

# Generalized Equations and Their Solutions in the $(S, 0) \oplus (0, S)$ Representations of the Lorentz Group

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## Abstract

In this talk I present three explicit examples of generalizations in relativistic quantum mechanics.

First of all, I discuss the generalized spin-1/2 equations for neutrinos. They have been obtained by means of the Gersten-Sakurai method for derivations of arbitrary-spin relativistic equations. Possible physical consequences are discussed. Next, it is easy to check that both Dirac algebraic equation  $Det(\hat{p} - m) = 0$  and  $Det(\hat{p} + m) = 0$  for  $u-$  and  $v-$  4-spinors have solutions with  $p_0 = \pm E_p = \pm\sqrt{\mathbf{p}^2 + m^2}$ . The same is true for higher-spin equations. Meanwhile, every book considers the equality  $p_0 = E_p$  for both  $u-$  and  $v-$  spinors of the  $(1/2, 0) \oplus (0, 1/2)$  representation only, thus applying the Dirac-Feynman-Stueckelberg procedure for elimination of the negative-energy solutions. The recent Ziino works (and, independently, the articles of several others) show that the Fock space can be doubled. We re-consider this possibility on the quantum field level for both  $S = 1/2$  and higher spin particles. The third example is: we postulate the non-commutativity of 4-momenta, and we derive the mass splitting in the Dirac equation. The applications are discussed.

# 1 Generalized Neutrino Equations

A. Gersten [1] proposed a method for derivations of massless equations of arbitrary-spin particles. In fact, his method is related to the van der Waerden-Sakurai [2] procedure for the derivation of the massive Dirac equation. I commented the derivation of the Maxwell equations<sup>1</sup> in [3]. Then, I showed that the method is rather ambiguous because instead of free-space Maxwell equations one can obtain *generalized*  $S = 1$  equations, which connect the antisymmetric tensor field with additional scalar fields. The problem of physical significance of additional scalar chi-fields should be solved, of course, by experiment.

In the present talk I apply the van der Waerden-Sakurai-Gersten procedure to the spin-1/2 fields. As a result one obtains equations which *generalize* the well-known Weyl equations. However, these equations are known for a long time [4]. Raspini [5, 6] analyzed them again in detail. I add some comments on physical contents of the generalized spin-1/2 equations.

I use the equation (4) of the Gersten paper [1a] for the two-component spinor field function:

$$(E^2 - c^2 \vec{\mathbf{p}}^2) I^{(2)} \psi = [EI^{(2)} - c \vec{\mathbf{p}} \cdot \vec{\boldsymbol{\sigma}}] [EI^{(2)} + c \vec{\mathbf{p}} \cdot \vec{\boldsymbol{\sigma}}] \psi = 0. \quad (1)$$

Actually, this equation is the massless limit of the equation which has been presented (together with the corresponding method of derivation of the Dirac equation) in the Sakurai book [2]. In the latter case one should substitute  $m^2 c^4$  into the right-hand side of Eq. (1). However, instead of equation (3.25) of [2] one can define the two-component ‘right’ field function

$$\phi_R = \frac{1}{m_1 c} (i \hbar \frac{\partial}{\partial x_0} - i \hbar \boldsymbol{\sigma} \cdot \nabla) \psi, \quad \phi_L = \psi \quad (2)$$

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<sup>1</sup>In fact, the  $S = 1$  first-quantized equations.

with the different mass parameter  $m_1$ . In such a way we come to the system of the first-order differential equations

$$(i\hbar \frac{\partial}{\partial x_0} + i\hbar \boldsymbol{\sigma} \cdot \boldsymbol{\nabla})\phi_R = \frac{m_2^2 c}{m_1} \phi_L, \quad (3)$$

$$(i\hbar \frac{\partial}{\partial x_0} - i\hbar \boldsymbol{\sigma} \cdot \boldsymbol{\nabla})\phi_L = m_1 c \phi_R. \quad (4)$$

It can be re-written in the 4-component form:

$$\begin{aligned} & \begin{pmatrix} i\hbar(\partial/\partial x_0) & i\hbar \boldsymbol{\sigma} \cdot \boldsymbol{\nabla} \\ -i\hbar \boldsymbol{\sigma} \cdot \boldsymbol{\nabla} & -i\hbar(\partial/\partial x_0) \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = \\ & = \frac{c}{2} \begin{pmatrix} (m_2^2/m_1 + m_1) & (-m_2^2/m_1 + m_1) \\ (-m_2^2/m_1 + m_1) & (m_2^2/m_1 + m_1) \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} \end{aligned} \quad (5)$$

for the function  $\Psi = \text{column}(\psi_A \ \psi_B) = \text{column}(\phi_R + \phi_L \ \phi_R - \phi_L)$ . The equation (5) can be written in the covariant form.

$$\left[ i\gamma^\mu \partial_\mu - \frac{m_2^2 c (1 - \gamma^5)}{m_1 \hbar} - \frac{m_1 c (1 + \gamma^5)}{\hbar} \right] \Psi = 0. \quad (6)$$

The standard representation of  $\gamma^\mu$  matrices has been used here.

If  $m_1 = m_2$  we can recover the standard Dirac equation. As noted in [4b] this procedure can be viewed as the simple change of the representation of  $\gamma^\mu$  matrices. However, this is valid unless  $m_2 \neq 0$  only. Otherwise, the entries in the transformation matrix become to be singular.

Furthermore, one can either repeat a similar procedure (the modified Sakurai procedure) starting from the *massless* equation (4) of [1a] or put  $m_2 = 0$  in eq. (6). The *massless equation* is<sup>2</sup>

$$\left[ i\gamma^\mu \partial_\mu - \frac{m_1 c (1 + \gamma^5)}{\hbar} \right] \Psi = 0. \quad (7)$$

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<sup>2</sup>It is necessary to stress that the term ‘*massless*’ is used in the sense that  $p_\mu p^\mu = 0$ .

Then, we may have different physical consequences following from (7) comparing with those which follow from the Weyl equation.<sup>3</sup> The mathematical reason of such a possibility of different massless limits is that the corresponding change of representation of  $\gamma^\mu$  matrices involves mass parameters  $m_1$  and  $m_2$  themselves.

It is interesting to note that we can also repeat this procedure for the definition (or for even more general definitions);

$$\phi_L = \frac{1}{m_3 c} (i\hbar \frac{\partial}{\partial x_0} + i\hbar \boldsymbol{\sigma} \cdot \boldsymbol{\nabla}) \psi, \quad \phi_R = \psi. \quad (8)$$

This is due to the fact that the parity properties of the two-component spinor are undefined in the two-component equation. The resulting equation is

$$\left[ i\gamma^\mu \partial_\mu - \frac{m_4^2 c (1 + \gamma^5)}{m_3 \hbar} - \frac{m_3 c (1 - \gamma^5)}{\hbar} \right] \tilde{\Psi} = 0, \quad (9)$$

which gives us yet another equation in the massless limit ( $m_4 \rightarrow 0$ ):

$$\left[ i\gamma^\mu \partial_\mu - \frac{m_3 c (1 - \gamma^5)}{\hbar} \right] \tilde{\Psi} = 0, \quad (10)$$

differing in the sign at the  $\gamma_5$  term.

The above procedure can be generalized to *any* Lorentz group representations, *i. e.*, to any spins. In some sense the equations (7,10) are analogous to the  $S = 1$  equations [3, (4-7,10-13)], which also contain additional parameters.

Is the physical content of the generalized  $S = 1/2$  *massless* equations the same as that of the Weyl equation? Our answer is ‘no’. The excellent discussion can be found in [4a,b]. First of

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<sup>3</sup>Remember that the Weyl equation is obtained as  $m \rightarrow 0$  limit of the usual Dirac equation.

all, the theory does *not* have chiral invariance. Those authors call the additional parameters as the measures of the degree of chirality. Apart of this, Tokuoka introduced the concept of the gauge transformations (not to confuse with phase transformations) for the 4-spinor fields. He also found some strange properties of the anti-commutation relations (see §3 in [4a] and cf. [8]). And finally, the equation (7) describes *four* states, two of which answer for the positive energy  $E = |\mathbf{p}|$ , and two others answer for the negative energy  $E = -|\mathbf{p}|$ .

I just want to add the following to the discussion. The operator of the *chiral-helicity*  $\hat{\eta} = (\boldsymbol{\alpha} \cdot \hat{\mathbf{p}})$  (in the spinorial representation) used in [4b] does *not* commute, *e.g.*, with the Hamiltonian of the equation (7):<sup>4</sup>

$$[\mathcal{H}, \boldsymbol{\alpha} \cdot \hat{\mathbf{p}}]_- = 2 \frac{m_1 c}{\hbar} \frac{1 - \gamma^5}{2} (\boldsymbol{\gamma} \cdot \hat{\mathbf{p}}). \quad (11)$$

For the eigenstates of the *chiral-helicity* the system of corresponding equations can be read ( $\eta = \uparrow, \downarrow$ )

$$i\gamma^\mu \partial_\mu \Psi_\eta - \frac{m_1 c}{\hbar} \frac{1 + \gamma^5}{2} \Psi_{-\eta} = 0. \quad (12)$$

The conjugated eigenstates of the Hamiltonian  $|\Psi_\uparrow + \Psi_\downarrow \rangle$  and  $|\Psi_\uparrow - \Psi_\downarrow \rangle$  are connected, in fact, by  $\gamma^5$  transformation  $\Psi \rightarrow \gamma^5 \Psi \sim (\boldsymbol{\alpha} \cdot \hat{\mathbf{p}}) \Psi$  (or  $m_1 \rightarrow -m_1$ ). However, the  $\gamma^5$  transformation is related to the *PT* ( $t \rightarrow -t$  only) transformation [4b], which, in its turn, can be interpreted as  $E \rightarrow -E$ , if one accepts the Stueckelberg idea about antiparticles. We associate  $|\Psi_\uparrow + \Psi_\downarrow \rangle$  with the positive-energy eigenvalue of the Hamiltonian  $E = |\mathbf{p}|$  and  $|\Psi_\uparrow - \Psi_\downarrow \rangle$ , with the negative-energy eigenvalue of the

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<sup>4</sup>Do not confuse with the Dirac Hamiltonian.

Hamiltonian ( $E = -|\mathbf{p}|$ ). Thus, the free chiral-helicity massless eigenstates may oscillate one to another with the frequency  $\omega = E/\hbar$  (as the massive chiral-helicity eigenstates, see [7a] for details). Moreover, a special kind of interaction which is not symmetric with respect to the chiral-helicity states (for instance, if the left chiral-helicity eigenstates interact with the matter only) may induce changes in the oscillation frequency, like in the Wolfenstein (MSW) formalism.

The question is: how can these frameworks be connected with the Ryder method of derivation of relativistic wave equations, and with the subsequent analysis of problems of the choice of normalization and that of the choice of phase factors in the papers [7, 8, 9]? However, the conclusion may be similar to that which was achieved before: the dynamical properties of the massless particles (*e. g.*, neutrinos and photons) may differ from those defined by the well-known Weyl and Maxwell equations [13].

## 2 Negative Energies in the Dirac Equation

The recent problems of superluminal neutrinos, *e. g.*, Ref. [10], negative mass-squared neutrinos, various schemes of oscillations including sterile neutrinos, *e. g.* [11], require much attention. The problem of the lepton mass splitting ( $e, \mu, \tau$ ) has long history [12]. This suggests that something missed in the foundations of relativistic quantum theories. Modifications seem to be necessary in the Dirac sea concept, and in the even more sophisticated Stueckelberg concept of the backward propagation in time. The Dirac sea concept is intrinsically related to the Pauli principle. However, the Pauli principle is intrinsically connected

with the Fermi statistics and the anticommutation relations of fermions. Recently, the concept of the *bi-orthonormality* has been proposed; the (anti) commutation relations and statistics are assumed to be different for *neutral* particles [8].

We observe some interesting things related to the negative-energy concept. The Dirac equation is:

$$[i\gamma^\mu\partial_\mu - m]\Psi(x) = 0. \quad (13)$$

At least, 3 methods of its derivation exist [14, 2, 15]:

- the Dirac one (the Hamiltonian should be linear in  $\partial/\partial x^i$ , and be compatible with  $E_p^2 - \mathbf{p}^2 c^2 = m^2 c^4$ );
- the Sakurai one (based on the equation  $(E_p - \boldsymbol{\sigma} \cdot \mathbf{p})(E_p + \boldsymbol{\sigma} \cdot \mathbf{p})\phi = m^2\phi$ );
- the Ryder one (the relation between 2-spinors at rest is  $\phi_R(\mathbf{0}) = \pm\phi_L(\mathbf{0})$ , and boost application to them).

Usually, everybody uses the following definition of the field operator [16] in the pseudo-Euclidean metrics:

$$\Psi(x) = \frac{1}{(2\pi)^3} \sum_h \int \frac{d^3\mathbf{p}}{2E_p} [u_h(\mathbf{p})a_h(\mathbf{p})e^{-ip \cdot x} + v_h(\mathbf{p})b_h^\dagger(\mathbf{p})e^{+ip \cdot x}], \quad (14)$$

as given *ab initio*. After actions of the Dirac operator at  $\exp(\mp ip_\mu x^\mu)$  the 4-spinors ( $u-$  and  $v-$ ) satisfy the momentum-space equations:  $(\hat{p} - m)u_h(p) = 0$  and  $(\hat{p} + m)v_h(p) = 0$ , respectively; the  $h$  is the polarization index. However, it is easy to prove from the characteristic equations  $Det(\hat{p} \mp m) = (p_0^2 - \mathbf{p}^2 - m^2)^2 = 0$  that the solutions should satisfy the energy-momentum relation  $p_0 = \pm E_p = \pm\sqrt{\mathbf{p}^2 + m^2}$  in both cases.

Let me remind the general scheme of construction of the field operator, which has been presented in [17]. In the case of the  $(1/2, 0) \oplus (0, 1/2)$  representation we have:

$$\begin{aligned}
\Psi(x) &= \frac{1}{(2\pi)^3} \int d^4p \delta(p^2 - m^2) e^{-ip \cdot x} \Psi(p) = \\
&= \frac{1}{(2\pi)^3} \sum_h \int d^4p \delta(p_0^2 - E_p^2) e^{-ip \cdot x} u_h(p_0, \mathbf{p}) a_h(p_0, \mathbf{p}) = \\
&= \frac{1}{(2\pi)^3} \int \frac{d^4p}{2E_p} [\delta(p_0 - E_p) + \delta(p_0 + E_p)] [\theta(p_0) + \theta(-p_0)] e^{-ip \cdot x} \\
&\times \sum_h u_h(p) a_h(p) = \\
&= \frac{1}{(2\pi)^3} \sum_h \int \frac{d^4p}{2E_p} [\delta(p_0 - E_p) + \delta(p_0 + E_p)] [\theta(p_0) u_h(p) a_h(p) e^{-ip \cdot x} + \\
&+ \theta(p_0) u_h(-p) a_h(-p) e^{+ip \cdot x}] = \\
&= \frac{1}{(2\pi)^3} \sum_h \int \frac{d^3\mathbf{p}}{2E_p} \theta(p_0) [u_h(p) a_h(p)|_{p_0=E_p} e^{-i(E_p t - \mathbf{p} \cdot \mathbf{x})} + \\
&+ u_h(-p) a_h(-p)|_{p_0=E_p} e^{+i(E_p t - \mathbf{p} \cdot \mathbf{x})}]
\end{aligned} \tag{15}$$

During the calculations above we had to represent  $1 = \theta(p_0) + \theta(-p_0)$  in order to get positive- and negative-frequency parts.<sup>5</sup> Moreover, during these calculations we did not yet assumed, which equation this field operator (namely, the  $u$ - spinor) does satisfy, with negative- or positive- mass?

In general we should transform  $u_h(-p)$  to the  $v(p)$ . The procedure is the following one [19]. In the Dirac case we should assume the following relation in the field operator:

$$\sum_h v_h(p) b_h^\dagger(p) = \sum_h u_h(-p) a_h(-p). \tag{16}$$

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<sup>5</sup>See Ref. [18] for some discussion.



We know that [15]<sup>6</sup>

$$\bar{u}_{(\mu)}(\mathbf{p})u_{(\lambda)}(\mathbf{p}) = +m\delta_{\mu\lambda}, \quad (17)$$

$$\bar{u}_{(\mu)}(\mathbf{p})u_{(\lambda)}(-\mathbf{p}) = 0, \quad (18)$$

$$\bar{v}_{(\mu)}(\mathbf{p})v_{(\lambda)}(\mathbf{p}) = -m\delta_{\mu\lambda}, \quad (19)$$

$$\bar{v}_{(\mu)}(\mathbf{p})u_{(\lambda)}(\mathbf{p}) = 0, \quad (20)$$

but we need  $\Lambda_{(\mu)(\lambda)}(\mathbf{p}) = \bar{v}_{(\mu)}(\mathbf{p})u_{(\lambda)}(-\mathbf{p})$ . By direct calculations, we find

$$-mb_{(\mu)}^\dagger(\mathbf{p}) = \sum_{\lambda} \Lambda_{(\mu)(\lambda)}(\mathbf{p})a_{(\lambda)}(-\mathbf{p}). \quad (21)$$

Hence,  $\Lambda_{(\mu)(\lambda)} = -im(\boldsymbol{\sigma} \cdot \mathbf{n})_{(\mu)(\lambda)}$ ,  $\mathbf{n} = \mathbf{p}/|\mathbf{p}|$ , and

$$b_{(\mu)}^\dagger(\mathbf{p}) = i \sum_{\lambda} (\boldsymbol{\sigma} \cdot \mathbf{n})_{(\mu)(\lambda)} a_{(\lambda)}(-\mathbf{p}). \quad (22)$$

Multiplying (16) by  $\bar{u}_{(\mu)}(-\mathbf{p})$  we obtain

$$a_{(\mu)}(-\mathbf{p}) = -i \sum_{\lambda} (\boldsymbol{\sigma} \cdot \mathbf{n})_{(\mu)(\lambda)} b_{(\lambda)}^\dagger(\mathbf{p}). \quad (23)$$

The equations are self-consistent.<sup>7</sup>

However, other ways of thinking are possible. First of all to mention, we have, in fact,  $u_h(E_p, \mathbf{p})$  and  $u_h(-E_p, \mathbf{p})$ , and

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<sup>6</sup> $(\mu)$  and  $(\lambda)$  are the polazrization indices here. According to the referee advice I use parenthesis here to stress this.

<sup>7</sup>In the  $(1, 0) \oplus (0, 1)$  representation the similar procedure leads to somewhat different situation:

$$a_{(\mu)}(\mathbf{p}) = [1 - 2(\mathbf{S} \cdot \mathbf{n})^2]_{(\mu)(\lambda)} a_{(\lambda)}(-\mathbf{p}). \quad (24)$$

This signifies that in order to construct the Sankaranarayanan-Good field operator (which was used by Ahluwalia, Johnson and Goldman [Phys. Lett. B (1993)], it satisfies  $[\gamma_{\mu\nu}\partial_\mu\partial_\nu - \frac{(i\partial/\partial t)}{E}m^2]\Psi(x) = 0$ , we need additional postulates. For instance, one can try to construct the left- and the right-hand side of the field operator separately each other [18].

$v_h(E_p, \mathbf{p})$  and  $v_h(-E_p, \mathbf{p})$ , originally, which may satisfy the equations:<sup>8</sup>

$$[E_p(\pm\gamma^0) - \boldsymbol{\gamma} \cdot \mathbf{p} - m] u_h(\pm E_p, \mathbf{p}) = 0. \quad (25)$$

Due to the properties  $U^\dagger \gamma^0 U = -\gamma^0$ ,  $U^\dagger \gamma^i U = +\gamma^i$  with the unitary matrix  $U = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \gamma^0 \gamma^5$  in the Weyl basis,<sup>9</sup> we have

$$[E_p \gamma^0 - \boldsymbol{\gamma} \cdot \mathbf{p} - m] U^\dagger u_h(-E_p, \mathbf{p}) = 0. \quad (26)$$

Thus, unless the unitary transformations do not change the physical content, we have that the negative-energy spinors  $\gamma^5 \gamma^0 u^-$  (see (26)) satisfy the accustomed “positive-energy” Dirac equation. We should then expect the same physical content. Their explicit forms  $\gamma^5 \gamma^0 u^-$  are different from the textbook “positive-energy” Dirac spinors. They are the following ones:<sup>10</sup>

$$\tilde{u}(p) = \frac{N}{\sqrt{2m(-E_p + m)}} \begin{pmatrix} -p^+ + m \\ -p_r \\ p^- - m \\ -p_r \end{pmatrix}, \quad (27)$$

$$\tilde{\tilde{u}}(p) = \frac{N}{\sqrt{2m(-E_p + m)}} \begin{pmatrix} -p_l \\ -p^- + m \\ -p_l \\ p^+ - m \end{pmatrix}. \quad (28)$$

$E_p = \sqrt{\mathbf{p}^2 + m^2} > 0$ ,  $p_0 = \pm E_p$ ,  $p^\pm = E \pm p_z$ ,  $p_{r,l} = p_x \pm ip_y$ . Their normalization is to  $(-2N^2)$ .

<sup>8</sup>Remember that, as before, we can always make the substitution  $\mathbf{p} \rightarrow -\mathbf{p}$  in any of the integrands of (15).

<sup>9</sup>The properties of the  $U$ -matrix are opposite to those of  $P^\dagger \gamma^0 P = +\gamma^0$ ,  $P^\dagger \gamma^i P = -\gamma^i$  with the usual  $P = \gamma^0$ , thus giving  $[-E_p \gamma^0 + \boldsymbol{\gamma} \cdot \mathbf{p} - m] P u_h(-E_p, \mathbf{p}) = -[\hat{p} + m] \tilde{v}_\gamma(E_p, \mathbf{p}) = 0$ . While, the relations of the spinors  $v_h(E_p, \mathbf{p}) = \gamma^5 u_h(E_p, \mathbf{p})$  are well-known, it seems that the relations of the  $v^-$  spinors of the positive energy to  $u^-$  spinors of the negative energy are frequently forgotten,  $\tilde{v}_\gamma(E_p, \mathbf{p}) = \gamma^0 u_h(-E_p, \mathbf{p})$ .

<sup>10</sup>We use tildes because we do not yet know their polarization properties.

What about the  $\tilde{v}(p) = \gamma^0 u^-$  transformed with the  $\gamma_0$  matrix? Are they equal to  $v_h(p) = \gamma^5 u_h(p)$ ? Our answer is ‘no’. Obviously, they also do not have well-known forms of the usual  $v$ -spinors in the Weyl basis, differing by phase factors and in the signs at the mass terms.

Next, one can prove that the matrix

$$P = e^{i\theta} \gamma^0 = e^{i\theta} \begin{pmatrix} 0 & 1_{2 \times 2} \\ 1_{2 \times 2} & 0 \end{pmatrix} \quad (29)$$

can be used in the parity operator as well as in the original Weyl basis. The parity-transformed function  $\Psi'(t, -\mathbf{x}) = P\Psi(t, \mathbf{x})$  must satisfy

$$[i\gamma^\mu \partial'_\mu - m]\Psi'(t, -\mathbf{x}) = 0, \quad (30)$$

with  $\partial'_\mu = (\partial/\partial t, -\nabla_i)$ . This is possible when  $P^{-1}\gamma^0 P = \gamma^0$  and  $P^{-1}\gamma^i P = -\gamma^i$ . The matrix (29) satisfies these requirements, as in the textbook case. However, if we would take the phase factor to be zero we obtain that while  $u_h(p)$  have the eigenvalue +1 of the parity, but ( $R = (\mathbf{x} \rightarrow -\mathbf{x}, \mathbf{p} \rightarrow -\mathbf{p})$ )

$$PR\tilde{u}(p) = PR\gamma^5\gamma^0 u(-E_p, \mathbf{p}) = -\tilde{u}(p), \quad (31)$$

$$PR\tilde{\tilde{u}}(p) = PR\gamma^5\gamma^0 u(-E_p, \mathbf{p}) = -\tilde{\tilde{u}}(p). \quad (32)$$

Perhaps, one should choose the phase factor  $\theta = \pi$ . Thus, we again confirmed that the relative (particle-antiparticle) intrinsic parity has physical significance only.

Similar formulations have been presented in Refs. [20], and [21]. The group-theoretical basis for such doubling has been given in the papers by Gelfand, Tsetlin and Sokolik [22], who first presented the theory in the 2-dimensional representation of the inversion group in 1956 (later called as “the Bargmann-Wightman-Wigner-type quantum field theory” in 1993). M. Markov wrote

two Dirac equations with the opposite signs at the mass term [20] long ago:

$$[i\gamma^\mu\partial_\mu - m]\Psi_1(x) = 0, \quad (33)$$

$$[i\gamma^\mu\partial_\mu + m]\Psi_2(x) = 0. \quad (34)$$

In fact, he studied all properties of this relativistic quantum model (while he did not know yet the quantum field theory in 1937). Next, he added and subtracted these equations. What did he obtain?

$$i\gamma^\mu\partial_\mu\varphi(x) - m\chi(x) = 0, \quad (35)$$

$$i\gamma^\mu\partial_\mu\chi(x) - m\varphi(x) = 0. \quad (36)$$

Thus,  $\varphi$  and  $\chi$  solutions can be presented as some superpositions of the Dirac 4-spinors  $u-$  and  $v-$ . These equations, of course, can be identified with the equations for the Majorana-like  $\lambda-$  and  $\rho-$ , which we presented in Ref. [7].<sup>11</sup>

$$i\gamma^\mu\partial_\mu\lambda^S(x) - m\rho^A(x) = 0, \quad (37)$$

$$i\gamma^\mu\partial_\mu\rho^A(x) - m\lambda^S(x) = 0, \quad (38)$$

$$i\gamma^\mu\partial_\mu\lambda^A(x) + m\rho^S(x) = 0, \quad (39)$$

$$i\gamma^\mu\partial_\mu\rho^S(x) + m\lambda^A(x) = 0. \quad (40)$$

Neither of them can be regarded as the Dirac equation. However, they can be written in the 8-component form as follows:

$$[i\Gamma^\mu\partial_\mu - m]\Psi_{(+)}(x) = 0, \quad (41)$$

$$[i\Gamma^\mu\partial_\mu + m]\Psi_{(-)}(x) = 0, \quad (42)$$

with

$$\Psi_{(+)}(x) = \begin{pmatrix} \rho^A(x) \\ \lambda^S(x) \end{pmatrix}, \Psi_{(-)}(x) = \begin{pmatrix} \rho^S(x) \\ \lambda^A(x) \end{pmatrix}, \Gamma^\mu = \begin{pmatrix} 0 & \gamma^\mu \\ \gamma^\mu & 0 \end{pmatrix}. \quad (43)$$

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<sup>11</sup>Of course, the signs at the mass terms depend on, how do we associate the positive- or negative- frequency solutions with  $\lambda$  and  $\rho$ .

It is easy to find the corresponding projection operators, and the Feynman-Stueckelberg propagator.

You may say that all this is just related to the spin-parity basis rotation (unitary transformations). However, in the previous papers I explained: the connection with the Dirac spinors has been found [7, 24].<sup>12</sup> For instance,

$$\begin{pmatrix} \lambda_{\uparrow}^S(\mathbf{p}) \\ \lambda_{\downarrow}^S(\mathbf{p}) \\ \lambda_{\uparrow}^A(\mathbf{p}) \\ \lambda_{\downarrow}^A(\mathbf{p}) \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & i & -1 & i \\ -i & 1 & -i & -1 \\ 1 & -i & -1 & -i \\ i & 1 & i & -1 \end{pmatrix} \begin{pmatrix} u_{+1/2}(\mathbf{p}) \\ u_{-1/2}(\mathbf{p}) \\ v_{+1/2}(\mathbf{p}) \\ v_{-1/2}(\mathbf{p}) \end{pmatrix}, \quad (44)$$

provided that the 4-spinors have the same physical dimension. Thus, we can see that the two 4-spinor systems are connected by the unitary transformations, and this represents itself the rotation of the spin-parity basis. However, it is usually assumed that the  $\lambda$ - and  $\rho$ - spinors describe the neutral particles, meanwhile  $u$ - and  $v$ - spinors describe the charged particles. Kirchbach [24] found the amplitudes for neutrinoless double beta decay ( $00\nu\beta$ ) in this scheme. It is obvious from (44) that there are some additional terms comparing with the standard calculations of those amplitudes.

One can also re-write the above equations into the two-component forms. Thus, one obtains the Feynman-Gell-Mann [23] equations. As Markov wrote himself, he was expecting “new physics” from these equations.

Barut and Ziino [21] proposed yet another model. They considered  $\gamma^5$  operator as the operator of the charge conjugation. Thus, the charge-conjugated Dirac equation has the different sign comparing with the ordinary formulation:

$$[i\gamma^\mu \partial_\mu + m]\Psi_{BZ}^c = 0, \quad (45)$$

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<sup>12</sup>I also acknowledge personal communications from D. V. Ahluwalia on these matters.

and the so-defined charge conjugation applies to the whole system, fermion + electromagnetic field,  $e \rightarrow -e$  in the covariant derivative. The superpositions of the  $\Psi_{BZ}$  and  $\Psi_{BZ}^c$  also give us the “doubled Dirac equation”, as the equations for  $\lambda-$  and  $\rho-$  spinors. The concept of the doubling of the Fock space has been developed in the Ziino works (cf. [22, 25]) in the framework of the quantum field theory. In their case the self/anti-self charge conjugate states are simultaneously the eigenstates of the chirality. Next, it is interesting to note that we have for the Majorana-like field operators ( $a_\eta(\mathbf{p}) = b_\eta(\mathbf{p})$ ):

$$\left[ \nu^{ML}(x^\mu) + \mathcal{C}\nu^{ML\dagger}(x^\mu) \right] / 2 = \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{1}{2E_p} \quad (46)$$

$$\sum_\eta \left[ \left( \begin{array}{c} i\Theta\phi_L^{*\eta}(p^\mu) \\ 0 \end{array} \right) a_\eta(p^\mu)e^{-ip \cdot x} + \left( \begin{array}{c} 0 \\ \phi_L^\eta(p^\mu) \end{array} \right) a_\eta^\dagger(p^\mu)e^{ip \cdot x} \right],$$

$$\left[ \nu^{ML}(x^\mu) - \mathcal{C}\nu^{ML\dagger}(x^\mu) \right] / 2 = \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{1}{2E_p} \quad (47)$$

$$\sum_\eta \left[ \left( \begin{array}{c} 0 \\ \phi_L^\eta(p^\mu) \end{array} \right) a_\eta(p^\mu)e^{-ip \cdot x} + \left( \begin{array}{c} -i\Theta\phi_L^{*\eta}(p^\mu) \\ 0 \end{array} \right) a_\eta^\dagger(p^\mu)e^{ip \cdot x} \right],$$

which, thus, naturally lead to the Ziino-Barut scheme of massive chiral fields, Ref. [21].

Finally, I would like to mention that, in general, in the Weyl basis the  $\gamma-$  matrices are *not* Hermitian,  $\gamma^{\mu\dagger} = \gamma^0\gamma^\mu\gamma^0$ . So,  $\gamma^{i\dagger} = -\gamma^i$ ,  $i = 1, 2, 3$ , the pseudo-Hermitian matrix. The energy-momentum operator  $i\partial_\mu$  is obviously Hermitian. So, the question, if the eigenvalues of the Dirac operator  $i\gamma^\mu\partial_\mu$  (the mass, in fact) would be always real? The question of the complete system of the eigenvectors of the *non*-Hermitian operator deserve careful consideration [26]. Bogoliubov and Shirkov [17, p.55-56] used the scheme to construct the complete set of solutions of the relativistic equations, fixing the sign of  $p_0 = +E_p$ .

The main points of this Section are: there are “negative-energy solutions” in that is previously considered as “positive-energy solutions” of relativistic wave equations, and vice versa. Their explicit forms have been presented in the case of spin-1/2. Next, the relations to the previous works have been found. For instance, the doubling of the Fock space and the corresponding solutions of the Dirac equation obtained additional mathematical bases. Similar conclusion can be deduced for the higher-spin equations.

### 3 Non-commutativity in the Dirac equation

The non-commutativity [27, 28] manifests interesting peculiarities in the Dirac case. We analyzed Sakurai-van der Waerden method of derivations of the Dirac (and higher-spins too) equation [29]. We can start from

$$(EI^{(2)} - \boldsymbol{\sigma} \cdot \mathbf{p})(EI^{(2)} + \boldsymbol{\sigma} \cdot \mathbf{p})\Psi_{(2)} = m^2\Psi_{(2)}, \quad (48)$$

or

$$(EI^{(4)} + \boldsymbol{\alpha} \cdot \mathbf{p} + m\beta)(EI^{(4)} - \boldsymbol{\alpha} \cdot \mathbf{p} - m\beta)\Psi_{(4)} = 0. \quad (49)$$

Obviously, the inverse operators of the Dirac operators of the positive- and negative- masses exist in the non-commutative case. As in the original Dirac work, we have

$$\beta^2 = 1, \quad \alpha^i\beta + \beta\alpha^i = 0, \quad \alpha^i\alpha^j + \alpha^j\alpha^i = 2\delta^{ij}. \quad (50)$$

For instance, their explicit forms can be chosen

$$\alpha^i = \begin{pmatrix} \sigma^i & 0 \\ 0 & -\sigma^i \end{pmatrix}, \quad \beta = \begin{pmatrix} 0 & 1_{2 \times 2} \\ 1_{2 \times 2} & 0 \end{pmatrix}, \quad (51)$$

where  $\sigma^i$  are the ordinary Pauli  $2 \times 2$  matrices.

We also postulate the non-commutativity relations for the components of 4-momenta:

$$[E, \mathbf{p}^i]_- = \Theta^{0i} = \theta^i, \quad (52)$$

as usual. Therefore the equation (49) will *not* lead to the well-known equation  $E^2 - \mathbf{p}^2 = m^2$ . Instead, we have

$$\{E^2 - E(\boldsymbol{\alpha} \cdot \mathbf{p}) + (\boldsymbol{\alpha} \cdot \mathbf{p})E - \mathbf{p}^2 - m^2 - i(\boldsymbol{\sigma} \otimes I_{(2)})[\mathbf{p} \times \mathbf{p}]\} \Psi_{(4)} = 0 \quad (53)$$

For the sake of simplicity, we may assume the last term to be zero. Thus, we come to

$$\{E^2 - \mathbf{p}^2 - m^2 - (\boldsymbol{\alpha} \cdot \boldsymbol{\theta})\} \Psi_{(4)} = 0. \quad (54)$$

However, let us apply the unitary transformation. It is known [30, 7] that one can<sup>13</sup>

$$U_1(\boldsymbol{\sigma} \cdot \mathbf{a})U_1^{-1} = \sigma_3|\mathbf{a}|. \quad (55)$$

For  $\boldsymbol{\alpha}$  matrices we re-write (55) to

$$\mathcal{U}_1(\boldsymbol{\alpha} \cdot \boldsymbol{\theta})\mathcal{U}_1^{-1} = |\boldsymbol{\theta}| \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \alpha_3|\boldsymbol{\theta}|. \quad (56)$$

The explicit form of the  $U_1$  matrix is ( $a_{r,l} = a_1 \pm ia_2$ ):

$$\begin{aligned} U_1 &= \frac{1}{\sqrt{2a(a+a_3)}} \begin{pmatrix} a+a_3 & a_l \\ -a_r & a+a_3 \end{pmatrix} = \frac{1}{\sqrt{2a(a+a_3)}} \\ &\times [a+a_3 + i\sigma_2 a_1 - i\sigma_1 a_2], \\ \mathcal{U}_1 &= \begin{pmatrix} U_1 & 0 \\ 0 & U_1 \end{pmatrix}. \end{aligned} \quad (57)$$

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<sup>13</sup>Some relations for the components  $\mathbf{a}$  should be assumed. Moreover, in our case  $\boldsymbol{\theta}$  should not depend on  $E$  and  $\mathbf{p}$ . Otherwise, we must take the non-commutativity  $[E, \mathbf{p}^i]_-$  into account again.



Let us apply the second unitary transformation:

$$\mathcal{U}_2\alpha_3\mathcal{U}_2^\dagger = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} \alpha_3 \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad (58)$$

The final equation is

$$[E^2 - \mathbf{p}^2 - m^2 - \gamma_{chiral}^5|\boldsymbol{\theta}|]\Psi'_{(4)} = 0. \quad (59)$$

In the physical sense this implies the mass splitting for a Dirac particle over the non-commutative space,  $m_{1,2} = \pm\sqrt{m^2 \pm \bar{\theta}}$ . This procedure may be attractive for explanation of the mass creation and the mass splitting for fermions.

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