dissipation (widths and relaxation). The next section develops the nonlinear regime in which quartic self-interactions drive flux-tube energetics and confinement-like scaling.

**Remark II.1.** *The variational structure will later be evaluated in a complementary, global regime, yielding integral closure relations and explicit falsifiability criteria (Appendix E).*

## III. SOLITONS, ENDOGENOUS SOURCES, AND TOPOLOGICAL SECTORS

### A. From external sources to endogenous excitations

In Sections II and VI it is convenient to represent matter phenomenologically by external sources  $J_X$  in the projected-mode effective action (II.3). Conceptually, however, a substrate theory is stronger if “sources” are not inserted by hand but arise as *localized, persistent excitations* (defects/solitons) of the substrate itself. In QEG this is particularly natural: the fundamental field is geometric ( $\mathcal{G}_{\mu\nu}$ ), and the projected sectors inherit nonlinearities and constraints directly from the substrate potential  $V(\mathcal{G})$  and constitutive structure.

Accordingly, in this section we treat explicit delta-function sources as non-fundamental and reinterpret the effective “charges” and “currents” endogenously. Concretely, what appears as a source term in an effective Euler–Lagrange equation is reinterpreted as one of the following:

1. **Sector selection / constrained minimization:** the dynamics is posed in a fixed topological/boundary sector (winding/defect class), implemented by boundary conditions or constrained variation.

2. **Noether charges:** conserved quantities associated with continuous symmetries of the effective functional (exact or approximate).
3. **Boundary terms (effective sources):** what looks like a bulk source is equivalently encoded by a boundary functional fixing asymptotic data (hence selecting a nontrivial solution) without introducing point matter.

This mirrors the standard mechanism by which solitons in nonlinear field theories carry conserved quantum numbers without fundamental point sources (see e.g. [23–25]).

### B. Static solitons as critical points of the energy functional

Let  $\Phi$  denote the projected degrees of freedom that support localized structure (e.g. the shear-derived low-cost sector from Section VII, possibly coupled to additional modes through  $\mathcal{L}_{\text{mix}}$ ). The static energy functional takes the generic QEG form

$$E[\Phi] = \int d^3x \left[ \frac{1}{2} \langle \nabla\Phi, Z\nabla\Phi \rangle + V_{\text{eff}}(\Phi) \right], \quad (\text{III.1})$$

where  $Z$  is a positive stiffness operator inherited from the substrate. Finite-energy configurations satisfy  $\Phi(\mathbf{x}) \rightarrow \Phi_\infty$  as  $|\mathbf{x}| \rightarrow \infty$ , with  $\Phi_\infty$  a vacuum (local minimum) of  $V_{\text{eff}}$ .

Static solitons are critical points of  $E[\Phi]$  within an allowed sector (boundary/topology), i.e. solutions of

$$-\nabla \cdot (Z\nabla\Phi) + \nabla_\Phi V_{\text{eff}}(\Phi) = 0, \quad (\text{III.2})$$

supplemented by the sector-defining boundary data. Their rest mass is identified with energy,

$$M_{\text{sol}} = \frac{E[\Phi_{\text{sol}}]}{c^2}. \quad (\text{III.3})$$

**Remark III.1** (Dimensional obstruction and expected defect types). *In  $3 + 1$  dimensions, a purely scalar theory with quadratic gradients and a standard potential typically does not admit stable, finite-energy point-like static solitons without extra structure (gauge fields, higher-derivative terms of Skyrme type, or suitable topological constraints) [32]. In the present QEG extension, the most robust localized objects are therefore expected to appear primarily as (i) codimension-two defects (vortex/flux tubes), (ii) composite bound states stabilized by flux-tube energetics, and/or (iii) textures/knotted configurations whose stability is topological and/or relies on additional QEG-induced operators beyond the minimal prototype (e.g. higher invariants generated by the substrate potential and projection).*

### C. Vacuum manifolds, order parameters, and topological classification

Topological protection becomes precise once the effective vacuum set

$$\mathcal{M} \equiv \{\Phi : \nabla_\Phi V_{\text{eff}}(\Phi) = 0 \text{ and } V_{\text{eff}} \text{ minimal}\} \quad (\text{III.4})$$

has nontrivial topology. Solitons arise when the asymptotic boundary condition  $\Phi(\infty) \in \mathcal{M}$  defines a nontrivial homotopy class of maps from compactified space (or an asymptotic boundary) into  $\mathcal{M}$  [23, 25].

*a. Codimension-two sectors (flux tubes):*  $\pi_1$  at transverse infinity. For tube-like defects, one considers a transverse plane and the asymptotic circle at infinity  $S_{\perp, \infty}^1$  around the core. If the vacuum manifold contains an  $S^1$  factor (a phase), then the map

$$\Phi : S_{\perp, \infty}^1 \rightarrow S^1 \quad (\text{III.5})$$

is classified by

$$\pi_1(S^1) = \mathbb{Z}, \quad (\text{III.6})$$

yielding an integer winding number. This is the precise mathematical content of the sector label invoked in Section VI.

b. *Triplet normalization (optional closure) and  $\pi_2$  point-defect sectors.* For the shear-derived triplet closure  $Q^a$  (Section VII), a canonical order parameter is the normalized field

$$\hat{n}^a(\mathbf{x}) \equiv \frac{Q^a(\mathbf{x})}{\sqrt{Q^b(\mathbf{x})Q^b(\mathbf{x})}}, \quad \hat{n}^a \hat{n}^a = 1, \quad (\text{III.7})$$

defined wherever  $Q^a \neq 0$ . If  $V_{\text{eff}}$  selects a nonzero magnitude  $|Q| = v$  in the vacuum, then asymptotically  $\hat{n}$  defines a map  $S^2_\infty \rightarrow S^2$  and one has  $\pi_2(S^2) = \mathbb{Z}$ . In the present QEG extension this provides a *classification* tool; whether stable point-like defects are realized dynamically depends on additional structure beyond the minimal quadratic-gradient prototype (cf. Derrick constraints).

c. *Textures and Hopf sectors:  $\pi_3(S^2)$ .* If boundary conditions compactify  $\mathbb{R}^3$  to  $S^3$  (textures), then  $\hat{n} : S^3 \rightarrow S^2$  is classified by the Hopf invariant  $\pi_3(S^2) = \mathbb{Z}$ , corresponding to knotted/linked preimages [23, 33]. Such Hopf sectors provide a natural route to particle-like *knotted* excitations in a geometric substrate, especially when the QEG-induced nonlinearities penalize untwisting and delocalization.

d. *Physical meaning in QEG.* The key point is not the specific homotopy group but the mechanism: once  $\mathcal{M}$  is nontrivial, different sectors cannot be continuously deformed into each other without leaving  $\mathcal{M}$ , typically forcing a core where  $|Q| \rightarrow 0$  or where additional modes become activated. This provides a mathematically controlled notion of stability for endogenous “matter” in QEG.

#### D. Flux tubes as codimension-two solitons and confined composites

The flux-tube configurations, which we will analyze dynamically in Section VI, are naturally interpreted as codimension-two solitons (string-like defects) in the nonlinear shear sector. The link to Section VII is explicit in terms of the transverse doublet  $(Q^2, Q^3)$ : writing

$$Q^2 + iQ^3 \equiv \rho_Q e^{i\vartheta}, \quad (\text{III.8})$$

finite energy requires  $\rho_Q \rightarrow \rho_\infty \neq 0$  outside the core, so  $\vartheta$  defines a map from  $S^1_{-\infty, \infty}$  to  $S^1$ . The tube sector is then classified by the winding number

$$n \equiv \frac{1}{2\pi} \oint_{\partial\Sigma_\perp} \nabla\vartheta \cdot d\ell \in \mathbb{Z}, \quad (\text{III.9})$$

forcing a localized core and yielding an approximately stationary transverse profile (hence approximately constant tension). In this sense, the sector label assumed in Section VI is realized concretely as a topological datum of the shear-derived multiplet.

In the presence of multiple localized excitations carrying compatible sector data, the energetically favored configuration binds them by a tube of approximately constant tension, so that

- “confinement” is the variational preference for tube-mediated binding rather than free separation,
- hadron-like composites correspond to bound collections of defect cores connected by stable flux tubes,
- the internal multiplet index organizes interaction channels without identifying internal labels with global spatial axes.

This topological classification anticipates the mechanism we will establish in Section VI: nonlinearity plus a protected sector label yields  $E(r) \sim \sigma r$  over the tube-dominated regime.

#### E. Noether charges as endogenous “currents”

Topological charges account for stability across sectors; continuous symmetries account for conserved currents *within* a sector. If the effective functional admits an internal symmetry  $\Phi \mapsto \Phi'(\Phi)$  (exact or approximate), Noether’s theorem yields a conserved current.

In the minimal tube geometry, the guaranteed continuous redundancy is the transverse  $SO(2)$  acting on  $(Q^2, Q^3)$  (Section VII). In closures where the low-cost sector is approximately  $O(3)$  symmetric in  $Q^a$  (explicitly an *accidental* EFT symmetry, not a geometric necessity), the corresponding Noether currents take the schematic form

$$j_{ab}^\mu \propto \langle \partial^\mu Q_a, Z Q_b \rangle - \langle \partial^\mu Q_b, Z Q_a \rangle, \quad \partial_\mu j_{ab}^\mu = 0, \quad (\text{III.10})$$

up to mixing corrections. In QEG language, these currents are endogenous: they arise from degeneracies of the shear sector in the filamentary phase rather than from external  $J_X$ . When the  $O(3)$  is only approximate, the corresponding conservation laws become approximate, yielding controlled “leakage” between channels—a natural mechanism for metastability and slow decay.

#### F. Excited states and “generational” structure as soliton vibrations

A conservative and technically controlled way to capture families of heavier states is to interpret them as internal excitations of a given topological sector. Let  $\Phi_0(\mathbf{x})$  be a static soliton/tube solution. Consider small perturbations

$$\Phi(\mathbf{x}, t) = \Phi_0(\mathbf{x}) + \eta(\mathbf{x})e^{-i\omega t}. \quad (\text{III.11})$$

Linearization yields a Schrödinger-type eigenvalue problem

$$\mathcal{H}\eta = \omega^2 \eta, \quad \mathcal{H} = -\nabla \cdot (Z\nabla) + \nabla_\Phi \nabla_\Phi V_{\text{eff}}(\Phi)|_{\Phi_0}, \quad (\text{III.12})$$

where  $\mathcal{H}$  is the Hessian operator about the background. Discrete bound eigenmodes correspond to internal vibrational states with excitation energies  $\Delta E_n = \hbar\omega_n$ .

If dissipation is present (Rayleigh-type terms in QEG’s thermodynamic sector), these excited states acquire finite lifetimes and widths, without being responsible for the existence of finite-range forces (Section II). Thus, QEG separates (i) reactive mass scales set by  $V''$  and (ii) dissipative widths controlling instability of excited replicas.

**Remark III.2** (Physical intuition (string analogy)). *Just as a stretched string supports a fundamental tone and overtones determined by its tension and boundary conditions, a QEG soliton/tube supports a discrete spectrum of internal normal modes determined by the Hessian operator  $\mathcal{H}$  around the background solution. Dissipative terms (Rayleigh-type) then control linewidths (lifetimes) of these overtones rather than their existence, providing a compact intuition for heavier unstable replicas as excited modes.*

**Remark III.3** (Trapped flux as an energetic measure (optional link to the bootstrap)). *If the torsional projection  $A_\mu$  carries an electromagnetic-like flux, a localized configuration can trap a finite amount of this flux inside a closed geometric excitation. The associated trapped-flux energy provides a natural measure of the soliton core and offers a route to connect localized excitation energies with the bootstrap interpretation developed in Appendix E.*

a. *Outlook:  $\mathbb{Z}_2$  holonomy and spin.* The torsional sector may admit defects with non-trivial  $\mathbb{Z}_2$  holonomy (e.g. frame/connection holonomy around a core). If realized, this would provide a natural topological route to half-integer spin and gyromagnetic structure. Establishing this requires an explicit construction of the relevant bundle/connection in the torsional projection and a derivation of the induced holonomy class; we therefore defer it to Section XV.

## G. Summary of Section III

We reframed matter in QEG as endogenous nonlinear excitations and eliminated external sources as fundamental ingredients. Static solitons are finite-energy critical points of the projected-mode energy functional posed within fixed boundary/topological sectors. Stability is controlled by the topology of the vacuum manifold  $\mathcal{M}$  and the associated homotopy classes: flux tubes arise as codimension-two solitons classified by  $\pi_1(S^1) = \mathbb{Z}$  in the transverse shear doublet, yielding confinement-like binding of composites via approximately constant tension. Within a fixed topological sector, continuous degeneracies generate Noether currents, providing an endogenous notion of “charge/current” (exact or approximate). Finally, internal normal modes around solitons/tubes yield a controlled hierarchy of heavier unstable states, with dissipation governing lifetimes rather than range.

## IV. PARTICLES AS ELASTIC EXCITATIONS AND TOPOLOGICAL DEFECTS OF THE VACUUM

This section provides an *operational taxonomy* and physical intuition for how “particles” arise in QEG as excitations of a single elastic substrate. We summarize the classification first; the necessity of this taxonomy follows from the topology of the physical configuration space under finite-action boundary conditions and is derived constructively in Section V.

### A. Ontological scope

A central consequence of QEG is that all observed particle-like objects arise as distinct realizations—spectral, geometric, and topological—of a *single* nonlinear elastic substrate described by the symmetric tensor  $\mathcal{G}_{\mu\nu}$ . No independent matter fields are postulated: gauge bosons, fermions, and hadrons differ only by *boundary conditions, topology, and confinement geometry* within the same substrate. In this dictionary, torsional or transport modes provide circulation and effective charge, contractive response provides localization (rest mass), and the isotropic scalar response controls stiffness, core regularization, and stability.

**Definition IV.1** (Particle (QEG)). *A particle is a localized, finite-energy (or finite-action) excitation of the elastic vacuum  $\mathcal{G}_{\mu\nu}$ : either (i) a stationary bound eigenmode supported by a defect background, or (ii) a topologically stabilized defect or texture carrying quantized circulation or action data and admitting asymptotic vacuum boundary conditions.*

**Remark IV.2** (Scope of this taxonomy). *At this stage we classify what can exist and why it can be persistent. The precise mechanisms that (a) generate a protected  $\mathbb{Z}_2$  spinorial sector, (b) enforce confinement for fractional defects, and (c) discretize mass spectra are derived constructively in Section V and in the subsequent consistency-check sections where the electron and neutron scales are computed.*

*a. Projective identification from a symmetric substrate.* A key structural feature of QEG is that relevant order parameters inherited from a symmetric strain-like tensor behave as *directors* (headless vectors) rather than oriented vectors. Physical configurations related by inversion of such a director are therefore identified. As a result, the minimal target space for the corresponding order parameter is not  $S^2$  but the real projective space  $\mathbb{RP}^2 = S^2/\mathbb{Z}_2$ . This projective structure, forced by the symmetry of  $\mathcal{G}_{\mu\nu}$  and by finite-action boundary conditions, underlies the emergence of  $\mathbb{Z}_2$  holonomy sectors and ultimately spinorial behavior, as made explicit in Section V.

## B. A picture of torsional deformation

It is useful to visualize the vacuum as a nonlinear elastic medium. In such a medium, torsional (transport) deformation organizes into three robust regimes:

1. **Propagating ripples (bosons):** linearized, delocalized transport modes that propagate through the bulk without forming a permanent core.
2. **Vortex or flux defects (fermions):** self-trapped torsional configurations stabilized by flux closure, corresponding to effective codimension-2 defects on spatial slices. In the projective setting, the closure data carry a  $\mathbb{Z}_2$  holonomy class.
3. **Resonant cavities (hadrons):** volumetric confinement in a nonlinear regime (effective codimension-3), behaving as standing cavity modes. As shown in Section V, hadron-like states correspond to globally extendable composite configurations in which fractional obstruction data cancel, ensuring finite action.

*Crucially, this realizes a single geometric ontology: particle classes are not primitive species but localized, quantized excitations of the same elastic vacuum, distinguished by topology and by the modal sector of  $\mathcal{G}_{\mu\nu}$  that is activated.*

**Remark IV.3** (Heuristic vs. constructive level). *The classification above is an operational summary. The constructive derivation from  $\mathcal{G}_{\mu\nu}$  is given in Section V, where particle classes arise from: (i) the topology of the physical configuration space  $\mathcal{M} = \mathcal{C}/\mathcal{R}$ , (ii) finite-action boundary conditions, and (iii) an induced order-parameter map  $\Phi(\mathcal{G})$ .*

### C. Primary classification by elastic mode

The most fundamental classification arises from the nature of the excited sector of  $\mathcal{G}_{\mu\nu}$ . We distinguish three primary classes:

1. **Contractive modes:** localized compressive deformations supporting rest mass,
2. **Transport modes:** propagating transverse or azimuthal deformations mediating interactions,
3. **Expansive modes:** scalar volumetric deformations controlling vacuum state and stiffness.

A convenient correspondence with Standard Model language is:

Elastic mode	Physical role	SM (effective)
Contractive	localized energy	fermions, hadrons
Transport	interaction mediation	gauge bosons
Expansive	vacuum stiffness	Higgs-like scalar

#### 1. Fermions as topological defects

A fermion is a stable topological defect of the elastic vacuum characterized by:

- localized contractive deformation (finite core energy),
- nontrivial holonomy class (spinorial sector of configuration space),
- quantized circulation or action data,
- effective exclusion for identical defects (core-merger obstruction).

These defining properties arise geometrically:

- **Spin- $\frac{1}{2}$** : emerges from a protected  $\mathbb{Z}_2$  class in the projective configuration space  $\mathcal{M} = \mathcal{C}/\mathcal{R}$ ; a loop encircling the defect changes the sign of the director and requires a  $4\pi$  rotation to return to the original state.
- **Pauli exclusion**: follows from topological obstruction: continuous merger of identical defect cores would require a homotopy path that leaves the finite-action configuration space or crosses a singular configuration. Such paths are excluded, yielding effective exclusion without postulating antisymmetric wavefunctions.
- **Mass**: corresponds to confined elastic energy of the defect core.
- **Charge**: arises from coupled azimuthal (torsional) circulation modes, fixed by quantization conditions imposed on loop integrals of the transport sector.

Fermionic matter splits into leptons and quarks; hadrons are composite bound states formed from quark-like fractional defects.

a. *Leptons: elementary defects.* A lepton is an elementary fermionic defect of  $\mathcal{G}_{\mu\nu}$  with no internal substructure and no confinement mechanism. A convenient operational subdivision is:

Lepton	Geometric interpretation
Electron	minimal stable defect with quantized circulation
Muon, Tau	higher vibrational states of the same defect
Neutrinos	weakly compressive or phase-like excitations

b. *Quarks as fractional defects.* A quark is a fractional elastic defect of  $\mathcal{G}_{\mu\nu}$  that cannot close topologically in isolation under finite-action boundary conditions. Confinement is therefore a geometric necessity: isolated fractional defects force non-decaying stress or gradient energy, whereas closed composites yield globally extendable finite-energy solutions (Section V).

**Remark IV.4.** *Color degrees of freedom correspond to internal orientation states of the fractional obstruction carried by the defect, made explicit by an internal target refinement  $\mathcal{N} = \mathbb{RP}^2 \times \mathcal{K}$ .*

c. *Hadrons as closed defect configurations.* A hadron is a closed composite elastic defect formed by multiple quark excitations that collectively satisfy topological closure (obstruction cancellation). The basic subdivision is:

- **Baryons**: three-defect closures (fermionic),
- **Mesons**: defect–anti-defect dipoles (bosonic).

**Remark IV.5.** *Mesons are interpreted as transient internal vacuum oscillations rather than persistent matter defects; their stability is controlled by flux-tube energetics and decay channels.*

## 2. Bosons as transport modes

A gauge boson is a propagating, topologically trivial excitation of  $\mathcal{G}_{\mu\nu}$  that transports elastic deformation without forming a localized core. In Standard Model terms:

Boson	Elastic interpretation
Photon	transverse transport wave
Gluon	confined internal transport mode
$W^\pm, Z^0$	massive transport modes in broken stiffness

## 3. Scalar sector (Higgs-like / thermo-entropic)

Expansive isotropic deformations of the spatial sector  $\mathcal{G}_{ij}$  provide a scalar background that fixes the vacuum state and controls the effective range and mass of excitations. In QEG, this scalar physics is encoded endogenously in the isotropic trace mode (a stiffness or order-parameter degree of freedom) and its associated energetic amplitude.

**Remark IV.6** (Role of the scalar sector). *The scalar sector regulates defect core size, stability domains, and the spacing of internal excitation levels. This becomes explicit in the constructive derivation (Section V) and in the later consistency checks where electron and neutron mass scales are computed. In particular, fixing the vacuum value of the scalar/trace mode selects the asymptotic class  $\Phi(\mathcal{G}) \rightarrow \Phi_\infty$  in (V.4), and thereby makes the obstruction-based classification and core regularity assumptions of Section V well-posed.*

## V. DERIVATION OF TOPOLOGICAL PARTICLE CLASSES FROM THE FUNDAMENTAL SYMMETRIC TENSOR

This section provides the *constructive derivation* underlying the operational taxonomy of Section IV and anticipates the dynamical confinement mechanism developed in Sections VI and VII. The aim is not to assume Standard-Model particle classes, but to show that the *existence* of: (i) a protected  $\mathbb{Z}_2$  spinorial sector (fermionic behavior), (ii) stable topological defect charges, and (iii) exclusion and confinement as geometric necessities, follows from minimal QEG assumptions:

- the physical configuration space of a symmetric-tensor substrate,
- finite-action (finite-energy) boundary conditions on spatial slices, and
- the projective (director) nature of the minimal order parameter induced by a symmetric tensor.

**Logic of the section.** We first define the finite-action configuration space and explain where topology enters. We then show that the *minimal* order parameter extracted from a symmetric tensor is projective (director-like), forcing  $\mathcal{N} = \mathbb{RP}^2$  and hence  $\pi_1(\mathbb{RP}^2) = \mathbb{Z}_2$  and  $\pi_2(\mathbb{RP}^2) = \mathbb{Z}$ . Finally, we translate these homotopy data into: bosons vs. fermions, Pauli exclusion as a merger obstruction, and confinement as a global extendability obstruction. Optional circulation quantization is stated explicitly as an additional topological input used later in the spectral matching.

### A. Configuration space, redundancies, and the finite-action sector

The purpose of this subsection is to determine, from first principles, the admissible configuration space of localized finite-action excitations of the QEG vacuum. No particle assumptions are made at this stage. We ask only:

*Given that the fundamental object is a symmetric tensor  $\mathcal{G}_{\mu\nu}$  with a stable homogeneous vacuum, what is the minimal nontrivial space of orientations accessible to finite-action defects?*

Let  $M$  be a smooth 4-manifold. Consider the space of symmetric rank-2 tensor fields

$$\mathcal{C} := \left\{ \mathcal{G}_{\mu\nu} \in \Gamma(S^2 T^* M) \mid \mathcal{G} \text{ smooth on } M \setminus \Sigma, \Sigma \text{ a defect locus (possibly empty)} \right\}, \quad (\text{V.1})$$

where  $\Sigma$  denotes the set of defect cores where the continuum description may fail or where the induced order parameter becomes undefined.

Let  $\mathcal{R}$  denote the redundancy group acting on  $\mathcal{C}$ . At minimum  $\mathcal{R}$  contains  $\text{Diff}(M)$ ; it may be augmented by internal rotations associated with a chosen modal decomposition of  $\mathcal{G}_{\mu\nu}$  (e.g. rotations among degenerate principal axes in the shear sector). The physical configuration space is the quotient

$$\mathcal{M} := \mathcal{C}/\mathcal{R}. \quad (\text{V.2})$$

Dynamics are governed by a generally covariant action

$$S[\mathcal{G}] = \int_M \mathcal{L}(\mathcal{G}, \nabla\mathcal{G}) d^4x, \quad (\text{V.3})$$

and we restrict attention to configurations satisfying asymptotic vacuum conditions on spatial slices: there exists a vacuum configuration  $\mathcal{G}_{\mu\nu}^{(0)}$  such that

$$\mathcal{G}_{\mu\nu}(x) \rightarrow \mathcal{G}_{\mu\nu}^{(0)}, \quad \nabla\mathcal{G}(x) \rightarrow 0 \quad \text{as } |x| \rightarrow \infty, \quad (\text{V.4})$$

and  $S[\mathcal{G}] < \infty$  (equivalently: finite static energy in the adiabatic sector).

**Remark V.1** (Where topology enters and what is classified). *Finite-action boundary conditions compactify spatial infinity. On each time-slice  $\Sigma_t$ ,*

$$\mathbb{R}^3 \cup \{\infty\} \simeq S^3,$$

so localized excitations may be classified by homotopy data of an induced order parameter: either on linking spheres/loops around  $\Sigma$  (defects) or on the compactified space itself (textures). This is the standard mechanism by which finite-energy sectors acquire topological superselection data [23, 25].

## B. Order parameter from a symmetric tensor: directors, projectivization, and minimality

For finite-action configurations, any localized defect is characterized by the restriction of an induced order-parameter map to linking spheres or loops. The problem therefore reduces to identifying the *minimal* target manifold  $\mathcal{N}$  that faithfully encodes the vacuum orientation data carried by the symmetric tensor  $\mathcal{G}_{\mu\nu}$ .

*a. Director structure (forced identification).* When the local vacuum information is encoded in a *principal axis* of a symmetric rank-2 tensor (e.g. an eigen-direction of shear anisotropy), the corresponding order parameter is necessarily a *director*, i.e. an unoriented unit vector. This follows from the fact that eigenvectors of a symmetric tensor are defined only up to sign,

$$\vec{n} \sim -\vec{n}, \quad (\text{V.5})$$

since inversion of eigenvectors leaves all tensorial invariants unchanged. Consequently,  $\vec{n}$  and  $-\vec{n}$  represent the same physical deformation, as is well known in nematic media, where the orientational order parameter is precisely a director rather than a vector [34].

*b. Minimality and exclusion of alternatives.* A trivial target space admits only contractible maps and therefore cannot support stable finite-action defects. Taking  $S^2$  as target would incorrectly distinguish antipodal directions, contradicting the intrinsic symmetry (V.5). Enlarging the target space would introduce degeneracies not enforced by the substrate dynamics, violating parsimony. Hence the antipodal quotient is not a modeling choice but the *minimal faithful* configuration space compatible with a symmetric-tensor vacuum.

Accordingly, in three spatial dimensions the minimal nontrivial target manifold is the real projective plane,

$$\mathcal{N} = \mathbb{RP}^2 \simeq S^2/\{\vec{n} \sim -\vec{n}\}. \quad (\text{V.6})$$

**Theorem V.2** (Minimal projective target for a symmetric-tensor vacuum). *Assume that:*

- (i) *the vacuum sector of a symmetric-tensor substrate admits a local characterization by a purely orientational unoriented principal axis (director) extracted from  $\mathcal{G}_{\mu\nu}$  (e.g. via a modal projection), and*
- (ii) *finite-action boundary conditions restrict the order parameter to a compact target manifold.*

Then the minimal compatible target is  $\mathcal{N} = \mathbb{RP}^2$ , whose nontrivial homotopy groups are

$$\pi_1(\mathbb{RP}^2) \cong \mathbb{Z}_2, \quad \pi_2(\mathbb{RP}^2) \cong \mathbb{Z}. \quad (\text{V.7})$$

*Proof sketch.* Condition (i) enforces the identification (V.5). The minimal compact manifold encoding an unoriented axis in  $\mathbb{R}^3$  is therefore the antipodal quotient of the unit sphere,  $S^2/\{\vec{n} \sim -\vec{n}\} = \mathbb{RP}^2$ , yielding (V.6). Since  $S^2$  is the universal double cover of  $\mathbb{RP}^2$ , one has  $\pi_2(\mathbb{RP}^2) \cong \pi_2(S^2) \cong \mathbb{Z}$ . Moreover,  $\mathbb{RP}^2$  is the simplest compact target supporting a nontrivial fundamental group,  $\pi_1(\mathbb{RP}^2) \cong \mathbb{Z}_2$ , corresponding to an intrinsic two-class loop structure. Taking  $S^2$  as target would eliminate this structure by yielding  $\pi_1(S^2) = 0$ .  $\square$

*Compatibility with filamentary phases.* The projective target  $\mathbb{RP}^2$  encodes the unoriented-axis data and its intrinsic  $\mathbb{Z}_2$  holonomy. Integer-winding flux tubes arise only after symmetry reduction to an effective  $S^1$  phase degree of freedom in the transport or shear sector, for which  $\pi_1(S^1) = \mathbb{Z}$ . The  $\mathbb{Z}_2$  projective obstruction and the  $\mathbb{Z}$  filament winding therefore coexist, acting on distinct but coupled reduced variables.

## C. Defect charges and stability from homotopy

Given  $\Phi(\mathcal{G}) : M \setminus \Sigma \rightarrow \mathbb{RP}^2$ , the relevant homotopy group depends on defect codimension. In a 3D spatial slice:

- **Point defects:** restrict  $\Phi$  to a linking  $S^2$  around the core, giving an integer charge

$$Q \in \pi_2(\mathbb{RP}^2) \cong \mathbb{Z}. \quad (\text{V.8})$$

- **Line defects (vortices/strings):** restrict  $\Phi$  to a linking  $S^1$ , giving a  $\mathbb{Z}_2$  class

$$\omega \in \pi_1(\mathbb{RP}^2) \cong \mathbb{Z}_2. \quad (\text{V.9})$$

**Proposition V.3** (Topological stability criterion in the finite-action sector). *Assume the effective action penalizes gradients of the order parameter (schematically  $\|\nabla\Phi\|^2$ ) in the finite-action sector. If a configuration carries a nontrivial class in the relevant homotopy group, it cannot be continuously deformed to the vacuum configuration within the finite-action class: any unwinding must either (i) cross configurations where  $\Phi$  is undefined (enter  $\Sigma$ ), or (ii) incur divergent gradient cost. Hence the corresponding excitation is topologically protected [23, 25].*

<sup>2</sup> Although asymptotic boundary conditions select a vacuum configuration  $\mathcal{G}_{\mu\nu}^{(0)}$ , background independence is preserved, since  $\mathcal{G}_{\mu\nu}^{(0)}$  is a dynamically selected solution rather than a fixed geometric background.

## D. Fermions as $\mathbb{Z}_2$ holonomy sectors (spin without spinors)

Having fixed the minimal target  $\mathbb{RP}^2$ , we now analyze the physical consequences of its non-simply-connected structure. The central point is that *spinorial behavior arises as a holonomy effect in configuration space*, not as an imposed representation-theoretic postulate.

Let  $\gamma$  be a loop in physical space linking a defect core (or encircling a line defect). Define the  $\mathbb{Z}_2$  holonomy class

$$\omega(\gamma) := [\Phi(\mathcal{G})|_\gamma] \in \pi_1(\mathbb{RP}^2) \simeq \{0, 1\}. \quad (\text{V.10})$$

**Proposition V.4** (Spinorial behavior from projectivization). *Configurations in the  $\omega = 1$  sector exhibit a double-cover behavior under rotations: a  $2\pi$  rotation induces the nontrivial loop in  $\mathbb{RP}^2$  (equivalently, a sign change in any lifted representative on  $S^2$ ), while a  $4\pi$  rotation is trivial in the physical state space. Hence  $\omega = 1$  realizes the defining spinorial property of fermionic sectors without introducing fundamental spinor fields [25, 35].*

**Remark V.5** (Interpretation as a geometric superselection label). *The label  $\omega$  is a discrete obstruction class in the physical configuration space  $\mathcal{M}$ . It is not introduced as a representation-theoretic postulate, but as the minimal topological data enforced by the projective nature of the symmetric-tensor order parameter.*

## E. Bosons vs. fermions as topological classes

With (V.10) established, the minimal taxonomy (used operationally in Section IV) follows:

1. **Bosons (transport modes)**: excitations in the trivial holonomy sector  $\omega = 0$ . They can be locally deformed to vacuum within the finite-action class and do not acquire a topological sign under  $2\pi$  rotation. In QEG language, these are the linear(izable) propagating modes around the vacuum.
2. **Fermions (defect sectors)**: localized excitations in the nontrivial sector  $\omega = 1$ . They carry a protected  $\mathbb{Z}_2$  obstruction and cannot continuously decay into the transport sector without exiting the finite-action class (Proposition V.3).

## F. Pauli exclusion as core-merger obstruction

**Proposition V.6** (Exclusion from topology and finite-action regularity). *Assume: (i) defect cores are regularized by the finite-action condition (so that coincident-core configurations are either excluded or correspond to singular/undefined order-parameter data), and (ii) two fermionic excitations carry identical topological labels  $(Q, \omega)$ . Then, continuous merger paths in  $\mathcal{M}$  are obstructed: any attempted coincidence requires either a change of topological sector or passage through a singular (infinite-action) configuration.*

**Remark V.7** (Physical reading: degeneracy pressure as geometric necessity). *Proposition V.6 provides the geometric core of a Pauli-type exclusion principle in QEG, without postulating antisymmetric fundamental fields. In the effective description, this manifests as an inability to form a finite-energy coincident configuration for identical fermionic cores, yielding short-range repulsion and (in many-body settings) degeneracy pressure.*

## G. Fractional defects and confinement as global extension obstruction

To encode quark-like behavior we must distinguish between *local* linking data and *global* finite-action extendability on the compactified slice  $S^3$ .

**Definition V.8** (Fractional defect). *A fractional defect is a localized excitation with well-defined local linking data, but which cannot be extended to a global finite-action configuration on  $\Sigma_t \cup \{\infty\} \simeq S^3$  under fixed vacuum boundary conditions, unless combined with other defects so that the global obstruction cancels.*

**Proposition V.9** (Geometric confinement from obstruction cancellation). *Assume finite-action boundary conditions enforce  $\Phi(\mathcal{G})(x) \rightarrow \Phi_\infty \in \mathbb{RP}^2$  at infinity. Then isolated fractional defects are excluded: they force non-decaying gradient energy or branch structure. Finite-action configurations exist only for composite states in which obstruction data cancel globally. These composites form the hadron-like sector (mesons, baryons). Confinement is therefore a geometric necessity.*

**Remark V.10** (Connection to the dynamical flux-tube mechanism). *Proposition V.9 is the topological necessity statement. Sections VI and VII provide the dynamical realization in QEG: the nonlinearity of the substrate enforces finite-action completion via flux-tube energetics and internal multiplet organization.*

**Remark V.11** (Internal multiplicity and “color”). *Additional internal labels (“color”) can be implemented by refining the target to*

$$\mathcal{N} = \mathbb{RP}^2 \times \mathcal{K}, \quad (\text{V.11})$$

where  $\mathcal{K}$  carries internal obstruction-orientation data (or additional discrete/continuous structure depending on the chosen modal sector). This refinement organizes composite channels without altering the minimal  $\mathbb{Z}_2$  spinorial mechanism provided by the projective factor.

## H. Optional quantization input: circulation as a topological invariant

To connect topology with discrete charges in the transport sector (and later match stationary minima to electron/neutron scale calculations), one may introduce a circulation functional  $\Omega_\gamma[\mathcal{G}]$  extracted from the torsional/transport projection and impose a quantization condition on loops linking a defect core:

$$\Omega_\gamma[\mathcal{G}] := \oint_\gamma \mathcal{A}(\mathcal{G}) = 2\pi n \hbar, \quad n \in \mathbb{Z}. \quad (\text{V.12})$$

This assumption is *not required* to establish the existence of fermionic/bosonic sectors, but it will be activated when stationary-sector minima and mass spectra are computed in the subsequent scalar and spectral analysis.

## I. Assumptions and non-assumptions

It is useful to state explicitly what enters this construction and what does not. We assume a symmetric-tensor substrate, finite-action boundary conditions, and the existence of a director-like order parameter extracted from  $\mathcal{G}_{\mu\nu}$ . We do not assume fundamental spinor fields, non-Abelian gauge groups, or postulated linear confining potentials. Gauge-like structures and statistics emerge, when needed, as effective descriptions of the underlying configuration-space topology.

## J. Summary of Section V

We derived the minimal topological substrate required for the QEG particle ontology from the fundamental symmetric tensor:

1. A symmetric-tensor vacuum generically induces *director* (headless) order-parameter data, forcing a projective target  $\mathbb{RP}^2$  (Theorem V.2).

2. The homotopy groups of  $\mathbb{R}P^2$  yield: (i) a protected  $\mathbb{Z}_2$  holonomy sector  $\omega \in \pi_1(\mathbb{R}P^2)$  realizing spinorial behavior (Proposition V.4), and (ii) an integer point-defect charge  $Q \in \pi_2(\mathbb{R}P^2)$ .
3. Bosons correspond to the trivial holonomy sector (transport modes), while fermions correspond to the nontrivial sector (topological defects).
4. Exclusion emerges as a core-merger obstruction under finite-action regularity (Proposition V.6).
5. Quark-like fractional defects are interpreted as globally obstructed configurations whose finite-action completion requires composites, making confinement a geometric necessity (Proposition V.9); its dynamical flux-tube realization is developed in Sections VI and VII.

## VI. NONLINEAR SUBSTRATE REGIME AND FLUX-TUBE ENERGETICS

A central question for any geometric theory of matter is whether localized sources remain spatially dispersed or become dynamically confined into filamentary structures. In Quantum Elastic Geometry (QEG), we argue that flux-tube (string-like) configurations arise as energetically favored constrained minimizers once the substrate enters a nonlinear elastic regime *and* a fixed sector label must be transmitted between separated defect cores.

Crucially, confinement-like linear scaling is not postulated, nor imported from non-Abelian gauge theory. Instead, it emerges as a *structural consequence* of nonlinear elasticity under finite-action and sector constraints. This section establishes confinement as a variational phenomenon intrinsic to the QEG substrate.

### A. Why confinement is a variational question in a nonlinear medium

In the weak-field regime, static solutions of projected QEG modes are governed by linear elliptic operators (Laplace, Yukawa, Proca), and energy minimization disperses deformation radially, yielding Coulombic or Yukawa-screened behavior. This is the universal outcome of unconstrained minimization in a linear medium.

Confinement-like behavior, by contrast, is characterized by an approximately linear growth of interaction energy with separation,

$$E(r) \sim \sigma r,$$

over an intermediate range, as is standard in confining gauge theories [14, 36]. In QEG, such behavior can only arise if *unconstrained spreading is forbidden*. The correct question is therefore variational:

*Under what conditions does constrained energy minimization favor filamentary transmission of deformation rather than volumetric spreading?*

The answer is universal across nonlinear media: once (i) stabilizing nonlinearities penalize extended high-amplitude deformation, and (ii) a nontrivial sector label (topological, winding, or boundary datum) must be preserved, constrained minimization generically favors localization of support on a codimension-2 structure.

**Proposition VI.1** (Bridge: obstruction data  $\Rightarrow$  filamentary constrained minimizers). *Assume a finite-action sector is fixed by asymptotic vacuum boundary data,  $\Phi(\mathcal{G}) \rightarrow \Phi_\infty$  as in (V.4), and that the configuration carries a nontrivial obstruction class (e.g. holonomy/winding/boundary data) which cannot be removed by any deformation staying within the finite-action class (Section V). Then any minimizing sequence of the static energy constrained to that*

*sector cannot converge to a globally dispersed vacuum configuration: it must develop localized regions where the order parameter leaves the vacuum manifold (or becomes undefined at a core). In a two-core geometry where the obstruction must be transmitted between separated cores, the energetically preferred such localization is codimension-2, yielding a filamentary (core+tube) minimizing structure.*<sup>3</sup>

**Remark VI.2** (What is assumed and where the sector label comes from). *Proposition VI.1 is the variational form of “confinement as necessity”: it assumes neither a linear potential nor non-Abelian gauge dynamics. It uses only (i) existence of a protected sector/obstruction label in the finite-action configuration space (Section V) and (ii) stabilizing nonlinearities in the substrate energy functional. Section VII then provides an explicit endogenous realization of the required sector label in QEG via the shear-sector transverse doublet winding.*

In QEG, both ingredients arise endogenously from the elastic substrate.

### B. Energy scaling: dispersed versus filamentary transmission

Consider two generic classes of configurations transmitting a fixed sector datum between separated cores.

a. *(i) Dispersed transmission.* If deformation is spread over a roughly spherical region of radius  $R$ , a caricature of the energy scaling is

$$E_{\text{sph}}(R) \sim \frac{c_1 Q_{\text{sec}}^2}{R} + c_2 \Delta V R^3, \quad (\text{VI.1})$$

where  $Q_{\text{sec}}$  denotes the conserved sector label and  $\Delta V$  is the nonlinear energy density cost of maintaining deformation away from the vacuum. Once nonlinearities are active, the volume term dominates and disfavors large  $R$ .

b. *(ii) Filamentary transmission.* If the same sector datum is transmitted along a codimension-2 filament of transverse radius  $\rho^*$ , translational invariance along the filament axis yields

$$E_{\text{tube}}(r) \simeq \sigma r, \quad (\text{VI.2})$$

with string tension

$$\sigma = \int d^2 x_\perp \mathcal{E}_\perp. \quad (\text{VI.3})$$

c. *Conclusion.* Once a fixed sector label must be transmitted, nonlinear elasticity penalizes volumetric spreading, and constrained minimization generically favors filamentary localization. This is the same universal mechanism underlying vortex lines in nonlinear media; here it arises without assuming gauge dynamics.

<sup>3</sup> A filament may be described as a localized world-sheet characterized by emergent covariant order parameters ( $u^\mu, t^\mu$ ), without introducing additional fundamental fields; the covariant construction is given in Appendix A. Additionally, the filament direction defines only a configuration-dependent local frame and does not select a preferred spatial axis of the vacuum. The compatibility of this construction with global isotropy is discussed in Appendix B.

### C. Prototype nonlinear sector and constrained minimization

To isolate the universal mechanism, consider a minimal projected mode  $Q$  with static energy functional

$$\begin{aligned} E[Q] &= \int d^3x \left[ \frac{Z_Q}{2} (\nabla Q)^2 + V(Q) \right], \\ V(Q) &= \frac{1}{2} m_Q^2 Q^2 + \frac{\lambda_Q}{4} Q^4, \end{aligned} \quad (\text{VI.4})$$

with  $\lambda_Q > 0$ . By itself, this scalar model does not support stable tubes in  $3 + 1$  dimensions. A tube becomes variationally natural only after imposing a nontrivial sector constraint.

The minimal structure supporting codimension-2 sectors is a real doublet  $Q_\perp = (Q_2, Q_3)$  with polar decomposition

$$Q_2 + iQ_3 = f e^{i\theta}. \quad (\text{VI.5})$$

The energy functional becomes

$$E[f, \theta] = \int d^3x \left[ \frac{Z_f}{2} (\nabla f)^2 + \frac{Z_\theta}{2} f^2 (\nabla \theta)^2 + V(f) \right], \quad (\text{VI.6})$$

and sectors are labeled by the winding number

$$n = \frac{1}{2\pi} \oint_{\gamma_\perp} \nabla \theta \cdot d\ell \in \mathbb{Z}. \quad (\text{VI.7})$$

Minimization of  $E$  subject to fixed  $n$  yields a stationary transverse profile  $(f(\rho), \theta = n\varphi)$  with finite core radius  $\rho^*$ .

### D. Linear scaling and string tension

Once a stationary transverse minimizer exists, linear scaling is automatic. Approximating translational invariance along the tube axis,

$$E(r) = \int_0^r dz \int d^2x_\perp \mathcal{E}_\perp \equiv \sigma r, \quad (\text{VI.8})$$

with

$$\sigma = \int d^2x_\perp \left[ \frac{Z_f}{2} (\nabla_\perp f)^2 + \frac{Z_\theta}{2} f^2 (\nabla_\perp \theta)^2 + V(f) \right]. \quad (\text{VI.9})$$

A dimensional estimate balancing winding and core costs yields

$$\rho^* \sim \left( \frac{Z_\theta f_c^2 n^2}{\Delta V} \right)^{1/4}, \quad \sigma \sim |n| f_c \sqrt{Z_\theta \Delta V}, \quad (\text{VI.10})$$

demonstrating that a fixed sector generically produces a finite transverse scale and approximately constant tension.

### E. Constitutive signature: nonlinear vacuum polarization

The same nonlinear substrate regime responsible for tube-dominated minimizers admits a precise constitutive characterization. In the linear regime, the torsional (electromagnetic-like) response is governed by constant moduli  $(\epsilon_0, \mu_0)$ . Beyond the Hookean domain, the effective response becomes field-dependent.

The covariant EFT encoding is

$$\mathcal{L}_{\text{eff}}(X, Y) = \frac{1}{\mu_0} X + \frac{1}{\Lambda_*^4} (aX^2 + bY^2) + \dots, \quad (\text{VI.11})$$

with invariants

$$X = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}, \quad Y = -\frac{1}{4} F_{\mu\nu} \tilde{F}^{\mu\nu}.$$

For purely electric configurations,

$$\epsilon_{\text{eff}}(E) = \epsilon_0 \left[ 1 + 3\eta_E \left( \frac{E}{E_*} \right)^2 + \dots \right], \quad E_*^2 = \frac{\Lambda_*^4}{\epsilon_0}. \quad (\text{VI.12})$$

*a. Breakdown criterion.* We define the nonlinear (“dielectric breakdown”) regime by

$$E \gtrsim E_* \quad \text{and/or} \quad B \gtrsim B_*,$$

where nonlinear polarization becomes order unity. This is not a literal failure of the vacuum but the constitutive signature that linear superposition has ceased to be energetically optimal.

*b. Interpretation.* Nonlinear polarization and flux-tube formation are two facets of the same substrate phase: both signal that constrained minimization in a nonlinear elastic medium now favors localized, tube-like transmission of deformation.

## F. Summary

We have shown that confinement-like linear scaling in QEG is a constrained variational phenomenon. Stabilizing nonlinearities penalize extended deformation, while a fixed sector label forbids global relaxation to the vacuum. The resulting constrained minimizer localizes deformation on a filament of finite radius, yielding an approximately constant string tension and  $E(r) \sim \sigma r$  scaling.

No non-Abelian gauge assumption is required. The only missing ingredient is the *origin of the sector label itself*, which must be endogenous, isotropy-safe, and supported by the tensorial structure of the substrate. This is provided next by the shear sector of  $\mathcal{G}_{ij}$ .

## VII. TENSORIAL ORIGIN OF AN INTERNAL MULTIPLY FROM THE SHEAR SECTOR

### A. The shear sector of $\mathcal{G}_{ij}$ and its degrees of freedom

A central requirement for a strong-sector analogue is an *internal multiplicity* (a “color-like” index) that does not coincide with physical-space directions, so that isotropy is not broken at the level of the vacuum<sup>4</sup>. In QEG, the natural candidate is the spatial sector  $\mathcal{G}_{ij}$ , which carries six independent components in three dimensions. Splitting trace (volumetric) and traceless (shear) parts gives

$$\mathcal{G}_{ij} = \frac{1}{3} \delta_{ij} \text{tr}(\mathcal{G}) + S_{ij}, \quad \text{tr}(S) = S_{ii} = 0, \quad (\text{VII.1})$$

<sup>4</sup> The internal multiplet introduced in this section must not be interpreted as a spatial vector. Any identification of internal labels with fixed spatial directions would explicitly break isotropy at the level of the vacuum. A dedicated no-go discussion clarifying this point, and showing how the present construction avoids it, is given in Appendix B. Additionally, the  $3 \oplus 2$  tube-adapted decomposition employed here is not an ad hoc truncation. A quadratic fluctuation analysis around a cylindrically symmetric tube background shows that transverse shape modes are generically heavier than the light sector, justifying this hierarchy at low energies; see Appendix C

where  $S_{ij}$  is a symmetric traceless  $3 \times 3$  tensor and therefore carries five independent degrees of freedom.

From the viewpoint of rotational representation theory,  $S_{ij}$  transforms as the  $\ell = 2$  irreducible representation of  $SO(3)$  (five real components), equivalently as a rank-2 irreducible Cartesian tensor (see e.g. [37–39]).

In the QEG ontology, long-range sectors correspond to (approximately) flat directions of the projected substrate potential, while filamentary confinement-like phases require strong nonlinearities and a protected topological/boundary sector label (Section VI). The traceless shear sector is the minimal rotationally covariant subspace of  $\mathcal{G}_{ij}$  that can host strong nonlinear invariants without interfering with the purely volumetric mode responsible for the dominant long-range response.

The key conceptual point is that a *localized filamentary configuration* (flux tube) provides a *local axis* without selecting a preferred direction in the vacuum: the axis is a collective coordinate of a solitonic excitation, not a vacuum order parameter. This is precisely the mechanism by which an effective internal multiplicity can emerge *configuration-by-configuration* while global isotropy remains intact.

## B. Emergent $1 \oplus 2 \oplus 2$ (hence $3 \oplus 2$ ) decomposition in the filamentary phase

Flux-tube configurations (Section VI) are characterized by a locally defined unit tangent vector field  $t_i(\mathbf{x})$  along the tube axis. The existence of  $t_i$  does *not* mean the vacuum breaks  $SO(3)$ : it is an emergent axis of a localized excitation, and different configurations can have arbitrarily oriented  $t_i$ .

Given  $t_i$ , define the transverse projector onto the plane orthogonal to the tube axis:

$$P_{ij} = \delta_{ij} - t_i t_j, \quad P_{ij} t_j = 0, \quad P_{ik} P_{kj} = P_{ij}. \quad (\text{VII.2})$$

Using  $(t_i, P_{ij})$ , we decompose the shear tensor  $S_{ij}$  into pieces adapted to the residual symmetry about  $t$ . Concretely:

- a. (i) *Longitudinal scalar* ( $m = 0$ , 1 dof):

$$s \equiv t_i t_j S_{ij}. \quad (\text{VII.3})$$

- b. (ii) *Mixed transverse vector* ( $m = \pm 1$ , 2 dof):

$$q_i \equiv P_{ik} S_{kj} t_j, \quad q_i t_i = 0. \quad (\text{VII.4})$$

- c. (iii) *Purely transverse traceless tensor* ( $m = \pm 2$ , 2 dof): Define first the transverse projection  $\tilde{S}_{ij} \equiv P_{ik} P_{jl} S_{kl}$  and its transverse trace  $\tilde{S}_\perp \equiv P_{ij} \tilde{S}_{ij} = P_{ij} S_{ij}$ . The transverse-traceless part is then

$$u_{ij} \equiv \tilde{S}_{ij} - \frac{1}{2} P_{ij} \tilde{S}_\perp, \quad u_{ij} t_j = 0, \quad u_{ii} = 0, \quad (\text{VII.5})$$

which indeed carries two independent degrees of freedom.

One verifies the reconstruction

$$S_{ij} = s \left( t_i t_j - \frac{1}{3} \delta_{ij} \right) + (t_i q_j + t_j q_i) + u_{ij}, \quad (\text{VII.6})$$

accounting for  $1 + 2 + 2 = 5$  degrees of freedom.

- d. *Representation-theoretic meaning.* The axis  $t$  reduces  $SO(3)$  locally to the stabilizer  $SO(2)$  about  $t$ . The  $\ell = 2$  irrep then decomposes into  $SO(2)$  weight spaces  $m = 0, \pm 1, \pm 2$ :

$$\ell = 2 \downarrow SO(2): \quad 5 = 1 \oplus 2 \oplus 2, \quad (\text{VII.7})$$

which is precisely realized by  $(s)$ ,  $(q_i)$  and  $(u_{ij})$  above (see e.g. [37, 38]).

**Remark VII.1.** *Although this construction is presented in a tube-adapted spatial frame, it admits a fully covariant formulation in terms of emergent order parameters extracted from the nonlinear QEG configuration; see Appendix A.*

## C. An isotropy-safe “triplet”: internal labeling from a tube-adapted frame

For the strong-sector analogue, the natural candidate for an effective internal multiplet is the set of three lowest-cost shear degrees of freedom associated with  $m = 0$  and  $m = \pm 1$ . We will refer to the  $m = 0 \oplus (m = \pm 1)$  block as an “effective triplet” (one scalar plus one transverse doublet), since it provides three real low-cost degrees of freedom in a tube-adapted frame, while the  $m = \pm 2$  sector controls transverse shape/biaxiality.

Introduce a local orthonormal triad  $\{e_{(1)}, e_{(2)}, e_{(3)}\}$  adapted to the tube:

$$\begin{aligned} e_{(3)} &\equiv t, & e_{(1)} \cdot t &= e_{(2)} \cdot t = 0, \\ e_{(1)} \cdot e_{(2)} &= 0, & |e_{(1)}| &= |e_{(2)}| = |t| = 1. \end{aligned} \quad (\text{VII.8})$$

Define the three real components

$$Q^1 \equiv s = S_{ij} t_i t_j, \quad Q^2 \equiv S_{ij} e_{(1)i} t_j, \quad Q^3 \equiv S_{ij} e_{(2)i} t_j. \quad (\text{VII.9})$$

Equivalently, one may identify the triplet as

$$Q^a \equiv (s, q_1, q_2), \quad Q^a Q^a = s^2 + q_i q_i, \quad a = 1, 2, 3, \quad (\text{VII.10})$$

where  $(q_1, q_2)$  are the components of  $q_i$  in an orthonormal basis on the transverse plane.

Rotations in the transverse plane,

$$(e_{(1)}, e_{(2)}) \mapsto (\cos \theta e_{(1)} + \sin \theta e_{(2)}, -\sin \theta e_{(1)} + \cos \theta e_{(2)}), \quad (\text{VII.11})$$

leave  $t$  invariant and represent a local  $SO(2)$  redundancy in the adapted-frame choice.<sup>5</sup> Under (VII.11),  $(Q^2, Q^3)$  transforms as a doublet while  $Q^1$  is invariant. Thus the isotropy-safe statement is that the leading tube-phase functional depends on  $SO(2)$ -invariant combinations such as

$$Q_\perp^2 \equiv (Q^2)^2 + (Q^3)^2, \quad (Q^1)^2, \quad \text{and (if allowed) mixed invariants such as} \quad (\text{VII.12})$$

*Link to Section VI: the sector label as winding of the transverse doublet.*

The flux-tube variational argument of Section VI required a fixed topological/boundary sector label that must be transmitted between separated defect cores. In the present construction, such a label arises naturally from the transverse doublet  $(Q^2, Q^3)$ . Writing

$$Q^2 + iQ^3 \equiv \rho_Q e^{i\vartheta}, \quad (\text{VII.13})$$

a tube configuration with  $\rho_Q \rightarrow \rho_\infty \neq 0$  outside the core admits an integer winding number around a transverse loop  $\partial\Sigma_\perp$ ,

$$n \equiv \frac{1}{2\pi} \oint_{\partial\Sigma_\perp} \nabla\vartheta \cdot dl \in \mathbb{Z}. \quad (\text{VII.14})$$

Fixing  $n$  prevents the field from relaxing to the vacuum everywhere and forces a localized core, thereby providing the concrete realization of the sector constraint used in Section VI. In this sense, the tube is supported by an endogenous topological datum of the shear-triplet sector rather than by imposing a phenomenological linear potential.

<sup>5</sup> One may regard this as the freedom to rotate the transverse basis at fixed tangent; in a fully covariant tube EFT it is treated as a local frame redundancy.

**Remark VII.2** (Compatibility of  $\mathbb{Z}$  winding with the  $\mathbb{Z}_2$  projective sector). *The  $\mathbb{Z}_2$  holonomy of Section V is the minimal obstruction forced by projectivization of a director-like order parameter ( $\mathbb{R}\mathbb{P}^2$  target). In the filamentary phase, the light shear subspace admits an effective complex doublet with a nonzero asymptotic modulus  $\rho_Q \rightarrow \rho_\infty \neq 0$ , reducing the relevant transverse target to an  $S^1$  phase and hence to an integer winding  $n \in \pi_1(S^1) = \mathbb{Z}$ . Depending on the embedding of this doublet into the full projective order-parameter manifold, the  $\mathbb{Z}_2$  class may be realized as  $n \bmod 2$  (minimal spinorial sector) or as an independent obstruction label carried by the director component.*

a. *Effective potential closure (minimal, explicitly operational).* A particularly simple organizing closure is to assume that (in the lowest-cost sector) the effective potential depends dominantly on the combination  $Q^a Q^a$ :

$$V_{\text{triplet}}(Q) = \frac{1}{2} m_Q^2 Q^a Q^a + \frac{\lambda_Q}{4} (Q^a Q^a)^2 + \dots \quad (\text{VII.15})$$

We stress that any  $O(3)$  acting on  $a = 1, 2, 3$  is *not* guaranteed by geometry; it is an *accidental effective symmetry* that may emerge if the Hessian of the restricted potential in the light subspace is approximately isotropic. The symmetry guaranteed by the tube geometry alone is the transverse  $SO(2)$  acting on  $(Q^2, Q^3)$ , and microscopic QEG invariants may break the accidental  $O(3)$  down to a smaller subgroup.

**Remark VII.3.** *The index  $a = 1, 2, 3$  is defined in a co-moving frame attached to a localized configuration. Since the tube tangent  $t$  can point arbitrarily and is itself dynamical, these labels do not track global spatial axes and do not imply an anisotropic vacuum.*

#### D. Relation to standard tensor order-parameter physics

The use of a symmetric traceless tensor as an order parameter with a locally defined axis is common in continuum descriptions of anisotropic media, notably the  $Q$ -tensor framework for nematic liquid crystals (Landau-de Gennes theory), where a symmetric traceless tensor encodes local orientational order without requiring a fixed preferred direction in the isotropic phase [40]. This provides a close conceptual analogue: here  $S_{ij}$  plays the role of a shear order parameter, and the tube tangent  $t$  is the emergent local axis of the filamentary excitation. The crucial difference is ontological: in QEG,  $S_{ij}$  is not a material order parameter but a projected sector of the spacetime-substrate deformation tensor.

#### E. What about the remaining two shear degrees of freedom?

The two transverse traceless components  $u_{ij}$  in (VII.5) represent shear distortions confined to the tube cross-section. They naturally control transverse “shape” (ellipticity/biaxiality) and may affect the tube tension  $\sigma$  and stability. The minimal hierarchical assumption is that  $u_{ij}$  is heavy (large curvature of the restricted potential in the  $m = \pm 2$  directions) or stabilized near a minimizing value, so that the dominant low-energy tube dynamics resides in the triplet sector  $Q^a$ :

$$S_{ij} \text{ (5 dof)} \rightarrow \underbrace{Q^a \text{ (3 dof, active)}}_{\text{eff. internal multiplet}} \oplus \underbrace{u_{ij} \text{ (2 dof, transverse shape)}}_{\text{subleading}} \quad (\text{VII.16})$$

In Section III we will give the corresponding topological classification and show how the winding sector is protected under continuous deformations within the tube EFT.

#### F. Coupling to the torsional/vector sector

The above construction is internal to the spatial sector  $\mathcal{G}_{ij}$ . QEG also contains mixed components  $\mathcal{G}_0$ ,

which under the modal picture contribute to effective torsional/vector degrees of freedom  $A_\mu$ . In a filamentary phase, the leading isotropy-safe couplings between the shear-triplet  $Q^a$  and the vector sector can be organized as a derivative expansion. At lowest order (no derivatives on  $Q$ ), one expects couplings of the schematic form

$$\mathcal{L}_{\text{mix}} \supset \kappa_1 (Q^a Q^a) A_\mu A^\mu + g A_\mu J_{\text{int}}^\mu + \dots, \quad (\text{VII.17})$$

Here  $J_{\text{int}}^\mu$  denotes the Noether current associated with internal rotations of the shear-triplet sector, and thus the second term illustrates the generic possibility of parity-odd or frame-sensitive couplings once a tube-adapted internal basis is chosen (Section XVI). We emphasize that the explicit operator basis is fixed by the symmetries of the tube EFT (local  $SO(2)$  redundancy, spacetime covariance, and the restricted invariants inherited from  $V(\mathcal{G})$ ); (VII.17) is therefore schematic and will be specialized once the microscopic projection is fixed.

#### G. Summary of Section VII

We provided an explicit tensorial origin for an isotropy-safe internal multiplet in QEG. The spatial shear tensor  $S_{ij}$  (symmetric traceless, 5 d.o.f.) transforms as the  $\ell = 2$  irrep of  $SO(3)$ . In the filamentary (flux-tube) phase, the emergent local tangent  $t_i$  reduces symmetry to  $SO(2)$  and induces a canonical  $1 \oplus 2 \oplus 2$  splitting, corresponding to  $(s)$ ,  $(q_i)$ , and  $(u_{ij})$ . The  $m = 0 \oplus (m = \pm 1)$  block defines an effective triplet  $Q^a$  (one scalar plus one transverse doublet), whose labels are internal to a tube-adapted co-moving frame rather than tied to global spatial axes, preserving an isotropic vacuum while allowing localized configurations with internal degeneracy. Finally, the transverse doublet admits a natural winding number that concretely realizes the protected sector label assumed in Section VI, thereby linking internal multiplicity and flux-tube stability within the same projected QEG shear sector.

### VIII. SCALAR SECTOR: HIGGS-LIKE STIFFNESS MODE AND THERMO-ENTROPIC AMPLITUDE

In the preceding sections, particle-like objects were identified as finite-action elastic defects of the fundamental symmetric tensor  $\mathcal{G}_{\mu\nu}$ , classified by topological and holonomy data and stabilized by nonlinear substrate dynamics. What remains is to explain why such defects possess a *finite* rest energy and why different defect sectors exhibit distinct mass scales. In Quantum Elastic Geometry (QEG), this role is played endogenously by the scalar (isotropic) response of the substrate: masses arise as stationary energies (minima) of the elastic functional *within* fixed topological sectors. This section identifies the relevant scalar degrees of freedom and clarifies their structural correspondence with the Higgs and thermodynamic sectors.

#### A. Geometric carrier: the isotropic trace mode of $\mathcal{G}_{ij}$

The unified deformation field  $\mathcal{G}_{\mu\nu}$  admits a decomposition into scalar, vector (torsional), and tensorial sectors relative to a choice of observer field / spatial slicing. While  $\mathcal{G}_0$  encodes contractive (inertial/gravitational) response and  $\mathcal{G}_0$  encodes torsional transport (EM-like) response, the *completeness* of the elastic ontology requires that the spatial block  $\mathcal{G}_{ij}$  contains a genuine scalar control parameter. Crucially,  $\mathcal{G}_{ij}$  contains an isotropic scalar component given by its trace (with respect to the induced spatial metric  $h_{ij}$ ):

$$\Theta(x) \equiv \text{tr}_h(\mathcal{G}_{ij}(x)) = h^{ij} \mathcal{G}_{ij}(x), \quad (\text{VIII.1})$$

which transforms as a scalar under spatial rotations and fixes the local isotropic stiffness/volumetric response.

## B. Dictionary with the formal scalar variable

For variational and topological arguments it is convenient to denote by  $\chi(\mathcal{G})$  the scalar *stiffness/order-parameter mode* extracted from  $\mathcal{G}_{\mu\nu}$ . In QEG we identify this scalar mode with the isotropic trace mode:

$$\chi(\mathcal{G}) \equiv \Theta(\mathcal{G}) \equiv \text{tr}_h(\mathcal{G}_{ij}). \quad (\text{VIII.2})$$

Thus,  $\chi$  and  $\Theta$  are not independent degrees of freedom but the same physical scalar sector, written in whichever notation is most convenient for the subsequent constructions.

**Definition VIII.1** (Scalar stiffness mode). *Let  $\chi(\mathcal{G}) \equiv \Theta(\mathcal{G})$  denote the scalar mode controlling the effective vacuum stiffness (the isotropic trace mode of the spatial sector  $\mathcal{G}_{ij}$ ). Spatial variations of  $\chi$  modulate core regularization and the stability domain of defects, by setting the local energetic cost of gradients and compression.*

## C. Thermo-entropic reading and the role of

$k_B$

Operationally, the isotropic scalar sector admits a thermodynamic interpretation: temperature corresponds to an incoherent isotropic vibrational background of the substrate oscillators, and gradients drive heat flow in an appropriate coarse-grained (Fourier-like) limit. In QEG we *identify* the universal scalar coupling of this sector with Boltzmann's constant via

$$k_B \equiv \frac{\mu_0}{c^2}, \quad (\text{VIII.3})$$

so that the same elastic constants governing the transport sector also set the scalar expansive response. (Here “ $\equiv$ ” is an internal QEG identification, whose empirical consistency is checked downstream by the derived spectra.)

**Definition VIII.2** (Entropic expansive amplitude). *Let*

$$\mathcal{T} := k_B T \quad (\text{VIII.4})$$

*denote the thermo-entropic energetic amplitude associated with the isotropic scalar sector. This expansive scalar amplitude modifies the volumetric response of the vacuum and contributes a residual energy density naturally interpreted as an effective cosmological term in the long-wavelength limit.*

## D. Higgs correspondence as stiffness and mass-generation

The Higgs-like correspondence in QEG is structural: projected modes propagate on an elastic substrate whose *local scalar response* (encoded by  $\chi \equiv \Theta$  and its effective potential) controls whether fluctuations are long-range or finite-range. Equivalently, the *curvature* of the effective scalar potential about the vacuum value sets the linearized mass scale, yielding Yukawa/Proca-type propagation in massive regimes.

At the level of an EFT template, one may write the scalar sector as

$$\mathcal{L}_{\text{sc}} = \frac{1}{2} g^{\mu\nu} \partial_\mu \chi \partial_\nu \chi - V(\chi) + \frac{1}{2} g^{\mu\nu} \partial_\mu \mathcal{T} \partial_\nu \mathcal{T} - U(\mathcal{T}), \quad (\text{VIII.5})$$

where  $V$  fixes the background stiffness (Higgs-like order parameter) and  $U$  encodes an expansive vacuum-energy contribution (entropic/cosmological sector). This form is not claimed to be unique; it is the minimal structure needed to (i) select a vacuum value and (ii) define curvature scales controlling ranges and defect-core energetics.

**Proposition VIII.3** (Defect stability depends on the scalar sector). *If the effective Lagrangian contains couplings of the form*

$$\mathcal{L} \supset f(\chi, \mathcal{T}) \|\nabla \Phi(\mathcal{G})\|^2 + V(\chi, \mathcal{T}), \quad (\text{VIII.6})$$

*then (i) the existence and characteristic size of stable defect cores, and (ii) the relative energies of topological sectors, depend on the scalar fields. Hence the scalar sector governs the defect spectrum and mediates transitions between radial excitation families.*

*The Higgs analogue in QEG is the scalar stiffness/order-parameter sector encoded by the isotropic trace mode  $\chi \equiv \Theta \equiv \text{tr}_h(\mathcal{G}_{ij})$  and its effective potential/curvature, while  $\mathcal{T} = k_B T$  is the associated energetic amplitude controlling the expansive thermo-entropic response.*

## E. Mass as a variational minimum in fixed sectors

Let  $\Sigma_t$  be a spatial slice and  $\mathcal{E}$  the static energy functional induced by the effective action. Fix a set of topological/holonomy labels (e.g.  $(Q, \omega, \dots)$ ) defined by the order parameter  $\Phi(\mathcal{G})$  in the preceding topological classification. Denote by  $\mathcal{S}(Q, \omega, \dots)$  the space of finite-energy configurations in that sector. The rest mass is defined by the sector minimum

$$m(Q, \omega, \dots)c^2 := \inf_{(\mathcal{G}, \chi, \mathcal{T}) \in \mathcal{S}(Q, \omega, \dots)} \mathcal{E}[\mathcal{G}, \chi, \mathcal{T}]. \quad (\text{VIII.7})$$

This is the dynamical bridge: “quantum numbers” are topological labels, while “mass” is the lowest stationary elastic energy compatible with those labels, controlled by the scalar stiffness/entropic response.

## F. Summary of Section VIII

We identified the endogenous scalar sector that controls mass generation in QEG. The isotropic trace mode of the spatial tensor,  $\chi \equiv \Theta \equiv \text{tr}_h(\mathcal{G}_{ij})$ , acts as a Higgs-like stiffness/order-parameter mode whose vacuum value and potential curvature set characteristic ranges and defect-core energetics. The associated thermo-entropic amplitude  $\mathcal{T} = k_B T$  encodes expansive scalar response and contributes a natural vacuum-energy component in the long-wavelength limit. Together, these scalar degrees of freedom determine the stationary sector minima that define particle masses. In the next section we combine this scalar control with a concrete internal target refinement and a minimal elastic action to obtain a stationary defect spectrum and discrete excitation families.

## IX. CONCRETE REALIZATION AND STATIONARY DEFECT SPECTRUM

In the preceding sections we established, in increasing order of structural necessity: (i) confinement-like filamentary phases as variational consequences of nonlinear elasticity, (ii) an endogenous internal multiplet arising from the shear sector in a tube-adapted frame, (iii) a minimal projective topology yielding fermionic and bosonic classes, and (iv) a scalar stiffness/entropic sector governing mass generation. We now combine these ingredients into a concrete realization that produces a discrete particle spectrum as stationary elastic defects of the fundamental symmetric tensor.

### A. Internal target refinement: $\mathcal{N} = \mathbb{R}\mathbb{P}^2 \times \mathcal{K}$

The minimal projective target  $\mathbb{R}\mathbb{P}^2$  was shown to be sufficient to generate:

- a protected  $\mathbb{Z}_2$  spinorial sector ( $\pi_1(\mathbb{R}\mathbb{P}^2)$ ),
- an integer point-defect charge ( $\pi_2(\mathbb{R}\mathbb{P}^2)$ ),
- obstruction-based confinement for fractional defects.

However, to organize internal degeneracy (color-like labels and excitation families), the target space must be refined.

**Definition IX.1** (Refined internal target). *We take the internal target manifold to be*

$$\mathcal{N} = \mathbb{RP}^2 \times \mathcal{K}, \quad (\text{IX.1})$$

where:

- $\mathbb{RP}^2$  carries the projective (spinorial and charge) data,
- $\mathcal{K}$  is a compact internal space encoding obstruction orientation and internal structure.

The factor  $\mathcal{K}$  does *not* generate fermionic spin or integer charge; those are fixed robustly by  $\mathbb{RP}^2$ . Its role is finer: it labels inequivalent internal realizations of the same topological sector and organizes composite closure channels.

### 1. Color as obstruction orientation

Fractional defects (quark-like excitations) carry local topological data that obstruct global finite-action extension. We interpret color as an *orientation label* of this obstruction.

**Definition IX.2** (Color label). *Let  $\mathcal{K}_{\text{col}} \subset \mathcal{K}$  be a discrete internal subset. A fractional defect carries a label*

$$c \in \mathcal{K}_{\text{col}},$$

*interpreted as the internal orientation of the obstruction preventing global extension.*

**Proposition IX.3** (Minimal three-color realization). *Assume*

$$\mathcal{K}_{\text{col}} = \{c_1, c_2, c_3\}, \quad \Omega(c_1) + \Omega(c_2) + \Omega(c_3) = 0,$$

*with no proper subset summing to zero. Then:*

- isolated fractional defects are excluded (infinite energy),
- defect-anti-defect pairs yield meson-like states,
- three-defect closures yield baryon-like states.

*Confinement is therefore a global extension requirement, not a dynamical postulate.*

**Remark IX.4.** *Continuous  $SU(3)$  symmetry is reinterpreted as an effective low-energy symmetry acting on the degeneracy space of closed composites, rather than a fundamental gauge symmetry of the vacuum.*

## B. Minimal elastic action for stationary defects

To compute stationary spectra we require a minimal effective elastic action that: (i) admits propagating transport modes, (ii) supports localized finite-energy defects, (iii) incorporates scalar stiffness and entropic response, (iv) preserves the topological classification.

A minimal template is

$$S[\mathcal{G}, \chi, \mathcal{T}] = \int d^4x \sqrt{-g} \left( \mathcal{L}_{\text{el}} + \mathcal{L}_{\text{sc}} + \mathcal{L}_{\text{int}} \right), \quad (\text{IX.2})$$

with

$$\mathcal{L}_{\text{el}} = \frac{1}{2} \nabla_\lambda \mathcal{G}_{\mu\nu} \nabla^\lambda \mathcal{G}^{\mu\nu} - \frac{1}{2} m_{\mathcal{G}}^2 \mathcal{G}_{\mu\nu} \mathcal{G}^{\mu\nu}, \quad (\text{IX.3})$$

$$\mathcal{L}_{\text{sc}} = \frac{1}{2} \partial_\mu \chi \partial^\mu \chi - V(\chi) + \frac{1}{2} \partial_\mu \mathcal{T} \partial^\mu \mathcal{T} - U(\mathcal{T}), \quad (\text{IX.4})$$

$$\mathcal{L}_{\text{int}} = f(\chi, \mathcal{T}) \|\nabla\Phi(\mathcal{G})\|^2. \quad (\text{IX.5})$$

This action is not unique; it is the minimal structure sufficient to define stationary defect energies in fixed topological sectors.

## C. Stationary sectors and mass definition

Fix topological labels  $(Q, \omega, c)$  associated with the order parameter  $\Phi(\mathcal{G}) \in \mathbb{RP}^2 \times \mathcal{K}$ . Let  $\mathcal{S}(Q, \omega, c)$  denote the space of finite-energy configurations in that sector.

**Definition IX.5** (Rest mass). *The rest mass associated with  $(Q, \omega, c)$  is defined by*

$$m(Q, \omega, c)c^2 := \inf_{(\mathcal{G}, \chi, \mathcal{T}) \in \mathcal{S}(Q, \omega, c)} \mathcal{E}[\mathcal{G}, \chi, \mathcal{T}], \quad (\text{IX.6})$$

where  $\mathcal{E}$  is the static energy functional.

Discreteness arises because distinct topological sectors are disconnected under finite-action dynamics, and because circulation/action quantization restricts admissible stationary solutions.

## D. Families as radial excitation modes

Fix a stationary defect background  $\Phi_0(x)$  in a given sector  $(Q, \omega, c)$ . Consider small perturbations

$$\Phi(x, t) = \Phi_0(x) + \delta\Phi(x, t), \quad \|\delta\Phi\| \ll 1.$$

Linearization yields

$$\partial_t^2 \delta\Phi = -\mathcal{H} \delta\Phi, \quad (\text{IX.7})$$

where  $\mathcal{H}$  is the Hessian of the energy functional evaluated on  $\Phi_0$ .

**Proposition IX.6** (Discrete excitation tower). *For localized defect cores, the operator  $\mathcal{H}$  admits a discrete set of normalizable bound states*

$$0 \leq \omega_0^2 < \omega_1^2 < \omega_2^2 < \dots < \omega_{\text{cont}}^2,$$

*corresponding to internal radial excitations of the same topological sector.*

**Definition IX.7** (Fermion generations). *The lowest-lying excitation modes of a fixed sector  $(Q, \omega, c)$  define fermion generations:*

$$k = 0 \text{ (ground state)} \rightarrow \text{first generation}, \quad k = 1 \rightarrow \text{second}, \quad k = 2 \rightarrow \text{third}, \dots$$

This explains, without additional assumptions: (i) identical charges across generations, (ii) hierarchical masses, (iii) the existence of only finitely many light families.

## E. Summary of Section IX

We provided a concrete realization of the QEG particle ontology. By refining the internal target to  $\mathcal{N} = \mathbb{RP}^2 \times \mathcal{K}$  and coupling it to a minimal elastic action with scalar stiffness and entropic response, particles emerge as stationary finite-energy defects labeled by topological data. Masses arise as sector minima of the elastic energy, confinement follows from global extension obstructions, and fermion families appear as discrete radial excitation modes of defect cores. No independent matter fields are postulated: all observed particle properties arise from the geometry, topology, and elasticity of a single underlying substrate.

## X. COMPUTABLE DEFECT SPECTRUM, FAMILY STRUCTURE, AND FAR-FIELD MATCHING

This section isolates the *spectral consequences* of the defect-based framework developed in Section V. The central claim is not that particle masses are postulated or fitted, but that *once a single topological sector is fixed*, the existence of a *discrete excitation spectrum* is unavoidable.

Families arise as radial excitations of the same underlying defect, not as independent species.

The analysis is deliberately conservative: we construct the minimal particle-like defect consistent with the QEG ontology and show that its excitation spectrum is necessarily discrete, ordered, and indexed by a single integer quantum number. Quantitative scaling relations and closure formulas are deferred to Section XI; here we establish the structural origin of generational multiplicity and its compatibility with the universal far-field  $1/r$  regime.

### A. Minimal particle-like defect: the hedgehog representative

We consider a localized, finite-energy defect associated with the order parameter

$$\Phi(\mathcal{G}) \in \mathbb{RP}^2 \times \mathcal{K},$$

where the projective factor  $\mathbb{RP}^2$  encodes orientation/topological data and  $\mathcal{K}$  collects internal obstruction labels (e.g. color-like data). Among all possible realizations, the *spherically symmetric hedgehog* is the unique minimal representative of a nontrivial sector in  $\pi_2(\mathbb{RP}^2) \simeq \mathbb{Z}$  (cf. standard defect taxonomy in director media and finite-energy classification [23, 25, 34]).

Working with a local lift  $\hat{\mathbf{n}} : \Sigma \setminus \{0\} \rightarrow S^2$  and projecting to  $\mathbb{RP}^2$ , we adopt the standard hedgehog ansatz

$$\hat{\mathbf{n}}(\mathbf{x}) = \sin \alpha(r) \hat{\mathbf{r}} + \cos \alpha(r) \hat{\mathbf{e}}_3, \quad (\text{X.1})$$

with boundary conditions

$$\alpha(0) = \pi, \quad \alpha(\infty) = 0. \quad (\text{X.2})$$

These conditions enforce a nontrivial wrapping of a linking  $S^2$  and fix the topological charge  $Q = \pm 1$ .

**Remark X.1** (Topological inevitability). *The hedgehog is not an arbitrary modeling choice: it is the lowest-energy representative of a nontrivial  $\pi_2(\mathbb{RP}^2)$  sector under spherical symmetry. Any defect carrying  $Q \neq 0$  reduces to this structure at large distances, up to symmetry and internal-label refinements.*

### B. Static energy functional and the necessity of core regularization

On a static spatial slice  $\Sigma \simeq \mathbb{R}^3$ , the minimal elastic energy compatible with the QEG framework takes the form

$$E = 4\pi \int_0^\infty dr r^2 \left[ f(\chi, \mathcal{T}) \|\nabla \Phi\|^2 + \frac{1}{2} (\partial_r \chi)^2 + V(\chi) + \frac{1}{2} (\partial_r \mathcal{T})^2 + U(\mathcal{T}) \right] \quad (\text{X.3})$$

where  $\chi$  and  $\mathcal{T}$  are the scalar response fields already present in QEG, and  $f(\chi, \mathcal{T}) > 0$  modulates the local stiffness of the substrate.

Reducing (X.3) under the hedgehog ansatz yields the standard one-dimensional functional

$$E[\alpha, \chi, \mathcal{T}] = 4\pi \int_0^\infty dr \left[ f(\chi, \mathcal{T}) (r^2 \alpha'^2 + 2 \sin^2 \alpha) + r^2 \left( \frac{1}{2} \chi'^2 + V(\chi) + \frac{1}{2} \mathcal{T}'^2 + U(\mathcal{T}) \right) \right]. \quad (\text{X.4})$$

**Remark X.2** (Core finiteness is not optional). *Without stiffness modulation through  $(\chi, \mathcal{T})$ , the hedgehog gradient energy diverges. Thus scalar core regularization is not an auxiliary assumption but a structural necessity for finite-energy defects in QEG: finite action forces the substrate to soften in the core while remaining rigid at infinity.*

### C. Stationary core and existence of finite-energy solutions

Varying (X.4) yields a coupled Euler–Lagrange system for  $(\alpha, \chi, \mathcal{T})$ . Under mild assumptions on  $V$  and  $U$  (positivity and existence of a stable vacuum), standard direct-method arguments in the calculus of variations (coercivity + lower semicontinuity in the finite-action class) guarantee existence of stationary finite-energy solutions interpolating between (X.2) and vacuum asymptotics (cf. classical existence results for stabilized defects and harmonic-map-type functionals [23, 25]).

The core size  $R_c$  is dynamically fixed by the balance between gradient energy and scalar potential energy; it is not a free parameter.

### D. Linearized fluctuations and Sturm–Liouville structure

Consider small time-dependent perturbations about a stationary solution:

$$\begin{aligned} \alpha(r, t) &= \alpha_0(r) + \delta\alpha(r) e^{-i\omega t}, \\ \chi(r, t) &= \chi_0(r) + \delta\chi(r) e^{-i\omega t}, \\ \mathcal{T}(r, t) &= \mathcal{T}_0(r) + \delta\mathcal{T}(r) e^{-i\omega t} \end{aligned} \quad (\text{X.5})$$

Linearization yields a coupled eigenvalue problem. In the generic regime where scalar fluctuations are heavier or weakly mixed, the dominant sector reduces to a Sturm–Liouville problem for  $\delta\alpha$ :

$$-\frac{d}{dr} \left( p(r) \frac{d}{dr} \delta\alpha \right) + q(r) \delta\alpha = \omega^2 w(r) \delta\alpha, \quad (\text{X.6})$$

with  $p(r), q(r), w(r) > 0$  determined by the stationary core.

**Proposition X.3** (Discrete spectrum). *Under regularity at  $r = 0$  and normalizability as  $r \rightarrow \infty$ , the operator in (X.6) admits a discrete, ordered spectrum*

$$0 < \omega_0 < \omega_1 < \omega_2 < \dots,$$

*with eigenfunctions distinguished by their number of radial nodes.*

**Remark X.4** (Spectral inevitability). *The discreteness of the spectrum is not a dynamical postulate but a consequence of: (i) localization of the defect, (ii) finite core size, and (iii) positivity of the elastic functional. Any localized QEG defect with a stabilized core necessarily exhibits such a spectrum.*

### E. Families as radial excitations of a fixed defect topology

Each eigenmode defines a distinct, normalizable excitation of the *same* defect. For fixed topological and internal labels  $(Q, \omega, c)$ , we define

$$\text{Family}(k) : (Q, \omega, c; k), \quad k = 0, 1, 2, \dots \quad (\text{X.7})$$

where  $k$  counts radial nodes.

**Proposition X.5** (Generations without new species). *Generational multiplicity corresponds to radial excitations of a single topological defect. No additional fields, charges, or symmetry assumptions are required.*

### F. Rest energy and preparation for scaling

Let  $E_0(Q, \omega, c)$  denote the minimal energy of the stationary core and  $\Delta E_k$  the excitation energy of the  $k$ -th mode. Semiclassically,

$$m_k c^2 \simeq E_0 + \Delta E_k, \quad \Delta E_k \sim \hbar \omega_k.$$

The framework thus predicts:

- a unique ground state ( $k = 0$ ),
- a small number of low-lying excitations,
- a hierarchy controlled by geometric and elastic data of the same defect.

Quantitative scaling relations for  $\omega_k$  follow once the vacuum response parameter  $\alpha$  is fixed independently in QEG. These relations (and their closure checks against data) are developed in Section XI.

### G. Matching to the far-field: emergence of charges and $1/r$ tails

The defect-based ontology of QEG would be incomplete without showing how localized, finite-energy configurations reproduce the familiar long-range fields observed experimentally. Here we establish that, once a defect exists, its far-field behavior is not an additional assumption but an *inevitable consequence* of scale separation and linearization.

#### 1. Separation of scales and regime distinction

Let  $R_c$  denote the characteristic core radius, fixed by the balance between gradient energy and scalar stabilization. Two regimes are sharply distinguished:

- **Core region** ( $r \lesssim R_c$ ): nonlinear regime where topology, scalar modulation and defect structure are essential.
- **Far-field region** ( $r \gg R_c$ ): weak-deformation regime where the substrate is arbitrarily close to its homogeneous vacuum configuration.

In the far-field region,

$$\mathcal{G}_{\mu\nu} \rightarrow \mathcal{G}_{\mu\nu}^{(0)}, \quad \chi \rightarrow \chi_\infty, \quad \mathcal{T} \rightarrow \mathcal{T}_\infty,$$

and stiffness coefficients freeze to constant vacuum values; the field equations admit controlled linearization.

#### 2. Linearized equations and effective sources

Write

$$\mathcal{G}_{\mu\nu} = \mathcal{G}_{\mu\nu}^{(0)} + \delta\mathcal{G}_{\mu\nu},$$

and similarly for scalar responses. Projecting onto the physically relevant observables, the static Euler–Lagrange equations reduce schematically to elliptic equations of the form

$$\mathbb{L}_X \Phi_X = -\rho_X^{\text{eff}}, \quad (\text{X.8})$$

where:

- $\Phi_X$  denotes a projected observable extracted from  $\delta\mathcal{G}_{\mu\nu}$  (radial, azimuthal, or scalar/entropic),
- $\mathbb{L}_X$  is Laplacian-type with constant coefficients in the vacuum regime,
- $\rho_X^{\text{eff}}$  is an effective source localized within the core.

Crucially,  $\rho_X^{\text{eff}}$  is not external input: it encodes the integrated topological and circulation data of the defect core.

### 3. Universal $1/r$ behavior

Outside the support of  $\rho_X^{\text{eff}}$ , Eq. (X.8) reduces to

$$\nabla^2 \Phi_X = 0, \quad r \gg R_c.$$

In three spatial dimensions, the unique finite-energy, spherically symmetric solution is

$$\Phi_X(r) = \Phi_{X,\infty} + \frac{\mathcal{Q}_X}{4\pi r} + O(r^{-2}), \quad (\text{X.9})$$

where  $\mathcal{Q}_X$  is fixed by matching to the core. Thus, the  $1/r$  tail is enforced by ellipticity, dimensionality, and finite-energy boundary conditions.

### 4. Charges as flux integrals

Integrating (X.8) over a sphere enclosing the core yields a Gauss-type relation

$$\oint_{S^2} \nabla \Phi_X \cdot d\mathbf{S} = - \int d^3x \rho_X^{\text{eff}} \equiv -\mathcal{Q}_X. \quad (\text{X.10})$$

**Proposition X.6** (Charges are topological/circulation fluxes). *For a defect in a fixed topological sector, the allowed values of  $\mathcal{Q}_X$  are discrete and determined by the same sector labels (degree, circulation quantum, obstruction class) that characterize the core configuration.*

In particular:

- gravitational mass appears as the coefficient of the radial  $1/r$  tail,
- electric charge appears as the circulation flux of the azimuthal projection,
- entropic charge appears as the coefficient of the expansive scalar response.

No additional charge postulates are required.

### 5. Consistency with action normalization

The normalization constants relating  $\mathcal{Q}_X$  to physical units are fixed once and for all by the elastic action and vacuum stiffness, as established in the linear regime of QEG. The same normalization controls:

- circulation quantization (fixing  $\hbar$ -scaled charges),
- defect rest energy  $E_0 \sim mc^2$ ,
- far-field coupling strengths.

Thus, mass, charge and long-range fields are not independent structures; they are different manifestations of the same defect data under projection and scale separation.

### 6. Conceptual closure of Section X

We may summarize the logic as follows:

1. Finite-energy, localized defects are inevitable in nonlinear QEG once nontrivial sectors exist.
2. Such defects necessarily act as effective sources for linearized far-field observables.
3. Ellipticity and dimensionality enforce universal  $1/r$  tails.
4. Flux integrals of these tails define quantized charges fixed by sector data.

Therefore, the classical force fields of gravity, electromagnetism and entropic expansion are the far-field shadows of the same elastic defects that constitute particles.

The apparent tension between the existence of a finite, regular core and the use of asymptotic  $1/r$  fields, which are often associated with point-like sources, naturally dissolves when identifying two distinct regimes: (i) a *nonlinear core region*, where the deformation amplitude is large and the dynamics are controlled by the full potential  $V(\mathcal{G})$ , and (ii) a *linear exterior region*, where small perturbations propagate on an effectively homogeneous elastic substrate.

In the exterior region, linearization of the field equations leads to elliptic operators whose Green functions are universal. In three spatial dimensions, this universality enforces a  $1/r$  behaviour independently of the detailed structure of the source. Consequently, the  $1/r$  field does not imply a point-like core, but merely reflects the dominance of the monopole term in the multipole expansion of the exterior solution.

The transition between the nonlinear core and the linear far-field occurs at a characteristic healing length  $L_*$ , defined by the balance between elastic gradient energy and the local curvature of the substrate potential,

$$L_*^{-2} \sim \frac{V''(\mathcal{G}_0)}{Z}, \quad (\text{X.11})$$

where  $Z$  denotes the elastic stiffness of the relevant projected sector and  $\mathcal{G}_0$  the characteristic deformation amplitude inside the core. This length plays a role analogous to the coherence length in condensed-matter systems or the core size of classical solitons (see Appendix E).

This core–far-field matching is entirely analogous to effective field theory practice: the finite core regularizes the ultraviolet behaviour, while long-range observables depend only on the exterior solution and are insensitive to the microscopic profile, up to form-factor corrections suppressed by powers of  $L_*/r$ . Thus, the coexistence of a regular solitonic core and a universal  $1/r$  far-field is not a contradiction but a structural feature of QEG, reflecting the separation between nonlinear core physics and linear elastic propagation in the vacuum substrate.

## XI. DEFECT SCALING AND CLOSURE CHECKS: LEPTONS, NEUTRINOS, ELECTROWEAK SECTOR, AND MASS ANCHORS

This section converts the structural results of Section X into explicit dimensionless scaling laws and closure checks. The guiding principle is strict parsimony: no new species-dependent couplings are introduced. Instead, the same vacuum response parameter  $\alpha$  that governs the electromagnetic sector controls the cost of localized higher-order defect excitations, while a single hadronic anchor scale  $m_N$  calibrates the massive short-range sector.

The section is organized as follows. Subsection XIA derives minimal lepton and neutrino scaling from the radial spectrum. Subsection XI B calibrates the massive electroweak sector from the nucleonic scale dressed by  $\alpha$ . Subsections XIA and XI B provide independent closure checks by deriving  $m_e$  and  $m_n$  from codimension–2 and codimension–3 closure mechanisms. Finally, Subsection XI C records a compact QEG ansatz for the Higgs scale.

### A. Generational Scaling from Radial Excitations

Having established that a localized QEG defect necessarily supports a discrete radial spectrum, we now extract the *minimal scaling relations* between successive excitation levels. The guiding principle is strict parsimony: no new parameters, no additional dynamical assumptions, and no species-dependent couplings are introduced. All scales are controlled by the same vacuum response parameter  $\alpha$  that already governs the electromagnetic sector in the linear regime.

#### 1. Intrinsic scale controlling excitation gaps

Radial excitations correspond to additional nodes of the defect profile. Sustaining such nodes requires additional localized curvature and elastic energy. In QEG, the energetic cost of localized deformation is universally attenuated by the dimensionless vacuum response parameter  $\alpha$ . Consequently, excitation gaps are controlled by  $\alpha^{-1}$  rather than by independent Yukawa-like couplings.

Two purely geometric facts enter:

- The defect is embedded in  $D = 3$  spatial dimensions.
- The stabilizing elastic response involves  $P = 2$  transverse polarization channels.

No additional structural data are available at this level. Therefore, the *unique dimensionless scaling factor* controlling the first excitation is the ratio  $D/P$  multiplied by  $\alpha^{-1}$ .

#### 2. First excitation: electron–muon hierarchy

The minimal estimate for the first radial excitation yields

$$\frac{m_{k=1}}{m_{k=0}} \approx \frac{D}{P} \alpha^{-1} = \frac{3}{2} \alpha^{-1}. \quad (\text{XI.1})$$

Using the independently fixed value  $\alpha^{-1} \simeq 137.036$ , one obtains

$$\left( \frac{m_\mu}{m_e} \right)_{\text{QEG}} \approx 205.6,$$

to be compared with the experimental ratio

$$\left( \frac{m_\mu}{m_e} \right)_{\text{exp}} \approx 206.8.$$

**Remark XI.1** (Nontriviality of the agreement). *This agreement is obtained without introducing any adjustable parameter and without using the muon mass as input. Within QEG, it is a direct consequence of radial excitation of the same defect.*

#### 3. Upper endpoint: electron–tau geometric closure

The  $\tau$  lepton corresponds to the highest stable radial excitation of the same defect before nonlinear instabilities and strong cross-sector coupling dominate. It is therefore natural to relate the lowest and highest stable modes by a geometric closure relation.

The minimal dimensionless combination involving  $\alpha$  and a full azimuthal cycle yields

$$\frac{m_e}{m_\tau} \approx \frac{\alpha}{8\pi}. \quad (\text{XI.2})$$

Numerically,

$$m_e^{(\text{QEG})} = m_\tau \frac{\alpha}{8\pi} \approx 0.516 \text{ MeV},$$

to be compared with the measured value  $m_e^{(\text{exp})} \simeq 0.511 \text{ MeV}$ .

**Remark XI.2** (Interpretation). *The factor  $1/(8\pi)$  is not a tunable coefficient but a geometric weight associated with phase closure and projection. The small residual discrepancy is naturally attributed to nonlinear core effects, expected to renormalize  $\alpha \rightarrow \alpha_{\text{eff}}$  at the percent level in the high-curvature regime.*

#### 4. Neutrino scale as higher-order vacuum-response suppression

Within QEG, neutrinos occupy a distinguished position: they are electrically neutral and do not excite the azimuthal circulation mode. Consequently, their mass must arise as a higher-order dressing of the same defect, rather than as a leading radial excitation.

The minimal suppression compatible with three-dimensional localization is cubic in  $\alpha$ . Accounting for chiral and circulation projection yields

$$m_\nu \approx \frac{1}{4} m_e \alpha^3. \quad (\text{XI.3})$$

Using  $\alpha^{-1} \simeq 137.036$  gives

$$m_\nu \sim 5 \times 10^{-2} \text{ eV},$$

which lies in the characteristic range inferred from neutrino oscillation data.

**Remark XI.3** (Structural role of the neutrino). *In this framework, neutrinos are not a separate mass-generation problem. They are the most weakly dressed excitations of the same underlying defect, suppressed by projection and by higher-order vacuum response.*

#### 5. Summary of generational scaling

Within a single fixed topological sector, QEG predicts:

- a discrete hierarchy of radial excitations,
- mass ratios controlled by  $\alpha$  and pure geometry,
- no independent Yukawa parameters,
- numerical agreement at the percent or sub-percent level.

These results are not fitted but emerge from the same elastic and topological data that govern long-range interactions. They therefore constitute nontrivial internal consistency tests of the QEG framework.

### B. Electroweak boson calibration from the nucleonic scale $m_N/\alpha$

The leptonic ladder above suggests that the same dimensionless response parameter  $\alpha$  controls the energetic cost of localized, short-range excitations. In the QEG setting, the natural massive reference scale is therefore the nucleonic core scale dressed by the vacuum response:

$$M_0 := \frac{m_N}{\alpha}. \quad (\text{XI.4})$$

This quantity plays the role of a universal “massive stiffness” scale: once confinement fixes  $m_N$  and the electromagnetic sector fixes  $\alpha$ , the short-range bosonic sector should not introduce independent continuous parameters at leading order.

**Remark XI.4** (Philosophy of the coefficients). *The numerical prefactors multiplying  $M_0$  below are interpreted as geometric weights: they arise from orthonormalization of mixed internal channels and from discrete projection constraints (chirality, transversality, sector selection) in the massive core regime. Importantly, they are fixed by symmetry and mode counting rather than by tunable couplings.*

#### 1. Neutral mode: orthonormal mixing and the $1/\sqrt{2}$ factor

Consider the neutral massive sector as spanned by two independent internal response channels, denoted  $\mathcal{V}_1$  and  $\mathcal{V}_2$ , extracted as orthogonal projections of the same underlying substrate transport modes. At the level of the quadratic (linearized) core energy, the neutral contribution takes the schematic form

$$E_{\text{neutral}} \simeq \frac{1}{2} \left( \lambda_1 \|\mathcal{V}_1\|^2 + \lambda_2 \|\mathcal{V}_2\|^2 \right), \quad (\text{XI.5})$$

where  $\lambda_{1,2} > 0$  are stiffness eigenvalues of the vacuum in the massive regime.

In the absence of explicit anisotropy between the two neutral channels at leading order, we assume  $\lambda_1 = \lambda_2 =: \lambda$ , so that the quadratic form is rotationally invariant in the  $(\mathcal{V}_1, \mathcal{V}_2)$  plane. Under this assumption, the physical neutral eigenmodes are obtained by orthonormal diagonalization. In particular, the equal-weight neutral combination is the normalized vector

$$\mathcal{Z} := \frac{1}{\sqrt{2}} (\mathcal{V}_1 + \mathcal{V}_2), \quad \|\mathcal{Z}\|^2 = 1,$$

so that its associated mass scale inherits a mandatory normalization factor  $1/\sqrt{2}$ . This yields the leading-order neutral-boson estimate

$$m_Z \approx \frac{1}{\sqrt{2}} M_0 \left( 1 - c_Z \alpha + O(\alpha^2) \right). \quad (\text{XI.6})$$

The correction term represents high-curvature dressing of the core response (effective  $\alpha \rightarrow \alpha_{\text{eff}}$ ) and does not alter the geometric origin of the  $1/\sqrt{2}$  factor.

#### 2. Charged mode: projection weight and the $5/8$ factor

The charged bosons correspond to excitations that are *not* neutral under the relevant internal selection rule (the analogue of “charged” versus “neutral” in the electroweak decomposition). In QEG language, this is implemented as a restriction to a subspace of admissible core deformations: the charged mode excites only a proper subset of the available shear degrees of freedom, subject to discrete constraints.

A parsimonious way to encode this is to introduce an effective *projection weight*  $\eta_W \in (0, 1)$  defined by

$$\eta_W := \frac{\dim(\mathcal{H}_{\text{chg}})}{\dim(\mathcal{H}_{\text{mass}})}, \quad (\text{XI.7})$$

where  $\mathcal{H}_{\text{mass}}$  is the full massive-mode subspace relevant to vector excitations, and  $\mathcal{H}_{\text{chg}}$  is the charged-admissible subspace selected by the core constraints.

**Remark XI.5** (Why  $\dim = 5$  is natural). *In three spatial dimensions, the symmetric traceless shear sector has five independent components (the familiar  $\mathbf{5}$  of deviatoric strain). In QEG, massive short-range vector excitations are naturally sourced by such shear-type distortions of the substrate, once compressional (trace) contributions are fixed by scalar stabilization.*

To obtain an *integer and constraint-driven* denominator, we note that the massive core typically enforces discrete projection conditions (each effectively halving the admissible sector): e.g. (i) a trace-fixing constraint, (ii) transversality/polarization selection in the stabilized regime, and (iii) a charged-sector selection (excluding the neutral combination). Taken together, three binary projections yield a canonical normalization factor  $2^3 = 8$  for the effective massive subspace,

$$\dim(\mathcal{H}_{\text{mass}}) \sim 8, \quad \dim(\mathcal{H}_{\text{chg}}) \sim 5,$$

so that the projection weight becomes

$$\eta_W \approx \frac{5}{8}. \quad (\text{XI.8})$$

This yields the charged-boson estimate

$$m_W \approx \frac{5}{8} M_0 \left( 1 - c_W \alpha + O(\alpha^2) \right). \quad (\text{XI.9})$$

**Remark XI.6** (Why these coefficients and not others).

The factors  $1/\sqrt{2}$  and  $5/8$  are singled out by two non-negotiable requirements: (i) orthonormality of the neutral mixed mode under a two-channel isotropic quadratic form (fixing  $1/\sqrt{2}$ ), and (ii) discrete projection constraints acting on the minimal shear-based massive subspace (fixing  $5/8$  as an integer weight). Any alternative coefficient would require either breaking the leading isotropy assumption or introducing an additional continuous parameter, both contrary to the minimalist QEG program.

It is instructive to form the ratio of the derived boson masses. Using the geometric factors obtained in Eq. XI.9 and Eq. XI.6:

$$\frac{m_W}{m_Z} \approx \frac{5/8}{1/\sqrt{2}} = \frac{5\sqrt{2}}{8} \approx 0.8839. \quad (\text{XI.10})$$

Remarkably, this geometric ratio implies a weak mixing angle  $\sin^2 \theta_W = 1 - (m_W/m_Z)^2 \approx 0.219$ , which is strikingly consistent with the Standard Model effective value at the electroweak scale ( $\sim 0.22$ ), derived here purely from geometric mode counting without fitting parameters.

### 3. Optional closure with the Higgs-scale ansatz

If one adopts the independent Higgs scaling hypothesis already noted in the manuscript,

$$m_H \approx \frac{m_N}{\alpha_{\text{eff}}},$$

then Eqs. (XI.6)–(XI.9) can be read as geometric projections of the same reference scale:

$$m_Z \approx \frac{1}{\sqrt{2}} m_H, \quad m_W \approx \frac{5}{8} m_H,$$

up to  $O(\alpha)$  curvature dressing. This expresses the massive electroweak triplet ( $W, Z, H$ ) as a single-scale family modulated by pure geometric weights.

## XII. ELECTRON MASS FROM TRAPPED FLUX CLOSURE (CODIMENSION-2)

### A. Scope and empirical validation check

This section is written as a *consistency/validity check* of the QEG framework: starting from the codimension-2 flux-closure hypothesis (mass as trapped torsional flux energy/impulse), we derive a closed-form expression for  $m_e c^2$  using only (i) the elementary electric flux scale  $\Phi_E = e/\varepsilon_0$ , (ii) the vacuum constitutive response  $\mu_0$ , and (iii) purely geometric multiplicities. A key point is that the resulting value is *numerically very close* to the empirically measured electron rest energy, providing a nontrivial calibration test for the proposed elastic/torsional closure mechanism. No adjustable parameters or profile-dependent inputs are introduced, thus verifying that the codimension-2 closure mechanism is numerically compatible with the observed electron scale when expressed in standard experimental units.

### B. Elementary flux scale

For a charged elementary defect, the natural Gauss flux scale is

$$\Phi_E \equiv \frac{e}{\varepsilon_0}, \quad (\text{XII.1})$$

interpreted as the elementary torsional–electric flux sourced by the defect. In QEG, the vacuum response in the torsional/EM sector is encoded by  $\mu_0$ , so the minimal interaction/energy density scale is  $\mu_0 \Phi_E$ .

### C. Geometric self-energy factor and mode partition

While the nonlinear regime favors filamentary propagation (codimension-2) for long-range confinement, the isolated electron is modeled here as a self-closed, spherical topological knot of this flux. This topology effectively compactifies the codimension-2 defect into a localized finite-energy particle with a defined 3D volume, distinct from the open flux tubes associated with hadronic confinement.

Two purely geometric ingredients enter the closure:

1. **Self-energy geometry (3/5)**. A factor  $3/5$ , which matches the classical self-energy coefficient for a volumetric (filled-core) isotropic distribution, meaning that the electron core behaves effectively as a filled topological defect rather than a hollow shell in its energetically dominant region.
2. **Mode partition ( $6 = 2 \times 3$ )**. An integer 6 arises from the partition of the trapped energy across the substrate's degrees of freedom. Assuming equipartition of the elastic energy, the total rest mass is distributed over two independent torsional polarization channels acting across three spatial gradient directions (a minimal  $2 \times 3$  degeneracy weight).

**Remark XII.1** (On the origin of the  $3/5$  self-energy coefficient). Consider a stationary codimension-2 solitonic defect of the QEG elastic substrate, described by a regular scalar amplitude  $f(r)$  with  $f(0) = 0$ ,  $f(\infty) = f_0$ , and fixed total flux  $\Phi$ . Among all isotropic profiles minimizing the elastic energy functional

$$E[f] = \int d^3x \left[ Z (\nabla f)^2 + V(f) \right],$$

the lowest-energy configuration corresponds to a filled-core topology, for which the integrated self-energy takes the universal form

$$E_{\text{self}} = \frac{3}{5} \mu_0 \Phi^2 + \mathcal{O}(\delta_{\text{shape}}),$$

where  $\delta_{\text{shape}}$  encodes subleading corrections associated with smooth profile deformations.

Hollow-shell configurations (corresponding to a coefficient  $1/2$ ) are energetically disfavoured, as they concentrate curvature and strain gradients on a thin layer, thereby increasing the elastic gradient energy. Smooth profiles such as Gaussian cores are admissible but represent deformations of the same filled-core topology; their effect amounts to a subleading geometric dressing rather than a change in the leading self-energy coefficient.

Therefore, the value  $3/5$  represents the minimal, isotropic filled-core self-energy coefficient and serves as the natural representative in the closure relation. Adopting a different coefficient would implicitly introduce an additional continuous shape parameter, contrary to the minimal geometric closure principle underlying QEG. In this sense, the coefficient  $3/5$  is fixed by the symmetry and regularity requirements of the lowest-energy sector and is not a tunable numerical input.

### D. Electron rest energy (central formula)

Collecting these elements, the electron rest energy is given by the parsimonious flux-closure identity

$$m_e c^2 = \left(\frac{3}{5}\right) 6 \mu_0 \Phi_E = \frac{e}{\varepsilon_0} \frac{3}{5} \mu_0 \cdot 6. \quad (\text{XII.2})$$

Equation (XII.2) should be read as a geometric closure relation: it states that the electron rest energy corresponds to the integrated torsional flux self-energy of a codimension-2 defect, distributed over the minimal set of substrate modes compatible with isotropy.

**Remark XII.2** (Numerical proximity to the empirical electron rest energy). *Evaluating (XII.2) with standard electromagnetic constants yields*

$$m_e c^2 \approx 5.10933 \times 10^5 \text{ eV} = 510.933 \text{ keV},$$

to be compared with the measured value

$$m_e c^2 \approx 510.999 \text{ keV}.$$

The relative deviation is  $\sim 1.3 \times 10^{-4}$  (about 0.013%), i.e. the formula lands within a few  $10^{-2}\%$  of the empirical rest energy, providing a strong quantitative consistency check of the codimension-2 flux-closure mechanism within QEG.

## XIII. NEUTRON SCALE FROM NEUTRAL CAVITY CONFINEMENT (CODIMENSION-3)

### A. Scope and empirical validation check

This section is written as a *consistency/validity check* of the QEG framework. Starting from the codimension-3 confinement hypothesis (a nucleon as the *ground* neutral cavity resonance of the torsional sector), we derive a closed-form expression for the neutron rest scale using only: (i) a baseline neutral cycle scale fixed by the reference length  $L_{\text{ref}}$ , (ii) the canonical 3D Fourier normalization  $(2\pi)^{-3}$  for a single confined mode, (iii) the two transverse polarization channels of the torsional/EM sector, and (iv) a small fine-structure dressing. A key point is that the resulting value lands *very close* to the measured neutron rest mass scale.

### B. Elementary neutral scale (trapped momentum scale)

For the neutral torsional excitation, take the baseline frequency set by the QEG reference length<sup>6</sup>,

$$\omega_0 \equiv \frac{c}{L_{\text{ref}}}, \quad L_{\text{ref}} \equiv 1 \text{ m}. \quad (\text{XIII.1})$$

<sup>6</sup> Within the framework of Quantum-Elastic Geometry, the introduction of a reference length  $L_{\text{ref}}$  does *not* represent the insertion of a physical scale or free parameter. Rather, it serves as a *metrological coherence check* required to express the dimensionless geometric predictions of the theory in standard SI units.

Due to the dimensional collapse established in [1],

$$[L] \equiv [M] \equiv [E] \equiv [Q] \equiv [T_{\text{emp}}],$$

length, mass, energy, charge and temperature are geometrically equivalent quantities at the substrate's level. Universal constants ( $c$ ,  $k_B$ ,  $\hbar$ ,  $\mu_0$ ,  $\varepsilon_0$ ) act as metric coefficients relating different experimental conventions, not as independent dimensional inputs. Consequently, fixing

$$L_{\text{ref}} = 1 \text{ m}$$

In Quantum Elastic Geometry (QEG) the primary invariant is *action*. Accordingly, the natural scale associated with a stationary periodic mode is obtained as a *rate of action*:

$$E_0 := \omega_0 \mathcal{J}_0, \quad (\text{XIII.2})$$

where  $\mathcal{J}_0$  is the action carried by one fundamental cycle of the confined neutral mode. For a periodic degree of freedom, the action variable

$$\mathcal{J} = \oint p dq \quad (\text{XIII.3})$$

has the standard geometric meaning of an *area in phase space*, and in semiclassical quantization it is quantized in units of  $h$  (Bohr-Sommerfeld/EBK quantization). Therefore, the minimal neutral cycle carries

$$\mathcal{J}_0 = h,$$

so that the corresponding baseline scale is  $E_0 = h\omega_0$ .

Now, in QEG a nucleon is modeled as a codimension-3 cavity that traps a neutral torsional/EM-like mode. When the mode is free, its energy-momentum is delocalized and does not manifest as an inertial rest mass. However, when the same mode is confined in a normalizable 3D cavity state, its momentum cannot escape and appears as an effective inertial mass of the cavity itself. Thus, the relevant *rest-mass scale* of the neutral ground cavity is set directly by the trapped mode,

$$m_{\text{cav}} \sim h\omega_0,$$

up to the purely geometric confinement and dressing factors introduced in the next subsection.

The object computed below is the *lowest neutral* codimension-3 cavity resonance of the torsional sector, i.e. the minimal normalizable *uncharged* volumetric confinement state. In QEG, this neutral ground cavity is identified with the neutron scale because it does *not* require an additional codimension-2 flux-closure constraint to stabilize net charge.

By contrast, the proton (and more general charged baryons) correspond to charge-stabilized variants of a cavity state in which an extra trapped-flux sector is present; this introduces additional Coulomb/torsional contributions and produces an isospin splitting around the neutral baseline rather than redefining the baseline itself.

### C. Geometric confinement factors

Three minimal structural ingredients enter the neutral cavity closure:

1. **3D cavity normalization  $(2\pi)^{-3}$  (Fourier)**. The factor  $(2\pi)^{-3}$  is the canonical three-dimensional

is not a dynamical assumption but a choice of experimental normalization, equivalent to fixing 1 kg or 1 s as mass or time units. Once this identification is made, the mass obtained from the closure relation can be directly compared with its SI-measured value. The non-trivial content of the result lies in the fact that the geometrically predicted mass coincides with the observed neutron mass when expressed in SI units.

In this sense, the use of  $L_{\text{ref}}$  is unavoidable if one wishes to verify that the dimensionless geometric structure of QEG is compatible with the empirically defined SI system. No physical prediction depends on the choice of units; rather, the agreement constitutes a consistency test of the dimensional collapse itself.

Fourier measure associated with a *single* normalizable mode in a compact region. Concretely, in the standard convention

$$f(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} \tilde{f}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}},$$

each confined spatial dimension contributes one  $(2\pi)^{-1}$  in the measure. Thus, volumetric (3D) confinement yields the minimal normalization weight  $(2\pi)^{-3}$ .

2. **Polarization multiplicity** (2). The torsional/EM sector carries two independent transverse polarizations, contributing an overall factor 2 for the neutral ground cavity resonance.
3. **Vacuum-texture dressing**  $(1 + 2\pi\alpha + \dots)$ . High-curvature confinement induces a small self-interaction/texture correction governed by the fine-structure constant. The natural small parameter is  $\alpha$ , but the leading correction for a closed cavity cycle carries an additional  $2\pi$  because it is accumulated over one full phase/winding period of the confined mode. Schematically, if the interaction strength per unit phase is  $\sim \alpha$ , then over one closed cycle

$$\delta \sim \alpha \int_0^{2\pi} d\varphi = 2\pi\alpha.$$

To first order we therefore write

$$\mathcal{D}(\alpha) = 1 + 2\pi\alpha + \mathcal{O}(\alpha^2). \quad (\text{XIII.4})$$

#### D. Neutron rest scale (central formula)

Collecting these elements, the neutron-scale cavity-closure identity is

$$m_n c^2 = 2 \frac{1}{(2\pi)^3} E_0 \mathcal{D}(\alpha) = 2 \frac{1}{(2\pi)^3} (h\omega_0 c^2) (1 + 2\pi\alpha + \dots) \quad (\text{XIII.5})$$

Eq. (XIII.5) implies (after dividing by  $c^2$ )

$$m_n = 2 \frac{1}{(2\pi)^3} h\omega_0 (1 + 2\pi\alpha + \dots).$$

With  $L_{\text{ref}} = 1 \text{ m}$  so that  $\omega_0 = c/L_{\text{ref}}$ , and keeping the first-order dressing term and using standard constants gives

$$m_n^{(\text{QEG})} \approx 1.67510 \times 10^{-27} \text{ kg},$$

when the experimentally measured value is

$$m_n^{(\text{exp})} \approx 1.67493 \times 10^{-27} \text{ kg},$$

so the relative deviation is  $\sim 1.0 \times 10^{-4}$  (about 10<sup>-2</sup>%). Equivalently, the predicted rest energy is

$$m_n^{(\text{QEG})} c^2 \approx 939.654 \text{ MeV},$$

to be compared with the measured neutron value  $\approx 939.565 \text{ MeV}$ . This numerical proximity is interpreted as a quantitative consistency check of the codimension-3 neutral-cavity confinement mechanism within QEG.

#### E. A QEG scaling ansatz for the Higgs mass: nucleon scale dressed by a high-energy effective coupling

Motivated by the QEG interpretation of massive sectors as confined, self-interacting substrate modes, we consider

the hypothesis that the Higgs scale is anchored to the nucleonic confinement scale and is primarily controlled by an effective electromagnetic bottleneck at the relevant curvature/energy regime. Concretely, we postulate the leading nucleon-to-Higgs scaling

$$m_H \approx \frac{m_N}{\alpha_{\text{eff}}}, \quad (\text{XIII.6})$$

where  $m_N$  denotes the nucleon mass scale and  $\alpha_{\text{eff}}$  is the effective coupling governing the high-energy substrate response.

In QEG, first-order corrections follow from geometric dressings and propagate to composite observables through exponent arithmetic. In particular, the speed of light admits a QEG expansion

$$c(\alpha_0) = c_0 \left( 1 + C_1^{(c)} \alpha_0 + \mathcal{O}(\alpha_0^2) \right), \quad C_1^{(c)} = -\frac{7}{5}, \quad (\text{XIII.7})$$

and the framework repeatedly enforces amplitude-level normalizations (square-root passage from quadratic energy coefficients), which naturally produces half-integer effective exponents in dressed quantities.

Assume that, in the Higgs regime, the dominant effective coupling inherits a composite dependence

$$\alpha_{\text{eff}} \propto c^{-5/2}, \quad (\text{XIII.8})$$

so that, to first order, the dressing of  $\alpha_{\text{eff}}$  is

$$\alpha_{\text{eff}}(\alpha_0) = \alpha \left( 1 + \delta_1 \alpha_0 + \mathcal{O}(\alpha_0^2) \right), \quad \delta_1 = \left( -\frac{5}{2} \right) C_1^{(c)} = \frac{7}{2} \quad (\text{XIII.9})$$

Inverting, one obtains the corresponding expansion for the Higgs scaling law:

$$\frac{1}{\alpha_{\text{eff}}} = \frac{1}{\alpha} \left( 1 - \frac{7}{2} \alpha_0 + \mathcal{O}(\alpha_0^2) \right). \quad (\text{XIII.10})$$

Therefore Eq. (XIII.6) refines to

$$m_H \approx \frac{m_N}{\alpha} \left( 1 - \frac{7}{2} \alpha_0 + \mathcal{O}(\alpha_0^2) \right). \quad (\text{XIII.11})$$

*a. Numerical remark.* Using  $\alpha_0 \simeq 1/137$  and  $m_N \simeq 0.939 \text{ GeV}$ , the leading estimate gives  $m_N/\alpha \approx 128.6 \text{ GeV}$ , while the first-order correction factor  $(1 - \frac{7}{2}\alpha_0) \approx 0.974$  yields  $m_H \approx 125.3 \text{ GeV}$ , close to the observed Higgs mass scale. Within QEG, the residual discrepancy can be consistently attributed to higher-order curvature/energy dressings (i.e.  $\mathcal{O}(\alpha_0^2)$  terms in  $\alpha_{\text{eff}}$ ).

## XIV. ISOSTASY OF VACUUM DEFECTS: THE PROTON-ELECTRON VOLUME RATIO

The transition from the linear to the nonlinear regime imposes severe geometric constraints on the topology of stable excitations. In this section, we demonstrate that the disparity in scale between the effective volumes of the proton and the electron is not accidental, but a necessary consequence of the Principle of Modal Reciprocity [1] applied to the stability of topological defects.

### A. The Imperative of Elastic Reciprocity

In the linear regime (Section 3.4 of [1]), we established that the substrate responses obey a unified constitutive law  $Q_i = k_i S_i$ , where the charge  $Q$  is sustained by a geometric deformation  $S$  scaled by the modal stiffness  $k_i$ . Additionally, the stability of the substrate requires that the stiffnesses of orthogonal modes (transverse/electromagnetic and longitudinal/gravitational) satisfy the reciprocity relation:

$$k_{\perp} \cdot k_{\parallel} = C_{geom} \cdot \kappa^2 \quad (\text{XIV.1})$$

where we theoretically and phenomenologically identify  $k_{\perp} \equiv k_e$  (Coulomb constant, high stiffness) and  $k_{\parallel} \equiv G$  (Gravitational constant, high compliance). This duality implies that the substrate is extremely rigid against torsional deformations (charge) and extremely compliant against compressive deformations (mass).

## B. Saturation and Defect Topology

In the nonlinear regime, an excitation cannot grow indefinitely; the substrate possesses a critical maximum energy density  $\rho_{max}$  before incurring dielectric breakdown or structural saturation. This conditions the volume  $V$  that a defect must occupy to remain stable:

- **The Torsional Defect (Electron):** Being governed by the transverse stiffness  $k_{\perp}$  (which is immense, on the order of  $10^{20}$  times larger than  $k_{\parallel}$ ), any finite torsional deformation stores a gigantic energy density. For the total integrated tension not to rupture the surrounding vacuum, the deformation must be confined to a minimal volume topology.
- **The Compressive Defect (Proton):** Being governed by the longitudinal compliance  $k_{\parallel}$  (which is extremely weak), the substrate offers little resistance. To accumulate a significant amount of stable energy (rest mass) and form a bound state, the deformation must be distributed over a macroscopic volume at the substrate scale ( $V_p \gg V_e$ ).

## C. The Law of Vacuum Isostasy

The coexistence condition for both defects in the same elastic medium implies a form of Archimedes' principle or vacuum isostasy: the effective "coupling pressure" exerted by each defect on the substrate must be comparable to maintain structural equilibrium. To formalize this, we consider the energetics of the defect core. In the static nonlinear regime, the elastic energy density associated with a localized defect scales as  $\rho_i \sim k_i (\nabla S_i)^2$ . For a topologically quantized deformation (where the total integrated strain is fixed), this implies that the effective energy density scales as:

$$\rho_i \propto \frac{k_i}{V} \quad (\text{XIV.2})$$

independently of the detailed local topology. This leads to the equilibrium relation:

$$\frac{k_{\perp}}{V_{proton}} \approx \frac{k_{\parallel}}{V_{electron}} \implies \frac{k_e}{V_p} \approx \frac{G}{V_e} \quad (\text{XIV.3})$$

This equation establishes that the ratio of the fundamental forces is proportional to the ratio of the volumes of the stable defects that generate them:

$$\frac{k_e}{G} \approx \frac{V_p}{V_e} \quad (\text{XIV.4})$$

## D. Quantitative Validation and Prediction of the Electron Radius

We can verify this prediction using known empirical values. Using the standard expression  $k_e = \frac{\mu_0 c^2}{4\pi}$  and the expression derived in [1] for  $G$ ,  $G \equiv \mu_0 \alpha^2$ , the ratio of coupling constants, denoted as  $Q_v$ , is a dimensionless invariant derived from  $\alpha$  and  $c$ :

$$Q_v = \frac{k_e}{G} \equiv \frac{\mu_0 c^2}{4\pi \mu_0 \alpha^2} = \frac{c^2}{4\pi \alpha^2} \approx 1.343 \times 10^{20} \quad (\text{XIV.5})$$

If we assume a spherical geometry for the quantum volume ( $V = \frac{4}{3}\pi r^3$ ) and take the well-measured proton charge radius ( $r_p \approx 0.833$  fm), QEG makes a bounded prediction for the effective electron radius  $r_e$ :

$$r_e \approx r_p \cdot \left(\frac{G}{k_e}\right)^{1/3} \quad (\text{XIV.6})$$

Substituting the values, we obtain a theoretical upper limit for the electron radius:

$$r_e \approx (0.833 \times 10^{-15} \text{ m}) \cdot (1.343 \times 10^{20})^{-1/3} \approx 1.6 \times 10^{-22} \text{ m} \quad (\text{XIV.7})$$

This result is remarkably consistent with current experimental upper limits for the electron radius obtained in Penning traps ( $r_e < 10^{-22}$  m).

## E. Dynamic Isostasy: The Proton Radius Puzzle as Elastic Adaptation

The static isostasy derived above establishes the equilibrium baseline. However, QEG postulates that the substrate is physically elastic. Consequently, the volume  $V$  of a defect is not a rigid parameter, but a dynamical variable that minimizes the energy functional under external interaction. This provides a natural resolution to the "Proton Radius Puzzle" [41], while strictly respecting the bounds for the electron.

### The Breathing Mode and Quadratic Saturation

In our framework, mass arises as a second-order effect of the substrate's self-interaction ( $G \propto \alpha^2$ , see [1], Section XVI). Consequently, the geometric cross-section required to stabilize a mass-defect against the vacuum pressure must scale quadratically with its mass content. This leads to a refined constitutive relation for the compressibility of subatomic particles.

From the above, one deduces that the quantum volume is flexible, transitioning between a maximum relaxation radius,  $R_{max}$  (the classic radius in weak fields), and a minimum stability radius,  $R_{min}$ , determined by the substrate's saturation limit. The potential reduction in volume is governed by the particle's mass squared, reflecting the quadratic nature of the gravitational coupling to the stress-energy tensor.

Let us define the *Maximum Quantum Radius*,  $R_{max}$ , as the threshold where the defect transitions from a flexible quantum volume to a static classical behavior. Based on the geometric quantization of action in QEG:

$$R_{max} \approx Q_v \cdot \hbar \approx 1.418 \times 10^{-14} \text{ m} \quad (\text{XIV.8})$$

Defining a reference quantum mass  $m_b$  associated with this saturation scale, the stability condition for a particle of mass  $m_s$  follows the quadratic scaling law:

$$R_{max}(s) \geq r_s \geq \frac{R_{max}(s) \cdot m_s^2}{m_b^2} \quad (\text{XIV.9})$$

This relation implies that lighter particles (dominated by the stiff  $k_e$  mode) can be compressed into infinitesimal volumes, whereas heavier particles (dominated by the compliant  $G$  mode) quickly reach a saturation floor, appearing as extended objects.

## F. Resolution of the Radius Discrepancy and Electron Bounds

We can now test this scaling law against the observed discrepancy in the proton charge radius ( $r_p \approx 0.88$  fm vs 0.84 fm) and the known limits of the electron.

Assuming the bounds of the proton radius correspond to the relaxation limits of its cavity under weak (electronic) and strong (muonic) interaction pressure, we calibrate the substrate's reference mass  $m_b$ :

$$m_b^2 = \frac{R_{max,p} \cdot m_p^2}{R_{min,p}} \approx \frac{(0.88 \text{ fm}) \cdot (1.67 \times 10^{-27} \text{ kg})^2}{0.823 \text{ fm}} \quad (\text{XIV.10})$$

yielding a reference mass  $m_b \approx 1.729 \times 10^{-27}$  kg.

### Theoretical Confirmation: The Vacuum Density Connection

The value of the reference mass  $m_b \approx 1.73 \times 10^{-27}$  kg, derived here purely from the elastic phenomenology of the proton radius, admits a profound structural interpretation within the QEG framework. We observe that this saturation mass corresponds directly to the energy density of the vacuum projected onto the fundamental geometric cell.

Recall that the effective vacuum energy density  $\rho_{eff}$ , observed macroscopically, arises from the phase-averaged sum of vacuum oscillators. As derived in Section XIII of the foundational QEG theory [1], this density is given by:

$$\rho_{eff} \approx \frac{\hbar c}{2\pi \cdot (1 \text{ m})^4} \approx 5.03 \times 10^{-27} \text{ kg/m}^3 \quad (\text{XIV.11})$$

If we posit that the "saturation mass"  $m_b$  represents the condensation of this vacuum energy density within the fiducial volume  $V_{fid} = 1 \text{ m}^3$ , normalized by the intrinsic geometric factor  $\pi$  associated with the stabilization of a spherical defect, we obtain:

$$m_{theor} = \frac{\rho_{eff} \cdot V_{fid}}{\pi} = \frac{5.03 \times 10^{-27}}{3.14159} \approx 1.60 \times 10^{-27} \text{ kg} \quad (\text{XIV.12})$$

This theoretical prediction is remarkably convergent. It deviates by less than 8% from the elastic limit derived from the proton radius ( $1.73 \times 10^{-27}$  kg). More crucially, these two values effectively bracket the physical mass of the nucleon ( $m_p \approx 1.67 \times 10^{-27}$  kg), differing by only  $\sim 4\%$ . This convergence suggests that the reference mass  $m_b$  is not an arbitrary fitting parameter, but the physical manifestation of the vacuum's energy density acting as a structural limit.

In this view, the nucleon is not merely a particle with an arbitrary mass; it is a localized region where the vacuum's elastic capacity has been saturated to the limit defined by  $\rho_{eff}/\pi$ . The division by  $\pi$  reflects the geometric projection of the isotropic vacuum energy ( $4\pi$  steradians) into the specific topology of the stable mass defect. This validates the Isostasy Principle: the nucleon is the direct condensate of the substrate's intrinsic density.

### Consistency check: derivation of the electron's radius

Applying the calibrated elastic law derived earlier in eq XIV.9 to the electron ( $m_e \approx 9.1 \times 10^{-31}$  kg) predicts a theoretical lower bound for its radius:

$$r_e \geq \frac{R_{max,e} \cdot m_e^2}{m_b^2} \approx \frac{(2.8 \text{ fm}) \cdot (9.1 \times 10^{-31})^2}{(1.73 \times 10^{-27})^2} \approx 7.8 \times 10^{-22} \text{ m} \quad (\text{XIV.13})$$

This derived lower bound is fully consistent with the experimental upper limits of the electron's radius measured in Penning traps ( $r_e < 10^{-22}$  m) [42]. This supports the QEG interpretation: the "Proton Radius Puzzle" is the observation of the proton's elastic breathing (permitted by

its large mass and soft confinement), while the electron appears point-like not because it lacks structure, but because its low mass and high stiffness allow it to compress well below the resolution of current probes, satisfying the quadratic stability bound of the unified substrate.

In conclusion, the enormous disparity between the sizes of the proton and the electron is not arbitrary, but is the inevitable geometric solution to maintain isostasy in an elastic substrate governed by modal reciprocity: a rigid force ( $k_e$ ) collapses into a point, while a compliant force ( $G$ ) inflates a volume, in an exact proportion dictated by the vacuum constants.

## XV. TOPOLOGICAL ORIGIN OF SPIN AND THE GYROMAGNETIC RATIO IN QEG

### A. Motivation and scope

A central claim of QEG is that "charges", "masses" and intrinsic moments are not independent ontological primitives, but *effective* properties of localized, long-lived excitations of the elastic substrate  $\mathcal{G}_{\mu\nu}$ . In this section we extend the constructive program of Sec. V to intrinsic angular momentum: we argue that (i) spin- $\frac{1}{2}$  behavior is the protected  $\mathbb{Z}_2$  sector of an *orientation* order parameter, and (ii) the electron's leading gyromagnetic ratio  $g = 2$  follows from a kinematic identity intrinsic to continua, namely the relation between the generator of rigid rotations and the curl of the underlying velocity/displacement field.

Our objective is *not* to reproduce the full Dirac theory. Rather, we isolate a minimal geometric mechanism that explains: (a) why a stable torsional soliton exhibits a  $4\pi$  periodicity (spinorial holonomy), and (b) why its magnetic coupling is naturally doubled relative to a naive rigidly rotating charged body model. The resulting  $g = 2$  appears as a *constitutive kinematic consequence* of describing the electron as a torsional defect of an elastic substrate, not as an imposed spinor property. This is the substrate analogue of the well-known distinction between displacement and rotation in elasticity (antisymmetric part of the distortion tensor) [2].

### B. Torsional sector and the elastic rotation–vorticity identity

In the QEG modal decomposition, the torsional response of the substrate is encoded in a vector-like projection of  $\mathcal{G}_{\mu\nu}$  (cf. the projector formalism and transport modes in Sec. IX):

$$A_\mu \equiv \mathcal{P}_{\mu\nu\rho\sigma}^{(V)} \mathcal{G}^{\rho\sigma}, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu. \quad (\text{XV.1})$$

In a quasi-static local rest frame,

$$\mathbf{B} \equiv \nabla \times \mathbf{A}, \quad \mathbf{E} \equiv -\nabla A_0 - \partial_t \mathbf{A}, \quad (\text{XV.2})$$

in direct analogy with the EM-like identification used throughout the QEG program.

Independently of electromagnetism, classical continuum kinematics admits a universal identity: the *local rotation generator* (microrotation / angular velocity) is half the vorticity of the velocity field. For a displacement field  $u_i(x)$  and velocity  $v_i = \partial_t u_i$ , define the infinitesimal rotation vector

$$\omega_i \equiv \frac{1}{2} \epsilon_{ijk} \partial_j u_k, \quad (\text{XV.3})$$

and the angular velocity

$$\Omega_i \equiv \partial_t \omega_i = \frac{1}{2} \epsilon_{ijk} \partial_j v_k. \quad (\text{XV.4})$$

The factor  $\frac{1}{2}$  is purely kinematic (antisymmetric part of the distortion/velocity gradient) and is not model-dependent [2].

a. *QEG torsional normalization.* To connect this identity to the QEG torsional field  $\mathbf{B} = \nabla \times \mathbf{A}$ , we introduce an *explicit* constitutive normalization constant  $\kappa_\Omega$  such that

$$\mathbf{\Omega}_{\text{ext}} = \frac{\kappa_\Omega}{2} \mathbf{B}_{\text{ext}}, \quad (\text{XV.5})$$

in the defect rest frame. Here  $\kappa_\Omega$  carries the required dimensions to convert the torsional “magnetic” field to an angular velocity.<sup>7</sup> The *only* structural input needed for the  $g = 2$  mechanism is the kinematic  $\frac{1}{2}$ .

### C. Spin as a topological charge of torsional solitons

We model the electron as a localized, stable torsional soliton in the  $A_\mu$  sector, possibly coupled to a scalar (core) amplitude  $\varphi$  that localizes the defect:

$$\begin{aligned} \mathcal{L}_{\text{sol}} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} m_V^2 A_\mu A^\mu \\ & + \frac{1}{2} (\partial_\mu \varphi) (\partial^\mu \varphi) - U(\varphi) - \frac{\lambda}{2} \varphi^2 A_\mu A^\mu + \dots, \end{aligned} \quad (\text{XV.6})$$

where  $m_V$  is the effective inverse length of the torsional mode in the nonlinear regime, and  $\varphi$  is *not* a new ontology: it is a composite order parameter extracted from  $\mathcal{G}_{\mu\nu}$  (compare with the stiffness/order-parameter sector of Subsec. XIII E and the defect-core stabilization in Subsec. X C). The existence and stability of such defects is a standard question in nonlinear field theory and soliton physics [23, 24].

#### Topological statement and target space.

A localized torsional defect defines transport of an *orientation* degree of freedom around loops linking its core. Operationally, QEG already uses a projective director order parameter  $\Phi(\mathcal{G}) \in \mathbb{RP}^2$  (Sec. IX); here we refine the same idea: the torsional sector carries a *frame/triad* orientation, i.e. an order parameter valued in  $SO(3)$  (or equivalently a projective identification of a lifted  $SU(2)$  frame). Since

$$\pi_1(SO(3)) = \mathbb{Z}_2, \quad (\text{XV.7})$$

there exist two distinct homotopy classes of loop transport: trivial (contractible) and nontrivial (requiring a  $4\pi$  rotation to unwind). This is precisely the geometric content of spinorial (double-cover) behavior:  $SU(2)$  double-covers  $SO(3)$ , and the nontrivial  $\mathbb{Z}_2$  class encodes the  $4\pi$  periodicity of a spin- $\frac{1}{2}$  excitation [25].

**Remark XV.1** (Compatibility with the  $\mathbb{RP}^2$  program). *The appearance of  $\mathbb{Z}_2$  here is the same structural source as in the projective target  $\mathbb{RP}^2$ : both encode an identification of antipodal orientations and hence admit a nontrivial double-cover sector. Concretely,  $\mathbb{RP}^2$  gives a minimal  $\mathbb{Z}_2$  holonomy for a director, while  $SO(3)$  organizes the full triad orientation of the torsional sector. In the fully unified construction, the torsional order parameter is a refinement of  $\Phi(\mathcal{G})$  to a frame bundle associated with  $\mathcal{G}_{\mu\nu}$ .*

### D. Fermionic quantization from configuration-space topology (Finkelstein–Rubinstein)

The  $\pi_1(SO(3)) = \mathbb{Z}_2$  statement in Eq. (XV.7) explains the *spinorial holonomy* ( $4\pi$  periodicity) of a torsional defect regarded as an orientation-carrying excitation. However, *fermionic statistics* is not a property of the target space alone; it is a property of the *quantization* of the soliton in its *configuration space*. A standard and robust mechanism connecting soliton topology to fermionic quantization is the Finkelstein–Rubinstein (FR) construction [23, 24, 43].

#### Configuration space and nontrivial loops.

Let  $\mathcal{C}$  denote the space of finite-energy defect configurations in a fixed sector (fixed boundary/topological data), modulo gauge redundancies if present. A “rigid”  $2\pi$  spatial rotation of a localized defect defines a loop in  $\mathcal{C}$ ,

$$\gamma_{2\pi} : [0, 1] \rightarrow \mathcal{C}, \quad \gamma_{2\pi}(0) = \gamma_{2\pi}(1),$$

obtained by continuously rotating the configuration in physical space. Crucially, such a loop need *not* be contractible in  $\mathcal{C}$  even if it is contractible in the naive target description. If  $\pi_1(\mathcal{C})$  contains a  $\mathbb{Z}_2$  factor generated by  $\gamma_{2\pi}$ , then there exists a nontrivial double cover  $\tilde{\mathcal{C}} \rightarrow \mathcal{C}$  on which the lifted loop closes only after a  $4\pi$  rotation.

#### FR constraint and the spin–statistics assignment.

The FR quantization prescription is to define the soliton wavefunctional  $\Psi$  on the *universal cover* of configuration space, and impose a sign constraint under the action of nontrivial loops:

$$\Psi(\gamma \cdot \Phi) = \chi(\gamma) \Psi(\Phi), \quad \chi : \pi_1(\mathcal{C}) \rightarrow \{\pm 1\}. \quad (\text{XV.8})$$

For a  $\mathbb{Z}_2$  generator  $\gamma_{2\pi}$  one may choose  $\chi(\gamma_{2\pi}) = -1$ . Then a  $2\pi$  rotation multiplies the state by  $-1$  (spinorial behavior) and, simultaneously, the same  $\mathbb{Z}_2$  assignment implies fermionic exchange statistics for two identical solitons in the same sector, because exchange corresponds to a homotopically equivalent nontrivial loop in  $\mathcal{C}$  for indistinguishable defects [23, 43].

**Remark XV.2** (Interpretation in QEG). *In QEG the relevant configuration space  $\mathcal{C}$  is that of finite-action torsional defect configurations of  $\mathcal{G}_{\mu\nu}$ , restricted to a fixed topological/obstruction sector (as in Secs. V and VII). The same protected  $\mathbb{Z}_2$  structure that appears as projective holonomy at the level of orientation transport (Sec. XV C) is therefore expected to reappear as a  $\mathbb{Z}_2$  factor in  $\pi_1(\mathcal{C})$ . Imposing the FR sign choice  $\chi = -1$  provides a concrete route from geometric spinoriality to fermionic quantization, without introducing fundamental spinor fields.*

#### Link to exclusion as geometric impenetrability.

Once defects are quantized as fermions via FR constraints, antisymmetry under exchange follows at the level of the effective two-defect Hilbert space. The “Pauli repulsion” discussed elsewhere in QEG admits a complementary geometric reading: even before quantization, identical-core fusion may be obstructed energetically/topologically (core singularity), while FR quantization ensures the standard statistical antisymmetry in the asymptotic multi-defect sector. Together these yield a coherent substrate-level picture: a topological core obstruction plus fermionic quantization of the defect sector.

<sup>7</sup> In EM units one would expect  $\kappa_\Omega$  to be fixed by the torsional stiffness and by how  $A_\mu$  is normalized relative to  $\mathcal{G}_{\mu\nu}$ . A fully covariant formulation can be given by rewriting the coupling in terms of invariants built from  $F_{\mu\nu}$  and the defect four-velocity; we leave this to the QEG constitutive Appendix.

*a. Conservative claim.* At this stage we do not attempt a full quantization of the QEG defect moduli space. We only note that (i) QEG provides natural  $\mathbb{Z}_2$  structure in the orientation sector, and (ii) the FR construction is the standard theorem-level route by which such  $\mathbb{Z}_2$  topology in configuration space yields fermionic solitons. A dedicated follow-up would compute  $\pi_1(\mathcal{C})$  for the relevant QEG defect classes and implement (XV.8) explicitly for the torsional core moduli.

Having fixed the topological origin of spinoriality and outlined the FR route to fermionic quantization, we now turn to the dynamical/constitutive identification of the magnetic moment and the leading  $g = 2$  relation.

### E. Noether spin and magnetic moment: definitions

Let  $J^{\mu\nu\rho}$  denote the conserved Noether current associated with spacetime rotations. For a localized excitation, define the (rest-frame) intrinsic angular momentum as

$$S^i \equiv \frac{1}{2} \epsilon^{ijk} \int d^3x J_{(\text{intr})}^{0jk}. \quad (\text{XV.9})$$

This definition is general and does not assume point particles [6].

Define the magnetic moment of a localized torsional soliton as the linear response of its energy to a slowly varying externally imposed torsional field  $\mathbf{B}_{\text{ext}}$ :

$$\Delta E = -\boldsymbol{\mu} \cdot \mathbf{B}_{\text{ext}} + \mathcal{O}(B_{\text{ext}}^2), \quad \mu^i \equiv - \left. \frac{\partial E}{\partial B_{\text{ext}}^i} \right|_{B_{\text{ext}}=0}. \quad (\text{XV.10})$$

### F. The $g = 2$ mechanism from the half-curl identity

The key physical input is that a torsional soliton couples *fundamentally* to substrate rotation, i.e. to  $\boldsymbol{\Omega}$ , not to a putative mechanical rigid rotation of a charged body. The most parsimonious interaction Hamiltonian between a localized spin-carrying defect and an externally imposed rotation generator is therefore

$$H_{\text{int}} = -\mathbf{S} \cdot \boldsymbol{\Omega}_{\text{ext}}. \quad (\text{XV.11})$$

Using the universal kinematic identity (XV.5),

$$H_{\text{int}} = -\mathbf{S} \cdot \left( \frac{\kappa_{\Omega}}{2} \mathbf{B}_{\text{ext}} \right) = - \left( \frac{\kappa_{\Omega}}{2} \mathbf{S} \right) \cdot \mathbf{B}_{\text{ext}}. \quad (\text{XV.12})$$

Comparing with (XV.10) yields the leading identification

$$\boldsymbol{\mu} = \frac{\kappa_{\Omega}}{2} \mathbf{S}. \quad (\text{XV.13})$$

*a. Matching to particle normalization and  $g$ .* In standard particle normalization one writes

$$\boldsymbol{\mu} \equiv g \frac{q_{\text{eff}}}{2m_{\text{eff}}} \mathbf{S}, \quad (\text{XV.14})$$

where  $q_{\text{eff}}/m_{\text{eff}}$  is the emergent charge-to-inertia ratio of the defect (fixed elsewhere in the QEG bootstrap by the same substrate constants that determine  $\alpha$ , cf. Part II and Appendix F). Consistency of (XV.13) and (XV.14) requires

$$g = \frac{\kappa_{\Omega} m_{\text{eff}}}{q_{\text{eff}}}. \quad (\text{XV.15})$$

The *constitutive content* of QEG is that the same projection/normalization that defines the torsional potential

$A_{\mu}$  also fixes  $\kappa_{\Omega}$  relative to  $q_{\text{eff}}/m_{\text{eff}}$ . In particular, if the torsional field is normalized so that

$$\kappa_{\Omega} = 2 \frac{q_{\text{eff}}}{m_{\text{eff}}}, \quad (\text{XV.16})$$

(which is the natural identification when  $A_{\mu}$  is the gauge-like potential conjugate to the torsional circulation quantum number), then (XV.15) yields

$$g = 2 \quad (\text{leading order, torsional soliton}). \quad (\text{XV.17})$$

**Remark XV.3.** *The crucial point is that the factor of two originates from the universal kinematic  $\frac{1}{2}$  in the rotation-vorticity identity. The remaining conversion between torsional and particle normalization is fixed by the constitutive dictionary of QEG (how  $A_{\mu}$  is extracted from  $\mathcal{G}_{\mu\nu}$  and how circulation quantization defines  $q_{\text{eff}}$ ). Thus,  $g = 2$  does not rely on a Dirac spinor; it is a constitutive/kinematic consequence of torsional defects in an elastic substrate.*

### G. Consistency checks, corrections, and what this does *not* claim

*a. (i) Radiative and quantum corrections.* Quantum electrodynamics yields a small anomalous correction beginning at

$$\frac{g-2}{2} = \frac{\alpha}{2\pi} + \mathcal{O}(\alpha^2), \quad (\text{XV.18})$$

known as the Schwinger term. The value  $g = 2$  corresponds to the idealized limit of an isolated defect in an otherwise homogeneous, linearly responding substrate. In reality, the defect polarizes its surrounding elastic medium. Time-dependent perturbations of the defect induce back-reaction fields that modify the effective spin-magnetic coupling. In QEG, this dressing arises from self-induction of the torsional/electromagnetic flux, polarization of the surrounding substrate modes, and / or nonlinear corrections to the effective kinetic term of the defect. These effects are the elastic analogue of radiative corrections in quantum field theory.

The magnitude of the leading correction is controlled by the dimensionless attenuation factor  $\alpha$ , which measures the strength of the defect-substrate coupling. Moreover, the geometry of the defect's closed core introduces a natural  $2\pi$  factor through phase closure along a single winding. Consequently, the leading elastic dressing is expected on dimensional and geometric grounds to scale as

$$\delta g \sim \frac{\alpha}{2\pi} \mathcal{C}, \quad (\text{XV.19})$$

where  $\mathcal{C}$  is an  $\mathcal{O}(1)$  coefficient determined by the detailed structure of the projected effective action and the soliton-core profile. The appearance of  $\alpha/2\pi$  in QED is therefore not accidental, but reflects the minimal loop (single winding) dressing of a charged localized excitation.

Higher-order corrections in  $\alpha$  correspond to multi-winding or multi-mode dressing processes, providing a direct analogue of higher-loop contributions in quantum electrodynamics.

*b. (ii) Dependence on normalization.* The factor  $\frac{1}{2}$  in (XV.4) is invariant. Different normalizations of  $A_{\mu}$  reshuffle  $\kappa_{\Omega}$  and  $q_{\text{eff}}$  but do not change the conclusion for  $g$  provided the soliton couples to  $\boldsymbol{\Omega}$  and  $\boldsymbol{\Omega}$  remains half the vorticity.

*c. (iii) Spinorial holonomy vs. statistics: role of configuration space.* The statement  $\pi_1(SO(3)) = \mathbb{Z}_2$  explains the *spinorial holonomy* ( $4\pi$  periodicity) of an orientation-carrying torsional defect [25]. Fermionic statistics, however, is a property of the *quantization* on the defect configuration space  $\mathcal{C}$ . In QEG, the bridge is provided by the Finkelstein-Rubinstein construction (Sec. XV D): if the relevant defect sector yields a  $\mathbb{Z}_2$  factor in  $\pi_1(\mathcal{C})$  generated by the  $2\pi$ -rotation loop (equivalently, by exchange in the indistinguishable two-defect sector), then imposing the FR sign choice  $\chi = -1$  produces fermionic quantization. A complete implementation therefore reduces to computing  $\pi_1(\mathcal{C})$  for the QEG defect classes and checking the identification of rotation/exchange loops in that sector.

## H. Summary

Spin is proposed as a protected  $\mathbb{Z}_2$  topological sector of torsional solitons, corresponding to nontrivial holonomy in an orientation order parameter ( $SO(3)$  or its double cover). The leading gyromagnetic ratio follows from a universal kinematic identity of continua: local rotation is half the vorticity. When the defect couples fundamentally to substrate rotation, this  $\frac{1}{2}$  enforces a doubled magnetic coupling relative to inertial normalization, yielding  $g = 2$  at leading order within the QEG constitutive dictionary.

## XVI. PARITY VIOLATION AND CHIRAL MODE SELECTION IN THE VECTOR/TORSIONAL SECTOR

### A. The chirality problem as a structural constraint

Sections II–VII provide a parsimonious mechanism for finite-range torsional propagation: the vector-like projected mode  $A_\mu$  acquires a geometric Proca mass  $m_V^2 = V_V''(0)$ . This fixes *range* (reactively) but leaves untouched a defining property of the weak interaction: *parity violation* and chiral selectivity.

In QEG this is not an optional embellishment. Section XV ties intrinsic spin and magnetic response to the torsional sector itself. Consequently, any parity-odd completion that acts on  $(A_\mu, F_{\mu\nu})$  becomes a candidate microscopic origin for chiral asymmetry, provided it can distinguish helicities *within the same substrate phase* that supports localized solitons/flux tubes.

The requirement may be stated succinctly:

A viable QEG short-range sector must contain a covariant parity-odd functional that distinguishes the two helicities of torsional excitations *without introducing new fundamental fields*, and that is naturally supported in the same nonlinear substrate states that localize defects.

Generic parity-odd terms produce *helicity birefringence* (different dispersion for left/right circular polarizations). Achieving *maximal* parity violation (a purely left-chiral effective coupling) is stronger and will generally require either (i) a critical substrate regime (domain walls / chiral cores), or (ii) additional dynamical selection rules beyond simple birefringence. We therefore separate in this section: a minimal covariant *seed* mechanism (birefringent helicity splitting) and the stronger question of emergent *chiral projection* (see Outlook at the end of the section).

### B. Minimal parity-odd completion: a composite pseudoscalar invariant

The minimal parity-odd building block in four dimensions is constructed with the Levi–Civita tensor  $\epsilon^{\mu\nu\rho\sigma}$ . For the torsional field strength  $F_{\mu\nu}$  define

$$\mathcal{P} \equiv F_{\mu\nu}\tilde{F}^{\mu\nu}, \quad \tilde{F}^{\mu\nu} \equiv \frac{1}{2}\epsilon^{\mu\nu\rho\sigma}F_{\rho\sigma}. \quad (\text{XVI.1})$$

$\mathcal{P}$  is a pseudoscalar (odd under parity). If its coefficient is constant, the term is a total derivative in the Abelian case and does not modify local equations of motion. Hence, *physical* parity-odd response requires a spacetime-dependent coefficient.

In QEG we do not introduce an external axion-like field. Instead we allow the coefficient to be a *composite pseudoscalar functional* of the nonlinear substrate state, built from the same internal/filament variables that already exist in the solitonic phase. We therefore add

$$\mathcal{L}_{\text{odd}} = \frac{\xi}{4}\Theta(\Phi)F_{\mu\nu}\tilde{F}^{\mu\nu}, \quad (\text{XVI.2})$$

where  $\Phi$  collectively denotes the relevant nonlinear variables (e.g. the filamentary triplet sector  $Q^a$ , tangent data  $t_i$ , and their derivatives), and  $\Theta(\Phi)$  is required to transform as a pseudoscalar under parity.<sup>8</sup>

**Remark XVI.1** (Chiral order parameter as a *state* of the substrate).  $\Theta(\Phi)$  plays the role of a substrate *chiral order parameter*. Chirality is not attributed to a new fundamental field: it is a property of a configuration/state of the QEG substrate (e.g. soliton core, flux-tube profile, or a localized domain wall). Consequently, the homogeneous vacuum may remain parity-symmetric ( $\partial_\mu\Theta = 0$ ) in the absence of excitations, while parity-odd response is activated only in non-perturbative regions.

### C. Modified massive vector equations and helicity splitting

Varying the full torsional Lagrangian

$$\mathcal{L}_V = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}m_V^2 A_\mu A^\mu - J_V^\mu A_\mu + \frac{\xi}{4}\Theta(\Phi)F_{\mu\nu}\tilde{F}^{\mu\nu}, \quad (\text{XVI.3})$$

yields the modified Proca system

$$\partial_\nu F^{\nu\mu} + m_V^2 A^\mu = J_V^\mu - \xi(\partial_\nu\Theta)\tilde{F}^{\nu\mu}. \quad (\text{XVI.4})$$

**Remark XVI.2.** Define  $b_\mu := \partial_\mu\Theta$ . If  $b_\mu$  were a constant background in the vacuum, (XVI.4) would reduce to a Carroll–Field–Jackiw/SME-like parity-odd photon sector, which is tightly constrained observationally via vacuum birefringence [44, 45]. In QEG, however,  $b_\mu$  is a composite defect-state gradient and is therefore expected to be nonzero only inside cores/tubes/walls and negligible in the homogeneous vacuum, avoiding a universal Lorentz-violating background.

Two immediate structural consequences follow:

- If  $\Theta = \text{const}$ , the parity-odd term is inert. Thus parity violation is *localized* to regions where  $\partial_\mu\Theta \neq 0$ .
- The new source term is explicitly parity-odd and couples to  $\tilde{F}$ , implying that opposite helicities of torsional waves experience different effective dynamics.

**Remark XVI.3.** Recent semiclassical optical models of light propagation in dielectric media [46] show that coupling electromagnetic waves to internal oscillator degrees of freedom naturally induces helicity mixing, zitterbewegung-like dynamics, and a dual Abraham–Minkowski momentum structure. These results may be viewed as concrete realizations of the more general substrate-based mechanisms described here, validating the link between internal substrate degrees of freedom and chiral/spin dynamics.

*a. Plane-wave birefringence (local approximation).* In a region where  $\partial_\mu\Theta$  is slowly varying on the wavelength scale, one may treat  $b_\mu := \partial_\mu\Theta$  as approximately constant. Linearizing around a background with  $J_V^\mu \simeq 0$ , the term  $-\xi b_\nu\tilde{F}^{\nu\mu}$  acts as an axial, parity-odd mixing that splits the circular polarizations. Schematically, at fixed  $|\mathbf{k}|$  one finds

$$\Delta\omega \propto \xi b \quad (\text{at leading order}),$$

so that left- and right-handed modes propagate with distinct dispersion relations. This is the minimal covariant seed of chiral selectivity in QEG: *helicity birefringence* in the massive torsional sector, active only where the substrate develops a pseudoscalar gradient.

<sup>8</sup> Parity-odd terms of the form  $\Theta F\tilde{F}$  generically induce helicity-dependent propagation for massive vector modes whenever  $\partial_\mu\Theta \neq 0$ . A minimal dispersion calculation illustrating this effect is given in Appendix D

### 1. From helicity birefringence to chiral mode selection: defect-core gradients and domain-wall localization

The parity-odd completion (XVI.2) is formally identical to axion electrodynamics, with  $\Theta$  playing the role of an axion-like angle [47, 48]. In that literature, it is well known that spacetime gradients of  $\Theta$  do not merely induce birefringence, but can also lead to *boundary and domain-wall effects* in which one polarization is preferentially transmitted or localized.

*a. Localized parity violation.* Because  $\Theta(\Phi)$  is a composite order parameter of the nonlinear substrate state,  $\partial_\mu\Theta$  is expected to be appreciable only in nonperturbative regions (tube cores, soliton interiors, or chiral domain walls) and negligible in the homogeneous vacuum. Thus the theory naturally predicts *localized* parity-odd response rather than a universal Lorentz-violating background.

*b. Chiral filtering as a strong-gradient regime.* In the strong-gradient regime, the parity-odd term in (XVI.4) acts as an axial mixing that can suppress one circular polarization through (i) mode conversion into evanescent solutions, (ii) polarization-dependent reflection at a  $\Theta$ -wall, or (iii) helicity-dependent effective mass shifts. Operationally, this provides a natural route from small birefringence to *effective chiral projection* in the vicinity of defect cores: the core region acts as a polarization-selective filter for torsional excitations.

*c. Conservative claim and next step.* At this stage we establish the robust structural seed: helicity splitting in regions where  $\partial_\mu\Theta \neq 0$ . A quantitative derivation of a purely left-chiral low-energy effective coupling (a  $V$ - $A$ -type projection) requires solving the mode-matching problem across a core/wall profile  $\Theta(z)$  and demonstrating that one helicity acquires a large attenuation/gap while the other remains propagating. This is a standard boundary-value problem in parity-odd electrodynamics and could be addressed in future work.

**Remark XVI.4** (Helicity-dependent effective mass shift (local WKB)). *In a WKB treatment with slowly varying  $b_\mu = \partial_\mu\Theta$ , the term  $-\xi b_\nu \tilde{F}^{\nu\mu}$  contributes a parity-odd correction to the local dispersion relation, which can be interpreted as a polarization-dependent shift of the effective Proca mass. This makes the possibility of helicity-selective gapping in strong-gradient cores technically natural.*

**Remark XVI.5** (Cliffhanger: from birefringence to chiral projection). *Birefringence alone does not enforce purely left-chiral couplings. Maximal parity violation requires an additional mechanism that suppresses or gaps one helicity (e.g. chiral domain walls, boundary-mode localization, or a defect-core selection rule). In QEG this naturally points to the same non-perturbative regions (cores/tubes) where  $\Theta$  varies, suggesting that chirality is a boundary/defect phenomenon rather than a property of the homogeneous vacuum. We return to this in the Outlook of Sec. XVIII.*

**Remark XVI.6** (Operational arrow and chiral bias (interpretive; see [49])). *The parity-odd completion (XVI.2) is the minimal covariant seed for helicity splitting; by itself it does not fix which helicity is ultimately favored. An additional ingredient is therefore a mechanism that selects a preferred sign for the pseudoscalar gradient  $\partial_\mu\Theta$  inside non-perturbative cores/walls.*

*A natural interpretive candidate in QEG is an operational arrow associated with the regime that generates massive, localized excitations: whenever the substrate leaves the purely quadratic/Hamiltonian propagation domain and enters a nonlinear regime that supports localization, the effective dynamics is controlled by a variational stationarity problem with nontrivial constraint classes and boundary contributions (Stokes-type terms) [49, 50]. In this viewpoint, “regimes” are not new laws but different dominance patterns of the same invariant accumulation problem, selected by admissible variations and boundary data.*

*Moreover, the foundations of QEG emphasize a structural complementarity between multiplicative (scale/diagonal) and additive (sequential/local) representations: there is no representation in which both operations are simultaneously local/diagonal, so an intrinsic operational directionality is expected once the*

*additive (innovation) channel becomes dynamically relevant [49, 50]. Translating this to the filamentary torsional sector, one may regard the onset of the massive/localized regime as activating a preferred orientation for “innovation flow” along the tube, and hence a preferred sign for a pseudoscalar response such as the twist density  $\epsilon^{ijk}t_i\partial_jt_k$  or its internal-coupled analogue (XVI.6)–(XVI.7). Operationally, this provides a plausible substrate-level bias that can turn helicity splitting into helicity selection in strong-gradient cores.*

*This remark is not required for the covariant field-theoretic mechanism developed in the main text; it only motivates why, in QEG, a sign-selection for  $\partial_\mu\Theta$  may be natural once one commits to an operational/variational origin of regimes and to the intrinsic directionality of the additive channel.*

### D. Constructing $\Theta(\Phi)$ from flux-tubes and the shear-triplet

The remaining task is to construct  $\Theta(\Phi)$  from QEG variables already present in the nonlinear phase. The only robust requirement is:

$$\Theta(\Phi) \text{ is a pseudoscalar functional} \\ \text{built from } \Phi, t_i, \text{ and derivatives.} \quad (\text{XVI.5})$$

In the filamentary regime (Sec. VI), a natural geometric input is the tube tangent  $t_i$ . A minimal pseudoscalar capturing handedness of a filamentary configuration is the twist density

$$\Theta_{\text{geom}} \sim \epsilon^{ijk} t_i \partial_j t_k, \quad (\text{XVI.6})$$

which vanishes for mirror-symmetric profiles and changes sign under parity.

To couple chirality to internal structure (Sec. VII), supplement this by a term using the normalized triplet direction  $\hat{n}^a = Q^a/|Q|$ :

$$\Theta_{\text{int}} \sim \epsilon^{ijk} t_k \epsilon^{abc} \hat{n}^a \partial_i \hat{n}^b \partial_j \hat{n}^c. \quad (\text{XVI.7})$$

This combines filament geometry and internal orientation into a pseudoscalar substrate response. A minimal effective chiral order parameter may then be taken as

$$\Theta(\Phi) = c_1 \Theta_{\text{geom}} + c_2 \Theta_{\text{int}} + \dots, \quad (\text{XVI.8})$$

with coefficients  $(c_1, c_2, \dots)$  fixed by the projected invariants inherited from  $V(\mathcal{G})$  and by the normalization conventions of the filamentary phase.

**Remark XVI.7.** *Flux tubes and soliton cores are precisely the regions where  $\Phi$  varies rapidly, where  $t_i$  is defined, and where gradients  $\partial_\mu\Theta$  are generically nonzero. Hence the parity-odd response is naturally localized to the same non-perturbative structures responsible for confinement-like energetics and endogenous sources.*

### E. Range, widths, and parity violation: distinct roles

The construction cleanly separates three distinct mechanisms:

1. **Range (mass):** controlled by  $m_V^2 = V_V''(0)$  via  $\frac{1}{2}m_V^2 A_\mu A^\mu$ .
2. **Widths (dissipation):** controlled by Rayleigh-type terms yielding attenuation/lifetimes.
3. **Parity violation (chirality):** controlled by  $\Theta(\Phi)\tilde{F}\tilde{F}$ , active only when  $\partial_\mu\Theta \neq 0$ , and producing helicity-dependent propagation/interaction.

Thus, short range is not attributed to friction, and chirality is not imposed by hand but emerges from a pseudoscalar functional of the nonlinear substrate state.

## F. Summary of Section XVI

We introduced a minimal covariant parity-odd completion for chiral dynamics in QEG. A composite pseudoscalar substrate functional  $\Theta(\Phi)$  coupled to  $F_{\mu\nu}\tilde{F}^{\mu\nu}$  modifies the massive torsional/Proca equations by a parity-odd term proportional to  $(\partial\Theta)\tilde{F}$ . This induces helicity splitting of torsional modes in regions with nontrivial substrate chirality—notably soliton cores and flux-tube profiles—providing a natural seed mechanism for parity violation consistent with QEG’s geometric ontology and cleanly separated from both mass generation and dissipation.

## XVII. GAUGE STRUCTURE INDUCED BY FILAMENTARY GEOMETRY

### A. Geometric Origin of the Internal Multiplet

In this section, we recover the internal structure underlying the gauge description directly from the geometric constructions introduced in Section VII, without introducing additional assumptions. The central point is that the internal degrees of freedom required for a gauge formulation are already present in the nonlinear filamentary regime of the elastic substrate.

As shown in Section VII, when the system enters the nonlinear regime, elastic energy minimization leads to the formation of filamentary configurations. Each filament dynamically selects a local tangent direction  $t_i$ , which defines a preferred axis associated with the excitation. This axis is not a background structure, but an emergent, excitation-dependent object, and therefore does not break the global isotropy of the vacuum.

The existence of a local axis allows the symmetric, traceless shear tensor  $S_{ij}$  to be decomposed with respect to a locally adapted orthonormal frame

$$\{t_i, e_{(1)i}, e_{(2)i}\}.$$

In this adapted frame, the shear sector naturally decomposes according to

$$\mathbf{5} \longrightarrow \mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2}, \quad (\text{XVII.1})$$

corresponding respectively to a longitudinal scalar mode, a transverse vector doublet, and a transverse tensorial sector, as discussed in detail in Section VII. Among these components, the energetically dominant low-energy excitations can be collected into an effective triplet of internal degrees of freedom,

$$Q^a = \left( Q_{\parallel}, Q_{\perp}^{(1)}, Q_{\perp}^{(2)} \right), \quad (\text{XVII.2})$$

with explicit expressions

$$Q_{\parallel} = S_{ij}t_it_j, \quad Q_{\perp}^{(A)} = S_{ij}e_{(A)i}t_j, \quad A = 1, 2. \quad (\text{XVII.3})$$

The index  $a$  labeling these components does not correspond to a spatial direction. Instead, it labels components with respect to the locally chosen internal frame adapted to the filament. The triplet  $Q^a$  therefore lives in an internal vector space induced by the geometric decomposition of the shear sector, rather than in a postulated internal symmetry space.

The effective elastic energy constructed in Section VII depends only on rotationally invariant combinations of these variables, such as

$$Q^a Q^a, \quad (\text{XVII.4})$$

and on derivatives compatible with the filament geometry. Consequently, the physical predictions are insensitive to the particular choice of the transverse basis  $\{e_{(1)}, e_{(2)}\}$

at each point along the filament. Different choices of this local frame correspond to different internal representations of the same physical configuration.

This implies that the internal triplet  $Q^a$  should be regarded as a section of an internal vector bundle defined over the filament worldsheet. The freedom to rotate the local transverse frame at each point defines a local redundancy in the description, acting on the internal index  $a$ . At this stage, this redundancy has not yet been endowed with independent dynamics; however, its geometric origin is fully determined by the filamentary structure of the nonlinear elastic regime.

In the following subsections, we show that once the transport and dynamics of these internal degrees of freedom along the filament are considered, this redundancy necessarily acquires the full structure of a gauge symmetry, with an induced connection and a covariant derivative arising from the geometry of the filament itself.

### B. Emergence of Gauge Redundancy from Local Frame Insensitivity

The geometric construction presented in the previous subsection implies a natural internal structure associated with each filament. We now show that the freedom in choosing the local adapted frame along the filament leads to a local redundancy in the description, which can be identified as an emergent gauge symmetry.

Let  $\Sigma$  denote the worldsheet traced by a filamentary excitation, parametrized by coordinates  $\sigma^\alpha$ . At each point on  $\Sigma$ , the internal triplet  $Q^a(\sigma)$  introduced in Section XVII A lives in a three-dimensional internal vector space induced by the decomposition of the shear sector with respect to the local adapted frame. Collectively, these internal spaces define an internal vector bundle

$$E \longrightarrow \Sigma,$$

with typical fiber  $\mathbb{R}^3$ .

The choice of the transverse basis  $\{e_{(1)}, e_{(2)}\}$  at each point along the filament is not unique. Any local rotation of this basis that preserves orthonormality leaves the geometric definition of the filament unchanged. Consequently, the internal components  $Q^a$  transform under local changes of frame according to

$$Q^a(\sigma) \longrightarrow Q'^a(\sigma) = R^a_b(\sigma) Q^b(\sigma), \quad (\text{XVII.5})$$

where  $R(\sigma)$  is a local orthogonal transformation acting on the internal indices. The precise subgroup of  $O(3)$  realized depends on the subset of modes retained in the effective description; however, at this stage, the transformation is purely geometric in origin.<sup>9</sup>

Crucially, the effective elastic energy derived in Section VII depends only on internal invariants, such as

$$Q^a Q^a, \quad (\text{XVII.6})$$

and not on the particular choice of the local internal frame. As a result, all physical observables are invariant under the local transformations  $R(\sigma)$ . The transformations acting on the internal index therefore do not correspond to physical symmetries relating distinct states, but to a redundancy in the description of the same physical configuration.

This is precisely the defining characteristic of a gauge symmetry. *In the present framework, gauge invariance is not postulated as a fundamental principle; rather, it*

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<sup>9</sup> In the minimal filamentary configuration, this redundancy reduces to local rotations in the transverse plane, effectively realizing an  $SO(2)$  action; however, once the full triplet structure is retained, the natural completion extends to an  $O(3)$ -type internal symmetry.

emerges as a consequence of the insensitivity of the elastic energy to local reparametrizations of the internal frame induced by the filament geometry.

At this stage, the gauge structure is purely kinematical. The internal bundle is equipped with local transformations acting on its fibers, but no independent connection or field strength has yet been introduced. Nevertheless, the presence of a local redundancy acting on the internal degrees of freedom already constrains the admissible form of any effective dynamics along the filament.

In the next subsection, we show that once the transport of the internal triplet  $Q^a$  along a curved or twisted filament is considered, the introduction of a covariant derivative and an associated connection becomes unavoidable. This connection arises directly from the geometry of the filament and provides the dynamical realization of the emergent gauge structure.

### C. Induced Connection and Covariant Transport Along the Filament

The local redundancy identified in the previous subsection becomes dynamically relevant once the internal degrees of freedom are allowed to vary along the filament worldsheet. In this case, the consistent transport of the internal triplet  $Q^a(\sigma)$  requires the introduction of a covariant derivative, together with an associated connection that is induced by the geometry of the filament itself.

Consider the evolution of the internal variables  $Q^a(\sigma)$  along the worldsheet  $\Sigma$ , parametrized by coordinates  $\sigma^\alpha$ . Since the local adapted frame  $\{t_i, e_{(1)i}, e_{(2)i}\}$  may vary from point to point along a curved or twisted filament, the internal basis defining the components of  $Q^a$  is itself position-dependent. As a result, the naive derivative  $\partial_\alpha Q^a$  does not transform covariantly under local changes of the internal frame.

Explicitly, under a local transformation

$$Q^a(\sigma) \longrightarrow Q'^a(\sigma) = R^a{}_b(\sigma) Q^b(\sigma), \quad (\text{XVII.7})$$

the partial derivative transforms as

$$\partial_\alpha Q^a \longrightarrow R^a{}_b \partial_\alpha Q^b + (\partial_\alpha R^a{}_b) Q^b, \quad (\text{XVII.8})$$

which fails to preserve the internal covariance of the description. The additional term proportional to  $\partial_\alpha R^a{}_b$  reflects the variation of the local internal frame along the filament.

To restore covariance, one must introduce a connection  $\mathcal{A}_\alpha{}^a{}_b$  acting on the internal indices and define the covariant derivative

$$D_\alpha Q^a = \partial_\alpha Q^a + \mathcal{A}_\alpha{}^a{}_b Q^b. \quad (\text{XVII.9})$$

The connection transforms under local frame rotations according to

$$\mathcal{A}_\alpha \longrightarrow R \mathcal{A}_\alpha R^{-1} - (\partial_\alpha R) R^{-1}, \quad (\text{XVII.10})$$

ensuring that  $D_\alpha Q^a$  transforms covariantly.

In the present framework, the connection  $\mathcal{A}_\alpha$  is not introduced as an independent dynamical field. Instead, it is induced by the geometry of the filament through the variation of the local adapted frame along the worldsheet. In this sense,  $\mathcal{A}_\alpha$  plays the role of a spin connection associated with parallel transport in the internal space defined by the shear decomposition.

The effective worldsheet Lagrangian governing the dynamics of the internal degrees of freedom must therefore be constructed from covariant quantities. The minimal kinetic term consistent with the emergent gauge redundancy is

$$\mathcal{L}_{\text{ws}} = \frac{1}{2} D_\alpha Q^a D^\alpha Q^a, \quad (\text{XVII.11})$$

which is manifestly invariant under local transformations of the internal frame.

This result has a clear physical interpretation. The induced gauge connection  $\mathcal{A}_\alpha$  represents the inertial response of the internal frame to curvature and torsion of the filament. What appears as a gauge field from the effective description along the filament is, in the underlying elastic picture, a purely geometric effect arising from the transport of internal degrees of freedom in a nontrivial background defined by the filamentary excitation.

At this stage, the gauge structure is fully established at the kinematical level. The internal redundancy, the covariant derivative, and the associated connection arise necessarily from the filament geometry and the requirement of consistent transport. In the next subsection, we address the dynamical completion of this structure and show how a minimal Yang–Mills–type term emerges naturally within the Quantum Elastic Geometry framework.

### D. Minimal Dynamical Completion: Emergent Yang–Mills Structure

The previous subsections establish that the filamentary regime of Quantum Elastic Geometry necessarily gives rise to an internal gauge structure at the kinematical level. We now address its dynamical completion. The central question is whether the induced connection  $\mathcal{A}_\alpha$  admits an effective dynamics consistent with the elastic origin of the theory, without introducing additional fundamental fields or postulates.

Within the QEG framework, any admissible dynamical term must satisfy three requirements: (i) it must be constructed from quantities already present in the theory, (ii) it must respect the emergent gauge redundancy identified above, and (iii) it must admit a clear geometric interpretation in terms of elastic response. These constraints severely restrict the allowed form of the effective action.

The natural gauge-invariant quantity associated with the induced connection is its curvature,

$$\mathcal{F}_{\alpha\beta} = \partial_\alpha \mathcal{A}_\beta - \partial_\beta \mathcal{A}_\alpha + [\mathcal{A}_\alpha, \mathcal{A}_\beta], \quad (\text{XVII.12})$$

which measures the nontrivial holonomy of the internal frame under parallel transport along the filament worldsheet. In geometric terms,  $\mathcal{F}_{\alpha\beta}$  quantifies the failure of the internal basis to return to itself after transport around an infinitesimal loop, and therefore represents the intrinsic rotational stiffness of the internal elastic structure.

The minimal dynamical term consistent with the emergent gauge symmetry and the elastic interpretation is quadratic in the curvature,

$$\mathcal{L}_{\text{gauge}} = \frac{\kappa}{4} \text{Tr}(\mathcal{F}_{\alpha\beta} \mathcal{F}^{\alpha\beta}), \quad (\text{XVII.13})$$

where  $\kappa$  is an effective elastic stiffness parameter determined by the properties of the underlying substrate.<sup>10</sup> This term is the direct analogue of the Yang–Mills action, but its origin here is entirely geometric: it represents the energetic cost associated with twisting and bending the internal frame along the filament.

Importantly, the appearance of the commutator term in  $\mathcal{F}_{\alpha\beta}$  is not imposed by hand. It follows uniquely from the requirement that the curvature transform covariantly under local frame rotations, which themselves arise from the

<sup>10</sup> In QEG,  $\kappa$  is not a new free parameter: it is an effective stiffness induced by integrating out the transverse (shape) sector of the filament. Dimensionally one expects  $\kappa \sim Z_Q L_*^2$  (equivalently  $\kappa \sim Z_Q/m_u^2$ ), where  $Z_Q$  is the triplet stiffness introduced in Eq. (??) and  $L_*$  (or  $m_u$ ) is the transverse core scale (or gap) of the tube fluctuations.

redundancy in the internal description. In this sense, the non-Abelian structure of the effective gauge dynamics is already encoded in the geometry of the filamentary regime.

The complete effective worldsheet action for the internal degrees of freedom can therefore be written as

$$S_{\text{eff}} = \int_{\Sigma} d^2\sigma \left[ \frac{1}{2} D_{\alpha} Q^a D^{\alpha} Q^a + \frac{\kappa}{4} \text{Tr}(\mathcal{F}_{\alpha\beta} \mathcal{F}^{\alpha\beta}) \right], \quad (\text{XVII.14})$$

which is manifestly invariant under local transformations of the internal frame.

This construction completes the gauge structure within the filamentary regime of QEG. The connection  $\mathcal{A}_{\alpha}$  and its curvature  $\mathcal{F}_{\alpha\beta}$  are not fundamental interaction fields, but emergent collective variables describing the elastic response of the internal frame to geometric deformations of the filament. The resulting Yang–Mills–type dynamics is therefore parsimonious: it introduces no new degrees of freedom beyond those already required for consistency of the geometric description.<sup>11</sup>

In the following subsection, we discuss how this emergent non-Abelian gauge structure may be extended beyond the minimal real triplet and outline the natural routes by which a richer internal symmetry, including structures analogous to  $SU(3)$ , can arise within the Quantum Elastic Geometry framework.

## E. Toward Non-Abelian Color Structure and $SU(3)$ Completion

The construction developed in the previous subsections establishes an emergent non-Abelian gauge structure associated with the filamentary regime of Quantum Elastic Geometry. At the minimal level, the internal triplet  $Q^a$  and its induced connection  $\mathcal{A}_{\alpha}$  naturally realize a real gauge structure associated with rotations of the internal frame. We now discuss how this structure may be extended to a richer non-Abelian symmetry, and under which conditions an  $SU(3)$ -like structure emerges as a natural completion.

The internal degrees of freedom arising from the shear sector are real-valued at the purely geometric level. However, once dynamical excitations are considered, oscillatory modes of the filament generically admit a complex representation. This complexification promotes the internal vector space from  $\mathbb{R}^3$  to  $\mathbb{C}^3$ , enlarging the natural internal symmetry group from  $O(3)$  to  $U(3)$ . In this extended description, internal phase rotations acquire physical meaning as relative dynamical phases of the filamentary modes.

Within this framework, the physically relevant internal symmetry is not the full unitary group, but its special subgroup  $SU(3)$ , obtained by factoring out the overall phase associated with uniform dilations of the internal modes. This identification is consistent with the elastic origin of the theory: the trace component corresponds to a uniform internal deformation that does not generate restoring forces, while the traceless sector encodes genuine shear-like excitations.

From the QEG perspective, the emergence of an  $SU(3)$ -like structure is therefore not postulated as a fundamental symmetry of nature. Instead, it arises as the minimal non-Abelian completion of the filamentary internal bundle once complex dynamical modes and elastic consistency are taken into account. The resulting structure closely parallels the color symmetry of quantum chromodynamics, while retaining a clear geometric and elastic interpretation.

Importantly, the present construction does not require the introduction of additional fundamental gauge fields or

interaction principles. The non-Abelian self-interactions encoded in the commutator structure of the curvature  $\mathcal{F}_{\alpha\beta}$  arise automatically from the geometry of the internal frame bundle. In this sense, the effective gauge dynamics captures the collective elastic response of the filamentary medium rather than a fundamental force mediated by elementary particles.

This perspective also provides a natural explanation for confinement-like behavior. Since the internal gauge structure is intrinsically tied to filamentary excitations, isolated color degrees of freedom cannot propagate freely in the bulk. Instead, they are confined to filamentary configurations whose elastic energy grows with separation, offering a geometric mechanism reminiscent of flux-tube confinement.

The identification of  $SU(3)$  as the effective internal symmetry in this regime should therefore be understood as a consequence of geometric and dynamical consistency within the QEG framework. It represents the minimal symmetry capable of supporting a non-Abelian elastic response of the internal degrees of freedom, rather than an externally imposed gauge principle. Observable deviations from conventional Yang–Mills behavior may arise at sufficiently high energies or curvatures, providing a clear avenue for falsifiability.

## XVIII. DISCUSSION: EFFECTIVE NON-ABELIAN STRUCTURE, SCOPE, AND OPEN PROBLEMS

### A. Scope: why a “non-abelian-like” target is appropriate (and what we do *not* claim)

The strong interaction is empirically described by a non-Abelian gauge theory with an internal symmetry group and self-interacting gauge fields [18, 51]. The present QEG extension remains deliberately conservative. We do not assume the Standard Model gauge groups as axioms, nor do we claim a full microscopic derivation of QCD. Instead, our goal is to provide a geometrically natural route by which: (i) internal multiplicity (a “color-like” index) can emerge from substrate degrees of freedom without breaking global isotropy (Appendix B), (ii) confinement-like energetics can arise from nonlinear organization into filamentary flux tubes (Section VI and Appendix C), and (iii) particle discreteness and stability can be realized as solitonic/topological sectors (Sections III and V).

In Section XVII we established, at the level of a filament worldsheet effective description, that internal frame insensitivity forces an emergent gauge redundancy, an induced connection, and a minimal Yang–Mills–type completion. The discussion below clarifies how this structure may connect to effective non-Abelian behavior in the infrared, and delineates what remains to be proven for quantitative contact with the Standard Model.

### B. Recap: kinematical emergence vs. dynamical force carriers

Section XVII shows that the filamentary regime induces a gauge *redundancy* associated with local reparametrizations of an internal multiplet, together with an induced connection required for covariant transport along the filament. This establishes an unavoidable gauge *structure* at the kinematical level. Whether this structure lifts to fully propagating 3+1-dimensional gauge bosons depends on additional dynamical mechanisms (e.g. collective filament networks and bulk effective actions). In the present work we focus on the induced worldsheet sector and its minimal dynamical completion, while treating the 3+1 lifting as a concrete open problem (see Subsec. XVIII G).

<sup>11</sup> While the present construction is formulated on the filament worldsheet, the induced gauge structure may be lifted to the ambient spacetime through collective filament networks, as discussed in later sections.

### C. From the internal triplet to SU(3)-like behavior: minimal routes and diagnostics

The Standard Model strong interaction involves an SU(3) internal symmetry rather than an  $O(3)$ -type real triplet. We do not claim a unique derivation of SU(3), but we identify minimal routes by which a richer internal group may emerge *effectively* in the IR:

*a. Route A (complexification and phase redundancy).* If the relevant low-energy degrees of freedom admit a complex representation (e.g. via coupling to oscillatory internal states or torsional modes), the real triplet  $Q^a$  is promoted to a complex triplet  $\psi^a$ . The leading invariant  $\psi^\dagger\psi$  then exhibits a  $U(3)$  symmetry, and additional constraints/mixings may reduce the active sector effectively to an SU(3)-like symmetry.

*b. Route B (multiplet enlargement from additional projected modes).* The shear sector provides five degrees of freedom and, in the tube phase, splits as  $3 \oplus 2$ . If transverse shape modes (the  $u$ -sector) are not fully gapped at intermediate scales (Appendix C), the internal multiplet can enlarge beyond a simple triplet, allowing a larger approximate internal symmetry group to act on the dominant light sector.

*c. Route C (coupling-induced internal algebra).* Couplings between the triplet sector and torsional/vector sectors (e.g. Eq. (VII.17)) can induce commutator-like nonlinearities among internal components once heavy modes are integrated out. This is a standard EFT mechanism in which integrating out mediators generates nontrivial internal algebraic structure [52, 53].

*d. Concrete diagnostics (falsifiable).* The above routes become testable if the resulting IR functional exhibits: (i) an approximate eight-generator algebra acting on the light internal multiplet, (ii) effective cubic self-interactions consistent with a non-Abelian curvature term in appropriate variables, and (iii) a confinement/screening pattern consistent with the tube worldsheet reduction. These diagnostics define a sharp program rather than a purely interpretive map.

### D. Relation to confinement in the present framework

In QCD, confinement is tightly associated with non-Abelian dynamics [14, 54]. In the present QEG extension, confinement-like behavior arises already from nonlinear energy minimization in a shear-related sector (Section VI). The interpretation is that non-Abelian gauge theory may represent the standard microscopic language of confinement, while QEG proposes a substrate mechanism producing flux-tube energetics via nonlinear elasticity.

We do *not* claim an area law for Wilson loops at this stage. What is established is a geometric mechanism producing string-like energy minimizers with approximately linear energy growth with separation in the appropriate regime. If an effective non-Abelian structure emerges in the IR as outlined above, it provides a bridge between the QEG substrate picture and the standard gauge-theoretic language, but the existence of flux-tube energetics does not logically require the full microscopic gauge structure at the purely classical level.

### E. Dynamical stability, radiation, and time-dependent modes (compact)

A standard concern for solitonic models is whether static energy minimizers correspond to dynamically stable configurations once time dependence and radiation channels are included, especially in 3+1 dimensions where Derrick-type arguments constrain scalar lumps [32]. In QEG, stability is supported by a combination of mechanisms beyond Derrick's assumptions: (i) topology (defects in the substrate field  $\mathcal{G}_{\mu\nu}$  occupy nontrivial homotopy sectors), (ii) nonlinear saturation in  $V(\mathcal{G})$  stabilizing a finite core scale

$L_*$ , and (iii) mode decoupling when bound internal excitations lie below radiative thresholds set by curvature scales. Quantitative lifetimes and scattering require explicit time-dependent solutions, but the structural existence of stable localized states is supported within the present framework.

### F. Local anisotropy, background-free geometry, and Lorentz bounds (compact)

QEG is background-free: the substrate  $\mathcal{G}_{\mu\nu}$  constitutes spacetime geometry itself, and the homogeneous vacuum is isotropic. In the nonlinear/tube regime, confined configurations select a local axis, but this does not imply a globally anisotropic vacuum: anisotropy is defect-local and decays in the far field. Any effective Lorentz-violating signals are therefore texture-induced and suppressed away from defects, typically scaling as

$$\frac{\delta c}{c} \sim \mathcal{A} \left( \frac{L_*}{r} \right)^p, \quad p > 0, \quad (\text{XVIII.1})$$

with  $\mathcal{A}$  and  $p$  determined by the dominant exterior operators. This converts the standard “ether” objection into a constrained and testable sector, connectable to SME-style bounds in an environment-dependent interpretation.

### G. Limitations and open problems

To make the proposal technically robust and attack-resistant, we state explicit limitations:

*a. (L1) Group structure and charge algebra.* A unique derivation of the precise internal gauge group, its generators, and representation content (e.g. full SU(3) charge algebra) has not been obtained. We provide an isotropy-safe origin for internal multiplicity (Appendix B) and falsifiable IR routes, but a first-principles uniqueness result remains open.

*b. (L2) Quantitative matching to Standard Model parameters.* The framework identifies effective masses with potential curvatures and confinement tension with nonlinear invariants, but it does not yet provide a full map from substrate parameters to particle masses, couplings, and running behavior. Minimal quantitative targets include the flux-tube tension  $\sigma$ , transverse excitation gaps relative to  $\sqrt{\sigma}$ , and coarse-graining flow of effective couplings.

*c. (L3) Chirality beyond helicity splitting.* Parity-odd terms can yield helicity splitting in chiral substrate backgrounds, but reproducing the full pattern of weak chiral couplings remains a major open task. A sharp criterion is the emergence of stable  $V-A$ -like effective couplings for defect-sector fermionic modes under small background deformations.

*d. (L4) Full filament dynamics in spacetime.* While covariant order-parameter descriptions of filaments can be formulated, a complete dynamical theory of filament evolution, reconnection, interactions, and radiative channels remains to be developed.

*e. (L5) Explicit stability proofs for chosen potentials.* Although topology and nonlinear saturation provide structural stability mechanisms, explicit stability analyses must be supplied for the specific  $V(\mathcal{G})$  chosen (see e.g. [23, 24]).

### H. Outlook: a minimal next-step program

The limitations above define a sharp next-step program:

1. Specify a minimal invariant form of  $V(\mathcal{G})$  (e.g. using  $\text{tr}(\mathcal{G})$ ,  $\text{tr}(\mathcal{G}^2)$ ,  $\text{tr}(\mathcal{G}^3)$ ,  $\det \mathcal{G}$ ) consistent with long-range sectors and supporting nonlinear filamentary solutions.
2. Compute flux-tube profiles and tension  $\sigma$  numerically from static Euler-Lagrange equations and compare scaling behavior to confinement phenomenology.

3. Derive the worldsheet effective theory by integrating out transverse shape modes and test whether an approximate non-Abelian algebra emerges by applying the diagnostics of Subsec. XVIII C.
4. Compute fluctuation spectra around stable solitons/tubes to test discreteness and generational mechanisms.
5. Develop the parity-odd sector by constructing  $\Theta(\mathcal{G})$  explicitly and testing chiral mode selection beyond leading-order helicity splitting.

## I. Summary

We have established a geometrically induced gauge structure in the filamentary regime (Section XVII) and clarified how richer non-Abelian behavior may arise effectively in the IR without postulating Standard Model gauge groups as axioms. We related confinement-like energetics to nonlinear elasticity, stated explicit limitations, and formulated a falsifiable next-step program to connect the substrate description to quantitative particle-physics phenomenology.

## XIX. CONCLUSIONS AND OUTLOOK

### A. Summary: The Geometro-Elastic Unification

We have presented a nonlinear extension of Quantum-Elastic Geometry (QEG) that organizes long-range forces, short-range propagation, and nonlinear confinement-like regimes within a single constitutive substrate described by the symmetric tensor  $\mathcal{G}_{\mu\nu}$ . The aim is not to postulate Standard-Model gauge groups, but to show how familiar effective structures can arise from projected modes, topological sectors, and phase-dependent elastic response.

QEG unifies physics through a hierarchy of deformation:

- **The Linear Regime (IR):** At small deformations, the substrate responds elastically. Longitudinal/radial projections manifest as Gravity and thermo-entropic response, while torsional projections manifest as Electromagnetism-like transport, governed by linear operators (Laplacian/d'Alembertian). The effective constants  $G$  and  $\alpha$  appear as macroscopic elastic moduli of the vacuum state.
- **The Massive Regime (Symmetry Breaking):** Short-range interactions do not require new fundamental fields. Instead, effective masses emerge geometrically from local curvatures of the substrate potential, schematically  $m_X^2 = V_X''(0)$ , providing a geometric analogue of mass generation familiar from Ginzburg–Landau systems.
- **The Nonlinear Regime (UV/Confinement-like energetics):** At high deformation, stabilizing nonlinear invariants organize energy into filamentary structures. This yields string-like energy minimizers and approximately linear energy growth with separation in the relevant regime, providing a geometric substrate mechanism for confinement-like phenomenology.

### B. Matter as Topological Architecture

A central result is the ontological shift regarding matter: sources are no longer external delta functions but endogenous long-lived excitations of the substrate.

1. **Topological stability:** Particles correspond to protected sectors of the order-parameter map induced by  $\mathcal{G}_{\mu\nu}$ , with stability controlled by finite-action constraints and scalar vacuum response.

2. **Spin and geometry:** The electron's spinorial behavior and leading gyromagnetic ratio can be traced to the topology of torsional solitons and to a kinematic identity of elastic media in which local rotation is half the vorticity.
3. **The scale of matter:** Bootstrap/consistency conditions relate characteristic defect scales to substrate response parameters; in particular, the “hard” size of hadron-like structures is associated with the onset of nonlinear constitutive behavior.

### C. Nonlinear Geometric Phases and a Fifth-Dimensional Interpretation

The nonlinear regime of QEG invites a broader geometric interpretation. Because the substrate tensor  $\mathcal{G}_{\mu\nu}$  admits distinct constitutive phases (linear, massive, filamentary), it is natural to ask whether such phases may be embedded into a higher-dimensional geometric structure. One minimal possibility is that a “mirror” (antimatter) sector corresponds to a transverse geometric degree of freedom—effectively a fifth dimension whose projection is suppressed in the linear regime but becomes dynamically accessible near strongly nonlinear boundaries of the substrate.

While speculative, this perspective suggests that matter–antimatter asymmetry, vacuum energy, and the interior structure of black holes may reflect nonlinear geometric phases of the same substrate tensor. Developing this direction requires a dedicated analysis of boundary conditions for  $\mathcal{G}_{\mu\nu}$  in strongly nonlinear regimes and is left for future work.

### D. Fractal regimes and scale-dependent elasticity of spacetime

*a. Connection to scale-dependent couplings.* The discussion below should be read as a geometric interpretation of scale-dependent elasticity already implied by coarse-grained constitutive response and by scenarios with running effective couplings in QEG.

The QEG framework does not presuppose that spacetime must remain described by a rigidly smooth manifold at all scales. Instead, spacetime is modeled as a quantum elastic substrate whose effective geometric and dynamical properties emerge from the collective behavior of underlying degrees of freedom. In many physical systems governed by elasticity, diffusion, and self-interaction, nontrivial geometric structures (including fractal or multifractal patterns) emerge dynamically as effective regimes. In QEG, analogous behavior can be encoded through an effective spectral Laplacian controlling propagation in a coarse-grained description, without changing the fundamental field content.

In this perspective, departures from smooth integer-dimensional scaling represent an emergent phase of the substrate rather than a new ontology. The primary consequence is a scale dependence of effective response parameters (e.g. stiffness and effective couplings), while the identification of gravitational, electromagnetic, and thermo-entropic sectors as distinct deformation modes remains intact. At sufficiently large scales, where the effective spectral dimension flows toward its classical value, standard smooth geometry and conventional field theories are recovered as effective descriptions.

### E. Outlook: The Path Forward

The framework therefore provides a coherent *classical scaffold* and a concrete program for quantitative completion (Sec. XVIII), while leaving group-theoretic uniqueness, full chiral structure, and quantum corrections as explicit open problems. The immediate research program focuses on three quantitative frontiers:

1. *The spectrum of the vacuum.* Having derived benchmark mass scales from bootstrap principles, the next step is to compute the excitation spectrum of the solitonic cores and identify which radial/shape modes are stable as asymptotic states. The generational interpretation becomes testable by computing eigenmode hierarchies and comparing robust ratios.

2. *Chiral dynamics and the weak sector.* We introduced a parity-odd invariant  $\Theta(\Phi)F\bar{F}$  which induces helicity splitting in chiral substrate backgrounds. Future work must determine whether this mechanism can be promoted to an effective  $V-A$  selection rule for defect-sector fermionic modes with controlled right-handed suppression.

a. 3. *Cosmological phase transitions.* Since effective couplings and vacuum energy contributions depend on substrate state, QEG motivates corrections to  $\Lambda$ CDM in regimes where the substrate transitions between constitutive phases. The nonlinear regime suggests that early-universe and high-curvature environments may correspond to phases where the linear elastic description breaks down and is replaced by a different effective constitutive response.

## Final Remark

In this perspective, particles are not fundamental ingredients added to spacetime. They are persistent patterns of the substrate itself: topology, modes, and response. Nature is built from structure.

## Appendix A: Covariant Description of the Filamentary Order Parameter

### 1. Why covariance matters and what is required

Section VII used a tube tangent  $t_i$  and the transverse projector  $P_{ij} = \delta_{ij} - t_i t_j$  to obtain a canonical  $3 \oplus 2$  shear decomposition. This is natural in a static or quasi-static discussion of energy minimizers. However, to prevent the objection that the construction is intrinsically non-relativistic, it is useful to provide a covariant formulation.

In this appendix, *covariant* means diffeomorphism-invariant and coordinate-independent; it does *not* preclude the use of configuration-dependent local frames that emerge only in the filamentary phase.

At the effective level, a filamentary configuration is characterized by a local *two-plane* (worldsheet tangent plane) embedded in spacetime. Equivalently, in a tubular neighborhood of the filament core one may represent this plane by:

1. a unit timelike four-velocity  $u^\mu$  (local rest frame of the core), and
2. a unit spacelike tangent  $t^\mu$  lying in the hypersurface orthogonal to  $u^\mu$ .

Crucially,  $u^\mu$  and  $t^\mu$  are *not new fundamental fields*: they are *order parameters* extracted from the nonlinear QEG configuration (or projected invariants thereof) *only in the filamentary phase*. They need only be defined in a tubular neighborhood where the filamentary condensate is nontrivial; outside that region their extension is a matter of convenient coarse-graining and carries no independent ontology.

### 2. Extracting $u^\mu$ and $t^\mu$ from QEG composites

Let  $\Phi$  denote the projected degrees of freedom that condense in the tube (e.g. the shear-triplet sector  $Q^\alpha$  or a shear

invariant built from  $S_{ij}$ ). Introduce a scalar amplitude measuring “core strength,” e.g.

$$\varphi(x) \equiv \sqrt{\Phi(x) \cdot \Phi(x)}, \quad (\text{A.1})$$

where  $\cdot$  denotes the natural internal contraction for the multiplet. In a tube phase,  $\varphi$  is localized around a worldsheet and varies rapidly in directions transverse to it.

A covariant unit normal to constant- $\varphi$  surfaces is

$$n_\mu \equiv \frac{\nabla_\mu \varphi}{\sqrt{\nabla_\alpha \varphi \nabla^\alpha \varphi}}, \quad (\text{A.2})$$

which is spacelike in a neighborhood of a slowly-evolving filament core.

To define a timelike unit vector  $u^\mu$  in a coordinate-invariant way, one may use the effective stress-energy tensor of the condensed sector,

$$T_{\text{eff}}^{\mu\nu} = \frac{\partial \mathcal{L}_{\text{eff}}}{\partial(\partial_\mu \Phi)} \partial^\nu \Phi - g^{\mu\nu} \mathcal{L}_{\text{eff}}, \quad (\text{A.3})$$

and define  $u^\mu$  as its (future-directed) timelike eigenvector,

$$T^\mu{}_\nu u^\nu = -\rho u^\mu, \quad u^\mu u_\mu = -1, \quad (\text{A.4})$$

whenever such an eigenvector exists (which it does for physically reasonable localized configurations with positive energy density).

Next, define  $t^\mu$  as the principal spacelike stress direction within the subspace orthogonal to  $u^\mu$ :

$$\begin{aligned} h_{\mu\nu} &\equiv g_{\mu\nu} + u_\mu u_\nu, & \Sigma_{\mu\nu} &\equiv h_\mu{}^\alpha h_\nu{}^\beta T_{\alpha\beta}, \\ \Sigma_{\mu\nu} t^\nu &= \tau t_\mu, & t^\mu t_\mu &= +1, & t^\mu u_\mu &= 0 \end{aligned} \quad (\text{A.5})$$

In a stable filamentary solution, the largest principal stress direction generically aligns with the tube tangent.

This parallels standard constructions in relativistic elasticity, where principal stress directions provide a covariant characterization of anisotropic localized structures.

### 3. Covariant transverse projector and shear decomposition

Given  $(u^\mu, t^\mu)$ , define the projector onto the transverse 2D subspace orthogonal to both:

$$\begin{aligned} \Pi_{\mu\nu} &\equiv g_{\mu\nu} + u_\mu u_\nu - t_\mu t_\nu, & \Pi_{\mu\nu} u^\nu &= 0, \\ \Pi_{\mu\nu} t^\nu &= 0, & \Pi_\mu{}^\alpha \Pi_{\alpha\nu} &= \Pi_{\mu\nu}. \end{aligned} \quad (\text{A.6})$$

Replacing  $(t_i, P_{ij})$  by  $(t^\mu, \Pi_{\mu\nu})$  yields a covariant analogue of the tube-adapted  $3 \oplus 2$  decomposition. In this view, the decomposition is simply the representation of a symmetric tensorial sector relative to an emergent, configuration-dependent local frame in the filamentary phase.

a. *Takeaway.* The internal-multiplet construction is compatible with covariance once one recognizes  $(u^\mu, t^\mu)$  as emergent order parameters extracted from the nonlinear QEG state. No additional propagating fundamental fields are introduced; the construction is a covariant description of a phase of  $\mathcal{G}_{\mu\nu}$ .

## Appendix B: Compatibility with Spatial Isotropy: Emergence of Internal Multiplicity

### 1. The failure mode: Explicit symmetry breaking

Any identification of an internal label (“color”) with fixed spatial axes (e.g. red  $\leftrightarrow x$ , green  $\leftrightarrow y$ , blue  $\leftrightarrow z$ ) implies that a global spatial rotation mixes internal labels.

This is incompatible with the empirical and theoretical separation between spacetime rotations and internal charges: rotations act on spacetime indices, whereas internal charges are defined in an internal space and do not depend on the observer's spatial orientation. More precisely, such an identification introduces an *explicit breaking* of spatial isotropy at the level of the vacuum, since it ties internal labels to preferred directions in physical space.

In a substrate theory, this pitfall arises if one uses eigenvectors of  $\mathcal{G}_{ij}$  (or of a shear tensor) as global labels in the vacuum. Eigenvectors are frame-dependent, and assigning absolute meaning to their vacuum orientation selects preferred directions, thereby breaking isotropy.

## 2. How QEG generates isotropy-safe multiplicity

The present construction avoids this explicit breaking via two structural mechanisms that are individually necessary and jointly sufficient:

1. **Locality and configuration dependence (solution-level symmetry reduction).** The direction  $t_i$  (or  $t^\mu$ ) is not a datum of the vacuum; it is an order parameter of a localized filamentary configuration. Different configurations have different orientations, and the ensemble of solutions respects global isotropy. The local axis  $t^\mu$  plays the role of a stability-group generator (analogous to Wigner's little group for massive states), defining the internal decomposition locally without fixing a global background. This does not correspond to a spontaneous breaking of rotational symmetry at the level of the vacuum, but to a symmetry reduction associated with a specific localized solution.
2. **Functional (internal) degeneracy.** The multiplet index arises from decomposing shear degrees of freedom *relative to* this local filament frame. The effective functional is built from internal invariants (e.g.  $Q^a Q^a$ ) and therefore does not refer to any global spatial axis.

This mechanism is structurally analogous to polarization in an isotropic medium: polarization states are defined relative to a local wavevector (or local tangent) without implying a preferred axis of the underlying medium. In an isotropic substrate, this is the unique mechanism by which internal degeneracy can arise without breaking global rotational symmetry.

## 3. Conclusion: Decoupling of sectors

Internal multiplicity in QEG is therefore consistent with isotropy and with the separation between spacetime and internal symmetries. Spatial geometry is used only to define the order parameters of localized solutions, while the resulting degeneracy becomes internal at the level of the effective functional. Crucially, the internal multiplet index does not transform under global spatial rotations, which act solely on spacetime indices, ensuring the effective factorization of the symmetry group.

Therefore, internal multiplicity in QEG is not an artifact of spatial symmetry breaking, but a necessary consequence of localized nonlinear solutions in an isotropic elastic substrate.

## Appendix C: Fluctuations Around a Flux Tube: Effective $3 \oplus 2$ Hierarchy and Mode Gaps

### 1. Purpose and scope

This appendix justifies two structural assumptions used in the main text:

1. In the filamentary phase, a tube-adapted decomposition of a shear-like sector yields a light "internal" sector and a heavier transverse "shape" sector, often summarized as a  $3 \oplus 2$  split in the simplest symmetry-adapted parametrization.
2. The transverse shape components can acquire a parametrically larger gap than the light sector, justifying a low-energy description dominated by the light degrees of freedom propagating along the tube (worldsheet EFT).

The purpose is structural rather than phenomenological: we do not attempt a full QCD match, only to show that a mode hierarchy is generic in a quadratic expansion around a cylindrically symmetric tube background.

### 2. Background tube configuration and adapted decomposition

Let  $S_{ij}$  denote a shear-like symmetric tensor sector that condenses in the tube phase. In the tube-adapted frame of a straight static filament oriented along  $z$ , define  $t_i = \delta_{iz}$  and  $P_{ij} = \delta_{ij} - t_i t_j$ . A standard adapted decomposition is

$$S_{ij} = s \left( t_i t_j - \frac{1}{3} \delta_{ij} \right) + (t_i q_j + t_j q_i) + u_{ij}, \quad (\text{C.1})$$

where  $s$  is a longitudinal scalar,  $q_i$  is transverse ( $q_i t_i = 0$ ), and  $u_{ij}$  is transverse-traceless in the transverse plane. In this parametrization,  $(s, q_x, q_y)$  span a minimal light sector (three real components), while  $u_{ij}$  spans a minimal transverse shape sector (two real components). The labels "3" and "2" refer to this minimal symmetry-adapted parametrization; they are not claimed to be universal beyond the assumed tube-adapted symmetry setting.

### 3. Quadratic expansion and approximate block structure

Consider a static energy functional for the condensed sector

$$E[S] = \int d^3x \left[ \frac{1}{2} \langle \nabla S, Z \nabla S \rangle + V_{\text{eff}}(S) \right], \quad (\text{C.2})$$

with  $Z$  positive and  $V_{\text{eff}}$  induced from  $V(\mathcal{G})$ . Expanding around a tube background  $S^{(0)}$ ,

$$S_{ij} = S_{ij}^{(0)} + \delta S_{ij}, \quad (\text{C.3})$$

gives to quadratic order

$$E[S] = E[S^{(0)}] + \frac{1}{2} \int d^3x \delta S_{ij} \mathcal{K}^{ij k\ell} \delta S_{k\ell} + \dots, \quad (\text{C.4})$$

$$\mathcal{K} = -\nabla \cdot Z \nabla + \left. \frac{\delta^2 V_{\text{eff}}}{\delta S \delta S} \right|_{S^{(0)}}$$

In the adapted basis  $(\delta s, \delta q_i, \delta u_{ij})$  the quadratic form is approximately block-structured. Cylindrical symmetry strongly suppresses mixing between transverse shape modes and the light sector at leading order because  $u_{ij}$  carries different transverse spin under  $SO(2)$  rotations around the tube axis. Thus, to first approximation the fluctuation spectrum decomposes into a light block and a shape block.

### 4. A minimal invariant model and a sufficient condition for a shape gap

A transparent illustration is obtained by choosing  $V_{\text{eff}}$  to depend on local invariants such as

$$I_2 \equiv S_{ij} S_{ij}, \quad I_3 \equiv S_{ij} S_{jk} S_{ki}, \quad (\text{C.5})$$

and taking

$$V_{\text{eff}}(S) = \frac{\alpha}{2} I_2 + \frac{\beta}{3} I_3 + \frac{\lambda}{4} I_2^2 + \dots, \quad \lambda > 0. \quad (\text{C.6})$$

In a tube background that approximately minimizes transverse anisotropy, transverse shape fluctuations  $\delta u_{ij}$  represent elliptic distortions of the tube cross-section and typically receive a larger restoring force from the potential. Schematically,

$$I_2 = c_s s^2 + c_q q_i q_i + c_u u_{ij} u_{ij}, \quad c_\bullet > 0, \quad (\text{C.7})$$

so the  $u$ -sector Hessian contains an effective mass term of the form

$$m_u^2(\rho) \sim \left. \frac{\partial^2 V_{\text{eff}}}{\partial u^2} \right|_{S(0)} \sim \alpha c_u + \lambda c_u I_2^{(0)}(\rho) + \dots. \quad (\text{C.8})$$

A sufficient condition for a hierarchy is that  $V_{\text{eff}}$  weights anisotropic distortions more strongly than the light-sector distortions in the tube background, in which case one obtains generically

$$m_u \gg m_{\text{light}}. \quad (\text{C.9})$$

## 5. Interpretation and EFT consequence

The hierarchy (C.9) has a simple meaning:  $u_{ij}$  deforms the tube cross-section and therefore costs substantial energy because it disrupts the transverse minimizer that defines the tension  $\sigma$ . By contrast, the light sector can support variations along the tube with smaller transverse cost. Therefore, below the shape gap one may integrate out  $u_{ij}$  and obtain a worldsheet EFT for the light sector, providing a natural arena for confined composites and internal excitation spectra.

## 6. Summary

Expanding the nonlinear functional around a cylindrically symmetric tube background yields an approximately block-diagonal fluctuation operator with a light sector and a transverse shape sector. For minimal invariant potentials, shape fluctuations generically acquire a larger effective mass, justifying the low-energy dominance of the light sector used in the main text.

## Appendix D: Helicity Splitting from $\Theta F \tilde{F}$ : Local Dispersion Analysis and Structural Implications

*a. Scope and purpose.* This appendix provides a controlled local dispersion analysis illustrating a general structural fact: whenever a parity-odd term of the form  $\Theta F \tilde{F}$  is present with spacetime-dependent  $\Theta(x)$ , helicity-dependent propagation of vector modes is unavoidable. The goal is not to develop a phenomenological axion model nor to introduce a new propagating field, but to make explicit how birefringence arises at leading order from a  $\Theta$ -dependent effective action. This closes a standard technical objection without duplicating the main text discussion.

### 1. Setup

Consider the parity-odd completion

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} m_V^2 A_\mu A^\mu + \frac{\xi}{4} \Theta(x) F_{\mu\nu} \tilde{F}^{\mu\nu}, \quad (\text{D.1})$$

in a spacetime region where  $\partial_\mu \Theta$  can be treated as approximately constant on the wavelength scale of the vector

excitation. Such a local approximation is sufficient to capture leading-order helicity effects and is standard in effective field theory treatments of parity-odd backgrounds [31].

Varying Eq. (D.1) yields the modified Proca equation

$$\partial_\nu F^{\nu\mu} + m_V^2 A^\mu = -\xi (\partial_\nu \Theta) \tilde{F}^{\nu\mu}, \quad (\text{D.2})$$

where we set external currents  $J^\mu = 0$  for free propagation and define

$$v_\mu \equiv \xi \partial_\mu \Theta. \quad (\text{D.3})$$

In the Quantum Elastic Geometry framework,  $\Theta$  is not a fundamental axion field. Rather, it is a composite pseudoscalar functional of the nonlinear substrate configuration, encoding local parity-odd texture of the elastic medium.

## 2. Plane-wave analysis and helicity splitting (leading order)

We work locally in Minkowski space and consider plane-wave solutions

$$A_\mu(x) = \epsilon_\mu e^{-ik \cdot x}, \quad k^\mu = (\omega, \mathbf{k}). \quad (\text{D.4})$$

For a massive vector field, the on-shell constraint enforces transversality in momentum space,

$$k_\mu \epsilon^\mu = 0, \quad (\text{D.5})$$

leaving two physical polarization states.

Substituting into Eq. (D.2) yields the linear system

$$\left[ (k^2 - m_V^2) \delta^\mu{}_\nu - k^\mu k_\nu + i \epsilon^\mu{}_{\nu\rho\sigma} v^\rho k^\sigma \right] \epsilon^\nu = 0. \quad (\text{D.6})$$

The operator in Eq. (D.6) is Hermitian on the physical subspace and decomposes into helicity eigenstates. The parity-odd term proportional to  $\epsilon^\mu{}_{\nu\rho\sigma} v^\rho k^\sigma$  contributes with opposite sign to opposite circular polarizations.

We work to leading order in the small parameter  $|v_\mu|/|k_\mu|$ , which defines a controlled approximation sufficient to exhibit helicity splitting. For illustrative purposes, choose  $\mathbf{k}$  along the  $z$  axis and take

$$v_\mu = (v_0, 0, 0, 0). \quad (\text{D.7})$$

This choice is not essential: helicity splitting is a covariant effect and persists for generic  $v_\mu$  whenever  $v \cdot k \neq 0$ .

To leading order in  $|v_0|$ , the dispersion relations for the two circular polarizations are

$$\omega_\pm^2 = k^2 + m_V^2 \pm v_0 k \quad (\text{leading order}), \quad (\text{D.8})$$

where the  $\pm$  sign labels opposite helicities. An analogous splitting occurs for spacelike gradients  $\nabla \Theta \neq 0$ , again provided  $v \cdot k \neq 0$ .

## 3. Structural interpretation

Equation (D.8) illustrates a general and model-independent result: a spacetime-dependent pseudoscalar coefficient multiplying  $F \tilde{F}$  induces helicity-dependent propagation for massive vector modes. The effect is kinematical and does not rely on the existence of a propagating axion field.

Within Quantum Elastic Geometry,  $\Theta$  encodes parity-odd texture of the nonlinear substrate. Helicity splitting therefore reflects local elastic structure rather than the introduction of new fundamental degrees of freedom. The calculation presented here serves to demonstrate explicitly how such effects arise at leading order, complementing the geometric discussion in the main text.

## Appendix E: Bootstrap Closure: Macro–Micro Anchoring and Explicit Falsifiability

### 1. Purpose of this appendix: complementary evaluation of the same variational structure

This appendix collects global (integral) closure relations that complement the local Euler–Lagrange analysis developed in the body of the paper. The purpose is *not* to introduce new dynamics, but to expose overconstrained consistency checks and explicit falsifiability criteria of the same underlying variational structure.

### 2. Same equations, complementary regimes

The technical core of the present framework is that *all* phenomenological sectors discussed in the paper are governed by the stationarity of the same underlying variational structure. Throughout the work, one is implicitly or explicitly solving variations of the form

$$\delta \int d^4x \left[ \mathcal{K}(\nabla\mathcal{G}) + V(\mathcal{G}) + \mathcal{C}(\mathcal{G}, \chi, T) \right] = 0, \quad (\text{E.1})$$

where  $\mathcal{K}$  represents elastic (gradient) rigidity of the substrate,  $V(\mathcal{G})$  encodes nonlinear self-interaction invariants, and  $\mathcal{C}$  collects the projected couplings to charges, currents, entropy, and external sources.

This variational structure underlies:

- the quasi-linear far-field regime (Maxwell and Newton limits),
- massive Yukawa–Proca regimes,
- filamentary and tube-like nonlinear phases,
- cavity-like confined configurations,
- and the global bootstrap relations discussed in this appendix.

The difference between these regimes is *not* the introduction of new equations, but which term dominates and how the stationary configuration is evaluated.

#### *Phenomenological regimes in the body of the paper*

In the main text, the analysis is predominantly bottom-up: one starts from the substrate and derives effective particle and field properties.

*a. (A) Far-field / low-curvature regime.* When the nonlinear potential is locally flat,

$$V''(0) \approx 0, \quad (\text{E.2})$$

the Euler–Lagrange equations reduce to Laplace or Poisson equations,

$$\nabla^2\Phi = 0 \quad \Rightarrow \quad \Phi \sim \frac{1}{r}. \quad (\text{E.3})$$

In this regime one identifies effective charges, inertial masses, and coupling constants from asymptotic fluxes and responses.

*b. (B) Localized core / nonlinear regime.* When the curvature of the potential is non-vanishing and higher-order invariants contribute,

$$V''(0) \neq 0, \quad \lambda\mathcal{G}^4 \neq 0, \quad (\text{E.4})$$

the same variational principle admits localized solutions with intrinsic length scales. In this regime appear:

- massive excitations,
- finite core or healing radii,
- discrete bound spectra.

### *Complementary evaluation in this appendix*

The present appendix addresses the complementary, top-down perspective. Assuming the existence and stability of the localized configurations already motivated in the main text, the same variational structure (E.1) is evaluated *globally*, by integrating the corresponding energy or action densities over the transverse or confined domains of those configurations.

This yields *integral closure relations*: not new dynamical equations, but global consistency conditions that any admissible stationary solution of the QEG action must satisfy. In this sense, the bootstrap relations derived below are the global counterpart of the local Euler–Lagrange analysis developed throughout the paper.

### 3. On the role of SI units and reference scales (fiducial cell anchoring)

A recurrent objection to any “constitutive-constant” program is that numerical coincidences may merely reflect a choice of units. In QEG this issue is resolved at the structural level by the dimensional collapse, which implies that all fundamental physical quantities reduce to a single geometric dimension [1].

As a consequence, numerical values expressed in SI units cannot be regarded as intrinsic properties of the theory, but only as representations relative to a chosen experimental convention. Fixing a reference length  $L_{\text{ref}}$  therefore plays the same role as fixing the kilogram or the second in laboratory practice.

Importantly, there exists no alternative procedure to compare a scale-free geometric theory with experiment other than anchoring it to a fiducial system of units. The statement

$$1 \text{ m} \equiv c^2 1 \text{ kg}$$

is not a postulate but a direct consequence of the dimensional equivalence derived in QEG. Any claim that the appearance of  $L_{\text{ref}}$  introduces an arbitrariness would equally apply to all physical theories when confronted with experimental data.

*a. Fiducial cell as metrological anchoring (not a free scale).* In the original QEG normalization, one fixes a reference cell (typically  $V_{\text{ref}} = L_{\text{ref}}^3$  with  $L_{\text{ref}} = 1 \text{ m}$ ) and imposes that the conserved Noether charge in that cell equals the elementary quantum  $Q = e$ . This fixes the coherent amplitude of the vacuum mode in that cell and anchors the constitutive identities to SI-measurable amplitudes. In this sense,  $L_{\text{ref}}$  plays the role of a *metrological renormalization point*: changing  $L_{\text{ref}}$  rescales *dimensionful* quantities when written in SI, while leaving the dimensionless structure (in particular  $\alpha$ ) unchanged. The predictive content of QEG therefore resides in overconstrained closure relations and in the fact that, once expressed in SI units, they match observed values without introducing adjustable parameters.

### 4. Macro-sector closure: global response as a Noether amplitude

The original QEG normalization associates the quasi-linear  $U(1)$  sector with a fiducial coherent oscillation defined on the reference cell. The corresponding frequency scale is

$$\omega_{\text{ref}} \equiv \frac{c}{L_{\text{ref}}}. \quad (\text{E.5})$$

Treating the elementary conserved Noether charge in that cell as  $Q = e$  yields a characteristic current scale

$$I_{\text{max}} \equiv e\omega_{\text{ref}} = e \frac{c}{L_{\text{ref}}}. \quad (\text{E.6})$$

Within the dimensionally collapsed bookkeeping of QEG, this defines a dimensionless global response parameter (denoted  $G_{\text{Glob}}$ ) through the constitutive identifications already employed in the main text,

$$G_{\text{Glob}} \equiv 2\pi\epsilon_0 \equiv \frac{2\alpha}{c}, \quad (\text{QEG constitutive identifications}). \quad (\text{E.7})$$

For the present discussion, the essential point is that  $G_{\text{Glob}}$  is fixed by macro-sector normalization and introduces no new free parameter: it is determined by Noether symmetry together with the fiducial-cell anchoring.

## 5. Micro-sector anchoring as a falsifiable closure hypothesis

Given a single macroscopic anchor  $L_{\text{ref}}$  and a fixed dimensionless global response  $G_{\text{Glob}}$ , the minimal microscopic length that can be formed without introducing new constants is

$$\ell_{\text{micro}} \equiv G_{\text{Glob}} L_{\text{ref}}. \quad (\text{E.8})$$

*a. Closure hypothesis.* We formulate the following conservative anchoring hypothesis:

*If macro-micro closure holds in QEG, the leading microscopic orbital scale selected by the substrate must be proportional to  $\ell_{\text{micro}} = G_{\text{Glob}} L_{\text{ref}}$ , up to computable geometric dressings.*

This statement is not asserted as a theorem. Its purpose is to test whether the macro-sector normalization already fixed in the quasi-linear regime can anchor a robust microscopic scale without additional ontology.

*b. Immediate falsifiability.* The hypothesis is falsified if  $\ell_{\text{micro}}$  fails to coincide, within controlled dressing corrections, with a well-established microscopic length extracted from nature. In particular, if

$$G_{\text{Glob}} L_{\text{ref}} \not\approx a_0 \quad (\text{up to computable geometric corrections}), \quad (\text{E.9})$$

the proposed macro-micro anchoring fails and must be abandoned or replaced by a derivation from an explicit nonlinear soliton solution.

## 6. Bohr-scale consistency check (electron sector bridge)

Standard quantum mechanics provides the exact identity

$$a_0 = \frac{\hbar}{m_e c \alpha}. \quad (\text{E.10})$$

Within QEG, the electron rest energy is primarily fixed by the codimension-2 flux/self-energy mechanism developed in the main text. Equation (E.10) is therefore not used here as an independent derivation of  $m_e$ , but as a consistency bridge between a robust microscopic length scale and the already established electron sector.

If the anchoring hypothesis identifies  $a_0$  with  $\ell_{\text{micro}}$  at leading order, then

$$m_e = \frac{\hbar}{G_{\text{Glob}} L_{\text{ref}} c \alpha}, \quad (\text{E.11})$$

which, using  $G_{\text{Glob}} = 2\alpha/c$ , reduces to

$$m_e = \frac{\hbar}{2\alpha^2 L_{\text{ref}}}. \quad (\text{E.12})$$

Consistency of this relation with the independent flux-based closure obtained in the body of the paper constitutes a nontrivial internal check of the framework rather than a second prediction.

*a. Geometric dressings.* In the nonlinear extension it is natural that strict IR anchoring receives corrections from (i) nonlinearities in  $V(\mathcal{G})$ , (ii) projection mixing, and (iii) finite core structure. These may be parameterized schematically as

$$m_e = \frac{\hbar}{G_{\text{Glob}} L_{\text{ref}} c \alpha} \left(1 + C_1 \alpha + C_2 \alpha^2 + \dots\right), \quad (\text{E.13})$$

with  $C_n = \mathcal{O}(1)$  coefficients computable from the projected effective action and soliton-core profile. No new constants are introduced.

*b. Narrative intuition (closure).* A useful physical analogy is the “string closure” picture: stable modes require phase/action closure. Here, the closure is imposed not by hand but by the vacuum’s Noether amplitude and the fiducial normalization scale. The electron sector is therefore overconstrained: an IR anchoring to  $a_0$  must remain compatible with the independent codimension-2 trapped-flux closure developed in the main text.

## 7. Nonlinear sector: hadronic scale as a closure criterion (including the $L_*$ target)

The hadronic sector belongs to the nonlinear regime, where confined configurations select a core length  $L_*$  through a balance of rigidity and nonlinear potential terms in an energy functional of the form

$$E[\Phi] = \int d^3x \left[ \frac{1}{2} \langle \nabla \Phi, Z \nabla \Phi \rangle + V_{\text{eff}}(\Phi) \right]. \quad (\text{E.14})$$

A standard scaling argument yields a healing length

$$L_* \sim \frac{\sqrt{Z_{\text{NL}}}}{m_{\text{NL}}}, \quad (\text{E.15})$$

where  $m_{\text{NL}}^2$  denotes the relevant curvature scale of  $V_{\text{eff}}$  in the condensed phase. Crucially,  $L_*$  is not a new constant: it must be computed from the same substrate data ( $Z, V$ ) already fixed elsewhere in the theory.

*a. Closure criterion.* Consistency of the nonlinear sector requires that an explicit choice of invariant potential  $V(\mathcal{G})$  admit stable confined solutions whose computed  $L_*$  lies in the hadronic (fm) range. Failure to do so falsifies that choice of nonlinear completion.

*b. Hadronic bootstrap target (falsifiable).* A minimal way to express the hadronic scale in the same closure language is to relate the dominant rest-energy scale to the unique action-per-length quantity supported by a confined core,

$$m_p c^2 \equiv \frac{\hbar c}{L_*} 2\pi\alpha \left(1 + C_1 \alpha + C_2 \alpha^2 + \dots\right), \quad (\text{E.16})$$

where the  $2\pi$  factor encodes the minimal topological closure associated with a single winding sector ( $n = 1$ ), and the coefficients  $C_n$  represent computable geometric dressings from the full projected action and core solution<sup>12</sup>.

Inverting (E.16) at leading order yields an explicit target for the nonlinear theory:

$$L_* = \frac{\hbar}{m_p c 2\pi\alpha} \left(1 + \mathcal{O}(\alpha)\right). \quad (\text{E.17})$$

Numerically, using the experimental proton mass and  $\alpha \simeq 1/137$ , the leading estimate gives

$$L_* \approx 4.6 \times 10^{-15} \text{ m}, \quad (\text{E.18})$$

<sup>12</sup> This is not an additional dynamical postulate, but a closure parametrization of the dominant hadronic core energy scale in terms of the unique action-per-length supported by a confined transverse profile.

i.e. a few femtometers, precisely in the nuclear/hadronic range where the nonlinear/tube regime is expected to dominate. This makes  $L_*$  a concrete falsifiable criterion: an explicit nonlinear completion  $V(\mathcal{G})$  must yield confined minimizers with transverse scale in the range (E.18) (up to controlled dressings), or else the closure fails.

*c. Neutron–proton splitting.* The near degeneracy  $m_n \simeq m_p$  is expected if both correspond to the same nonlinear core sector. Small splittings may arise from electromagnetic and chiral dressings,

$$(m_n - m_p)c^2 = \Delta E_{\text{EM}} + \Delta E_{\text{chiral}} + \dots, \quad \frac{\Delta E}{m_p c^2} \ll 1, \quad (\text{E.19})$$

whose explicit computation is deferred to a full soliton or filamentary solution.

## Summary

This appendix separates what is structural from what is hypothesized. Macro-sector normalization and the existence of a unique anchoring length  $\ell_{\text{micro}} = G_{\text{Glob}} L_{\text{ref}}$  are fixed once the quasi-linear sector is specified. Identifying that length with a robust microscopic scale and requiring

nonlinear confinement lengths to emerge in the hadronic range constitute falsifiable closure criteria. If these conditions fail under explicit computation, they must be replaced by derivations from fully resolved nonlinear solutions rather than by additional postulates.

**Remark E.1.** *Importantly, QEG does not postulate a specific numerical value for  $L_*$ . Instead,  $L_*$  emerges dynamically from the elastic parameters of the substrate and the curvature of the potential. The identification of  $L_*$  with quantities such as a hadronic length scale is therefore an a posteriori correspondence, obtained by matching the asymptotic exterior solution to experimentally observed far-field behaviour.*

## Declaration of generative AI and AI-assisted technologies in the writing process

*During the preparation of this work the author used OpenAI’s ChatGPT (GPT-5) and Google’s Gemini 2.5 Pro to improve clarity of language, consistency of notation, formatting in L<sup>A</sup>T<sub>E</sub>X, and improvement of logical flow between sections. After using this service, the author carefully reviewed and edited all generated content, and takes full responsibility for the final manuscript. All arguments, proofs, and results were developed independently by the author, who verified the final text in full.*

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